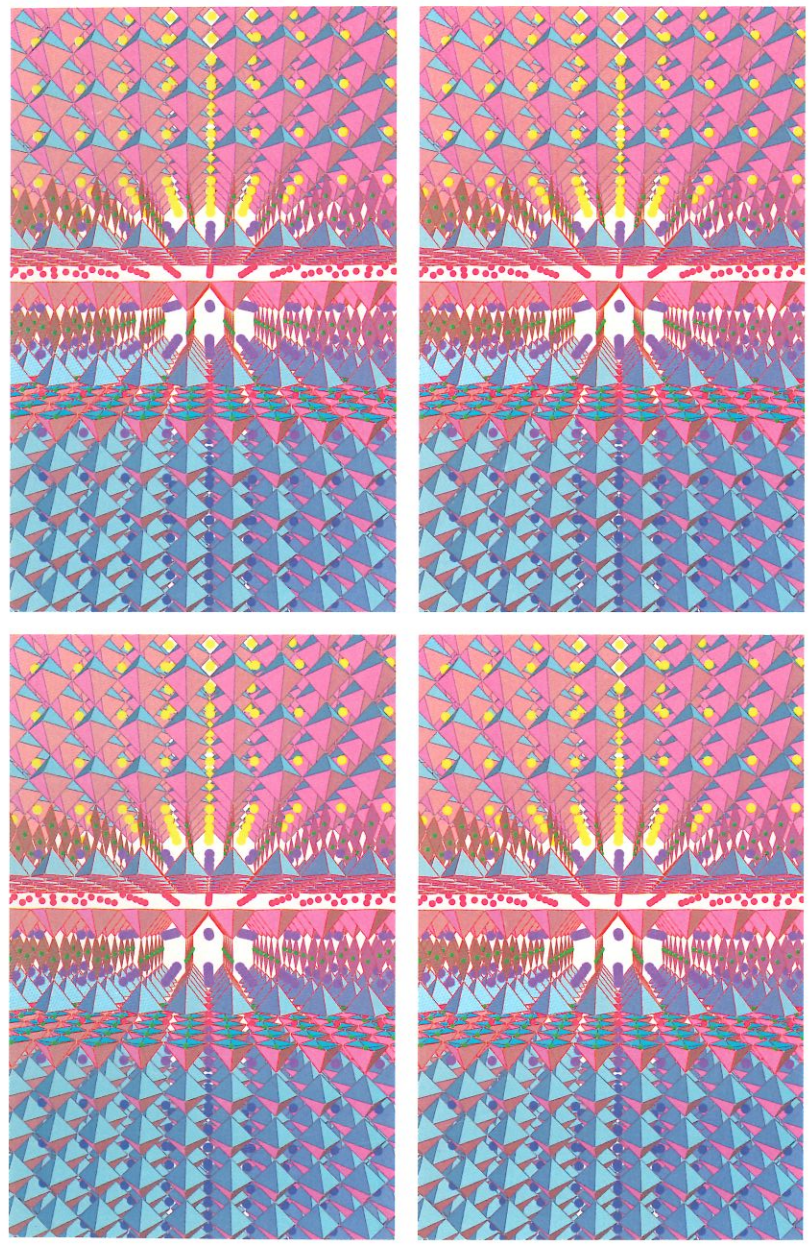


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Materials Science and Engineering



PENNSTATE



cover:

cross-sectional view of the crystal structure (coordination polyhedra) of a metal-ferroelectric-superconductor field-effect-transistor (MFSFET) heterostructure. The MFSFET consists of a ferroelectric gate insulator (PbTiO_3 , top), an ultrathin superconducting channel ($\text{Cu}_3\text{O}_{7-8}$, middle), and a substrate (SrTiO_3 , bottom). The carrier concentration of the oxide superconductor-channel layer and thus, its resistance are modulated by the polarization of the ferroelectric gate insulator. This polarization direction may be controlled by briefly applying a voltage between the gate electrode and the channel. The atomic structure of the interfaces between the

Penn State is a major, comprehensive research university. It counts among its faculty many leading scientists and scholars, and ranks among the top universities in terms of the amount of research support obtained from government and industry.

Graduate study in materials science and engineering is centered at the Penn State University Park Campus. The University Park Campus, the original and largest campus, has almost 32,000 undergraduates, more than 6,000 graduate students, and more than 2,300 faculty, and offers more than 240 degree programs, plus numerous additional options. It is located in the Allegheny Mountains, adjacent to the town of State College in the geographic center of Pennsylvania.

Materials Science and Engineering is one of six departments in the College of Earth and Mineral Sciences. The college has a strong focus on research and graduate education, with programs in materials and mineral engineering and earth sciences.

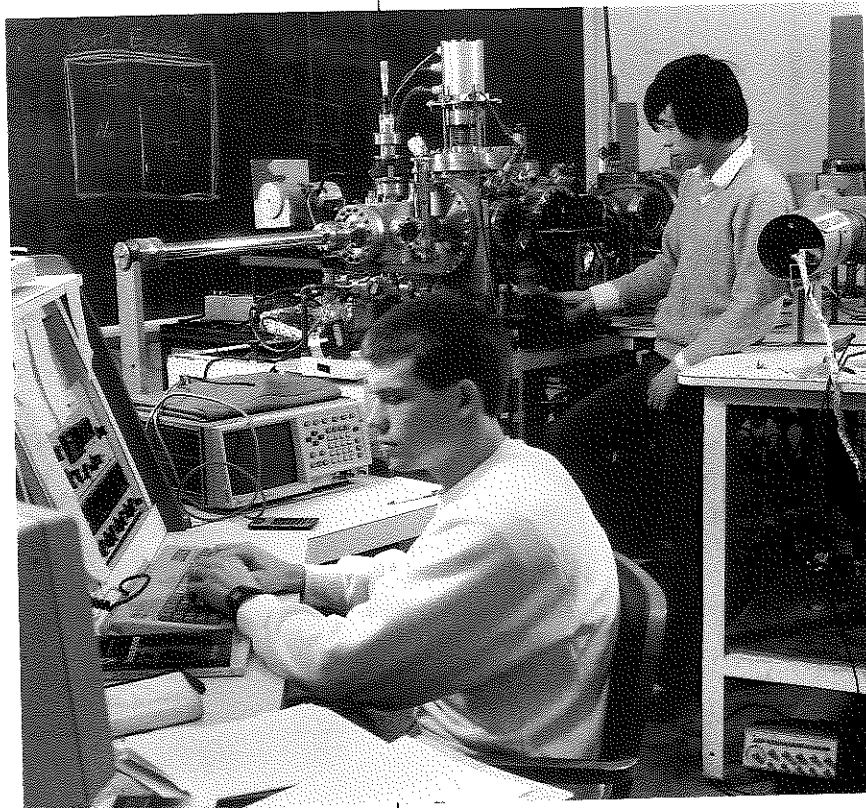
Faculty in the Department of Materials Science and Engineering also participate in the interdisciplinary Intercollege Graduate Degree in Materials. Many also participate in research projects in the various cross-disciplinary centers such as

the Center for Advanced Materials, the Particulate Materials Center, the Energy and Fuels Research Center, the Intercollege Materials Research Laboratory, and the Electronic Materials and Processing Laboratory. Several materials science faculty members have been appointed to the Materials Research Institute Advisory Board, the umbrella coordinating group in materials research at Penn State.

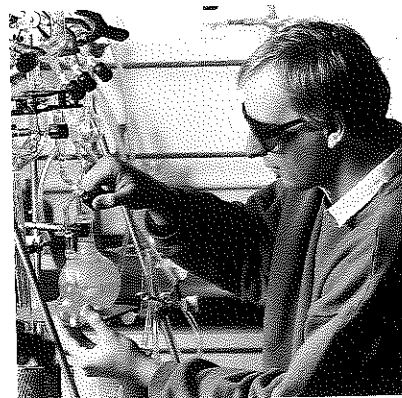
Penn State offers all the facilities of a major university and a full calendar of University lectures and other academic events. The performing arts series brings leading performers from around the world and exciting professional musical, theatrical, and dance productions to campus. A number of other organizations sponsor a wide range of cultural events. The newly renovated Palmer Museum of Art, an important center for the visual arts, hosts a range of exhibitions. The University has truly outstanding recreational facilities and is the site of regularly scheduled sporting events.

The University Libraries has holdings of about 3.3 million volumes with online catalog access. The central library, Pattee Library, is supplemented by specialized libraries, including the College of Earth and Mineral Sciences Library housed in Deike Building.

Department of Materials Science and Engineering



Graduate students operating the Atomic Probe Field Ion Microscope.



Wet chemistry in a polymer science laboratory.

The Department of Materials Science and Engineering at Penn State, as now organized, was formed in 1967. Its evolution, however, was unlike most such departments across the country that were initiated and dominated by one of the classic materials science disciplines. At Penn State, the Department of Materials Science and Engineering has its roots firmly planted in the College of Earth and Mineral Sciences, a college with an established tradition in mineralogy and earth sciences. Strong academic programs were established in metallurgy in 1907-1908 and in ceramic technology in 1923. Fuel science, another subject with a rich history at Penn State, was first offered as a major in 1934. These three disciplines were the nucleus of the newly formed department in 1967. The addition of the Polymer Science program in 1972, and the interaction with the multidisciplinary Intercollege Graduate Program in Materials, completes what is today one of the finest and best-balanced departments of materials science and engineering in the country.

The department offers graduate degrees (M.S. and Ph.D.) in Materials Science and Engineering with specialties in Ceramic Science, Fuel Science, Metals Science and Engineering, and Polymer Science. An interdisciplinary graduate program, the Intercollege Graduate Program in Materials, is administered through the Graduate School.

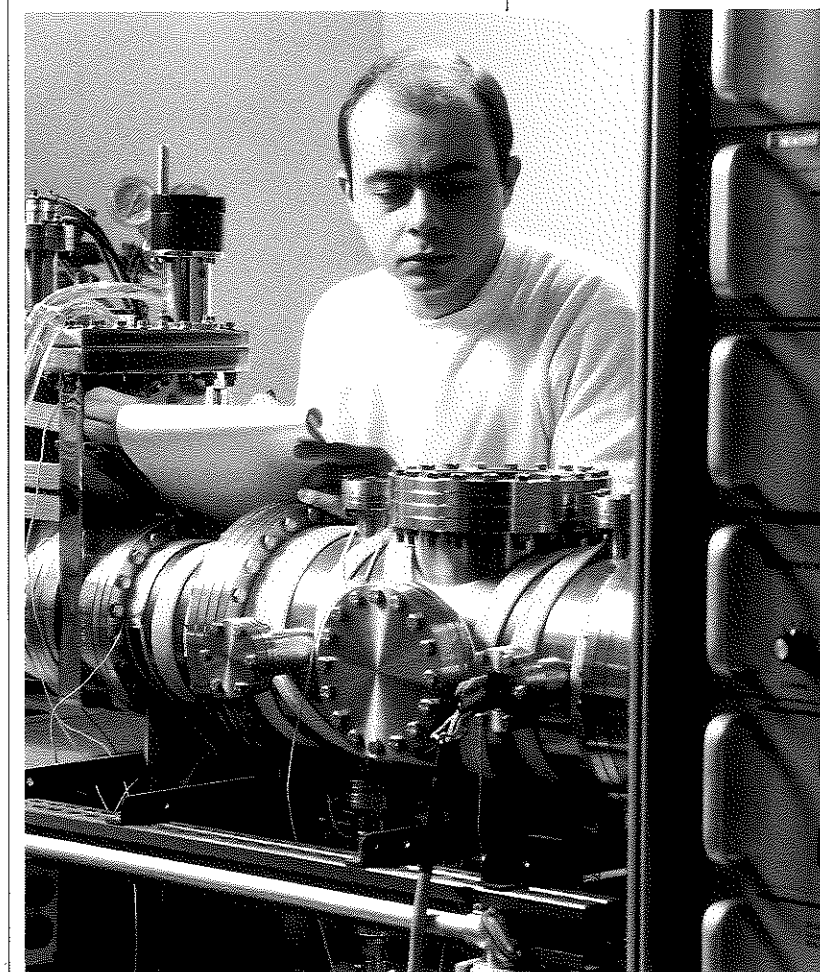
The department is large and diverse, affording students the opportunity to interact with faculty and with post-doctoral and



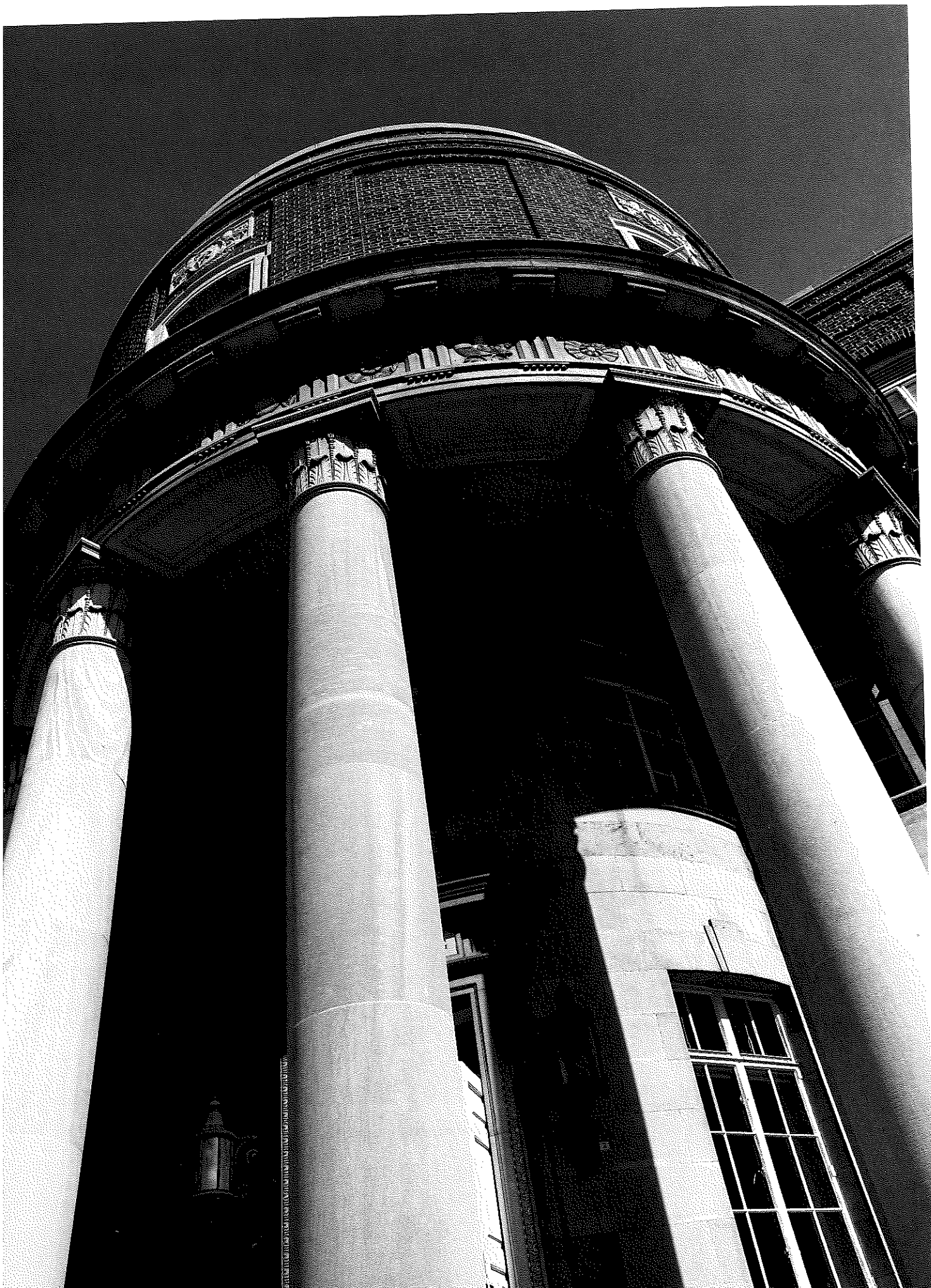
A fuel science student analyzing synthetic liquid fuel using a gas chromatograph/mass spectrometer.

graduate students from a wide spectrum of disciplines. There are 40 full-time faculty members, some 200 graduate students, and about 175 undergraduates currently in the department. Materials Science courses that cut across disciplines are offered, in addition to those offered in the specialties. Students are encouraged to broaden their horizons by taking these and other University-wide courses.

Faculty members in the Department of Materials Science and Engineering have many responsibilities, including teaching and advising students, undertaking research and disseminating results, maintaining academic standards, and performing other services for the University. The faculty have consistently been rated well above average as teachers by students and alumni. Eight of the current faculty have won collegewide teaching awards. Research productivity of the faculty as a whole is outstanding, as measured by the national and international recognition of their peers, the number and quality of papers published, and the amount of research dollars generated (in excess of \$13 million in outside funding in 1992-93).



Molecular beam processing chamber used for processing and surface analysis.



General Admission Requirements for the Graduate Program

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All graduate programs in the department have many more applicants each year than can be accommodated. Usually, 40 to 100 people apply for each opening. No general criteria will guarantee admission. Only the best-qualified applicants are admitted for each option depending on the number of openings available and the compatibility of students' interests with current research projects.

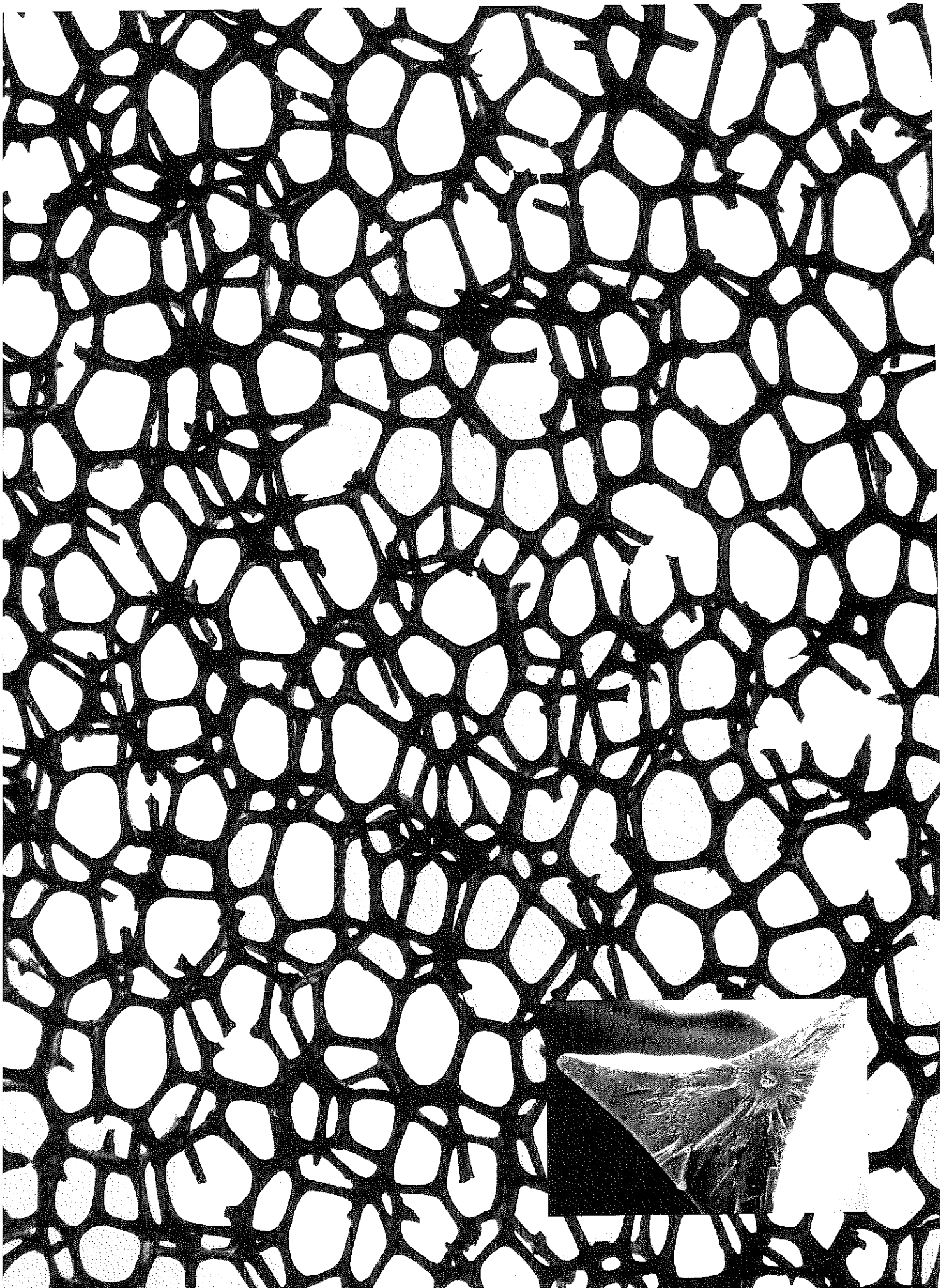
Applicants must have received, from an accredited institution, a baccalaureate degree earned under residence and credit conditions substantially equivalent to those required by Penn State. No fixed minimum grade-point average (GPA) is required for admission, but a junior-senior grade-point average of at least 2.50 on the scale of A (4.00) to D (1.00) is recommended by the Graduate School. Most incoming students have a GPA of at least 3.00.

Scores on the Graduate Record Examination (GRE)—verbal, quantitative, and analytical—are no longer required by the Graduate School for completion of the admission process. However, all students, especially those from foreign countries, are strongly advised to submit GRE scores with their applications. In many cases, the GRE is the only common factor that can be used to compare applications of similar merit.

The general graduate admission requirements are stated in the General Information section of the *Graduate Degree Programs Bulletin*. Application forms for admission are sent out by the department and should be returned to the Graduate School. Application forms for research assistantships should be forwarded to the graduate program coordinator in the Department of Materials Science and Engineering, whose address appears above. Applicants also should arrange for two or three letters of recommendation to be sent to the same address. Copies of academic transcripts need be sent only to the Graduate School.

Most students admitted to our graduate program are offered financial assistance in the form of research or teaching assistantships. For the 1993-94 academic year, these paid between \$12,680 and \$13,600 for two semesters plus the summer session, with all tuition paid.

Students who wish to apply for graduate studies in the Department of Materials Science and Engineering should contact the graduate student coordinator at the address above.



Ceramic Science (CERSC)

DR. DAVID J. GREEN, IN CHARGE OF GRADUATE OPTION

The Ceramic Science specialty covers a wide field with special emphases in ceramic processing, physical ceramics, chemical ceramics, and glass science. Special facilities exist for research in areas of electroceramics, phase equilibria, mechanical properties, thermal properties, surface characterization and properties, high-temperature reaction kinetics, coatings and thin films, solid-state synthesis, dielectric and ferroelectric studies, corrosion studies, and composite materials.

FACULTY

Paul W. Brown, Professor of Materials Science and Engineering, B.S., M.S., Ph.D. (Wisconsin)

Altaf H. Carim, Assistant Professor of Ceramic Science and Engineering, B.S. (MIT), M.S., Ph.D. (Stanford)

Robert F. Cook, Adjunct Associate Professor of Ceramic Science and Engineering, B.S. (Monash), Ph.D. (New South Wales)

David J. Green, Professor of Ceramic Science and Engineering; Chair, Ceramic Science and Engineering option, B.Sc. (Liverpool), M.Sc., Ph.D. (McMaster)

John R. Hellmann, Assistant Professor of Ceramic Science and Engineering; Associate Director, Center for Advanced Materials, B.S., Ph.D. (Penn State)

Gary L. Messing, Professor of Ceramic Science and Engineering; Director, Particulate Materials Center, B.S. (Alfred), M.S., Ph.D. (Florida)

Robert E. Newnham, Alcoa Professor of Solid State Science, B.S. (Hartwick), M.S. (Colorado), Ph.D. (Penn State), Ph.D. (Cambridge)

Carlo G. Pantano, Professor of Materials Science and Engineering; Director, Materials Characterization Laboratory, B.S. (NJIT), M.E., Ph.D. (Florida)

Dann E. Passoja, Adjunct Professor of Ceramic Science and Engineering, B.S. (Purdue), Ph.D. (Rensselaer)

Guy E. Rindone, Professor Emeritus of Ceramic Science and Engineering, Ph.D. (Penn State)

Karl E. Spear, Professor of Ceramic Science, B.S. (Baker), Ph.D. (Kansas)

Vladimir S. Stubican, Professor Emeritus of Materials Science and Engineering, D.Sc., Ph.D. (Zagreb)

Richard E. Tressler, Professor of Materials Science and Engineering; Head, Department of Materials Science and Engineering, B.S. (Penn State), M.S. (MIT), Ph.D. (Penn State)

William O. Williamson, Professor Emeritus of Ceramic Science and Engineering, D.Sc. (London)

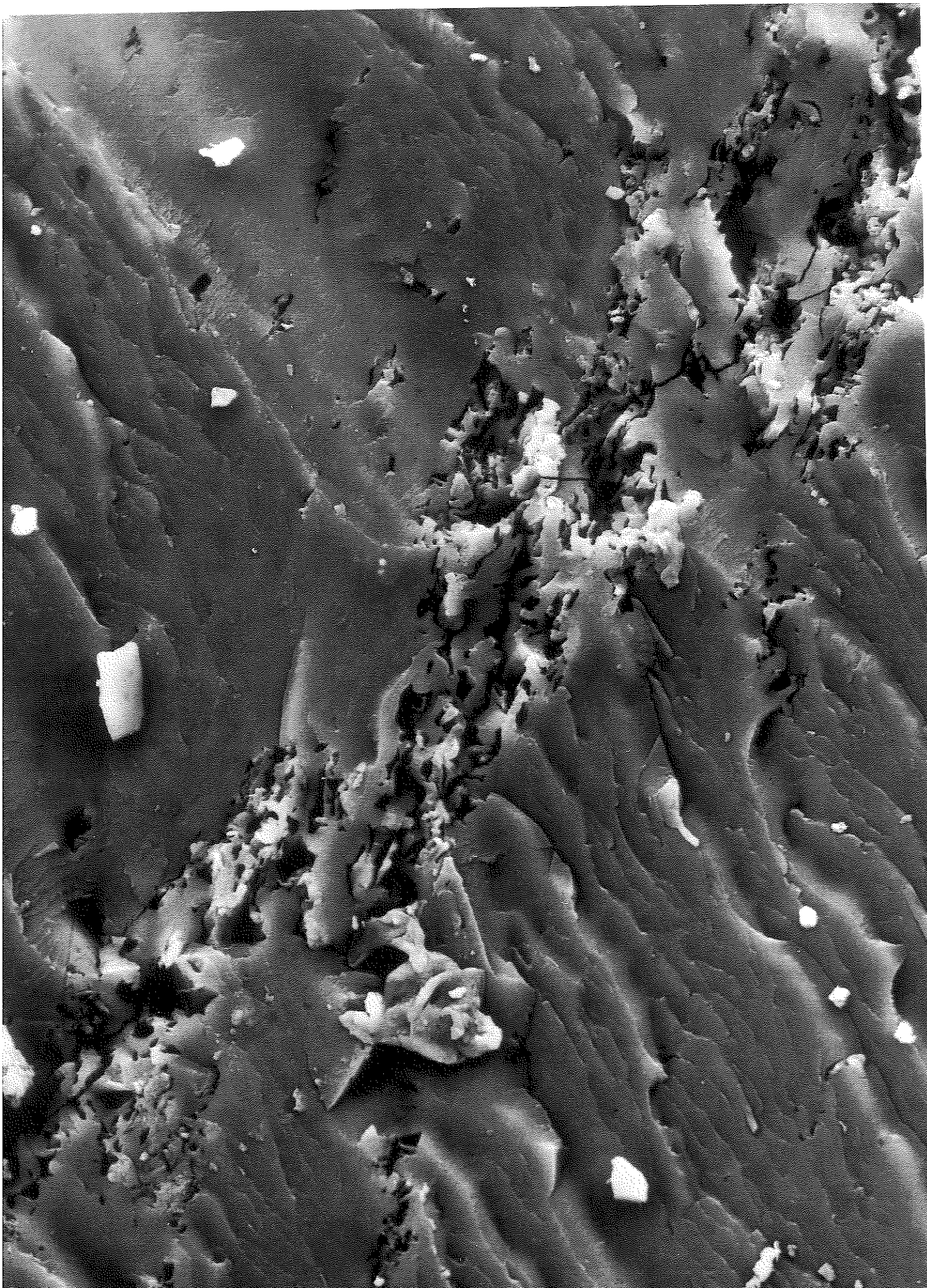
Long-Qing Chen, Assistant Professor of Ceramic Science and Engineering, B.S. (Zhejiang Univ.), M.S. (SUNY), Ph.D. (MIT)

Darrell G. Schlom, Assistant Professor of Materials Science and Engineering, B.S. (Calif. Inst. Tech.), M.S., Ph.D. (Stanford)

Susan Trolier-McKinstry, Assistant Professor of Materials Science and Engineering, B.S., M.S., Ph.D. (Penn State)

Walter A. Yarbrough, Assistant Professor of Ceramic Science and Engineering, B.S. (Univ. NC), Ph.D. (Penn State)

Microstructure of an open cell, vitreous carbon. Inset shows the fracture surface of one of the struts that make up the microstructure.



Fuel Science (F SC)

The Fuel Science specialty at Penn State provides advanced knowledge and research opportunities in the characteristics and use of fuels, including their conversion to other fuels and to other materials. Special facilities exist for research in areas of combustion of fuels, coal ash behavior, coal pyrolysis, catalysis, fluidized-bed combustion, shock-tube studies, coal and carbon characterization, carbonization, coal gasification and liquefaction, activated carbon preparation and properties, and fundamental flame studies.

FACULTY

Semih Eser, Assistant Professor of Fuel Science, M.S. (Middle East Tech. Univ., Ankara), Ph.D. (Penn State)

Michael Y. Frenklach, Professor of Fuel Science, M.Sc. (Moscow), Ph.D. (Hebrew)

Patrick G. Hatcher, Associate Professor of Fuel Science, B.S. (NC State), M.S. (Miami), Ph.D. (Maryland)

DR. HAROLD H. SCHOBERT, IN CHARGE OF GRADUATE OPTION

Howard B. Palmer, Professor Emeritus of Energy Science, B.S. (Carnegie Tech), Ph.D. (Wisconsin)

Ljubisa R. Radovic, Associate Professor of Fuel Science, B.S. (Belgrade), Ph.D. (Penn State)

Alan W. Scaroni, Professor of Fuel Science; Director, Energy and Fuels Research Center, B.E. (New South Wales), M.S., Ph.D. (Penn State)

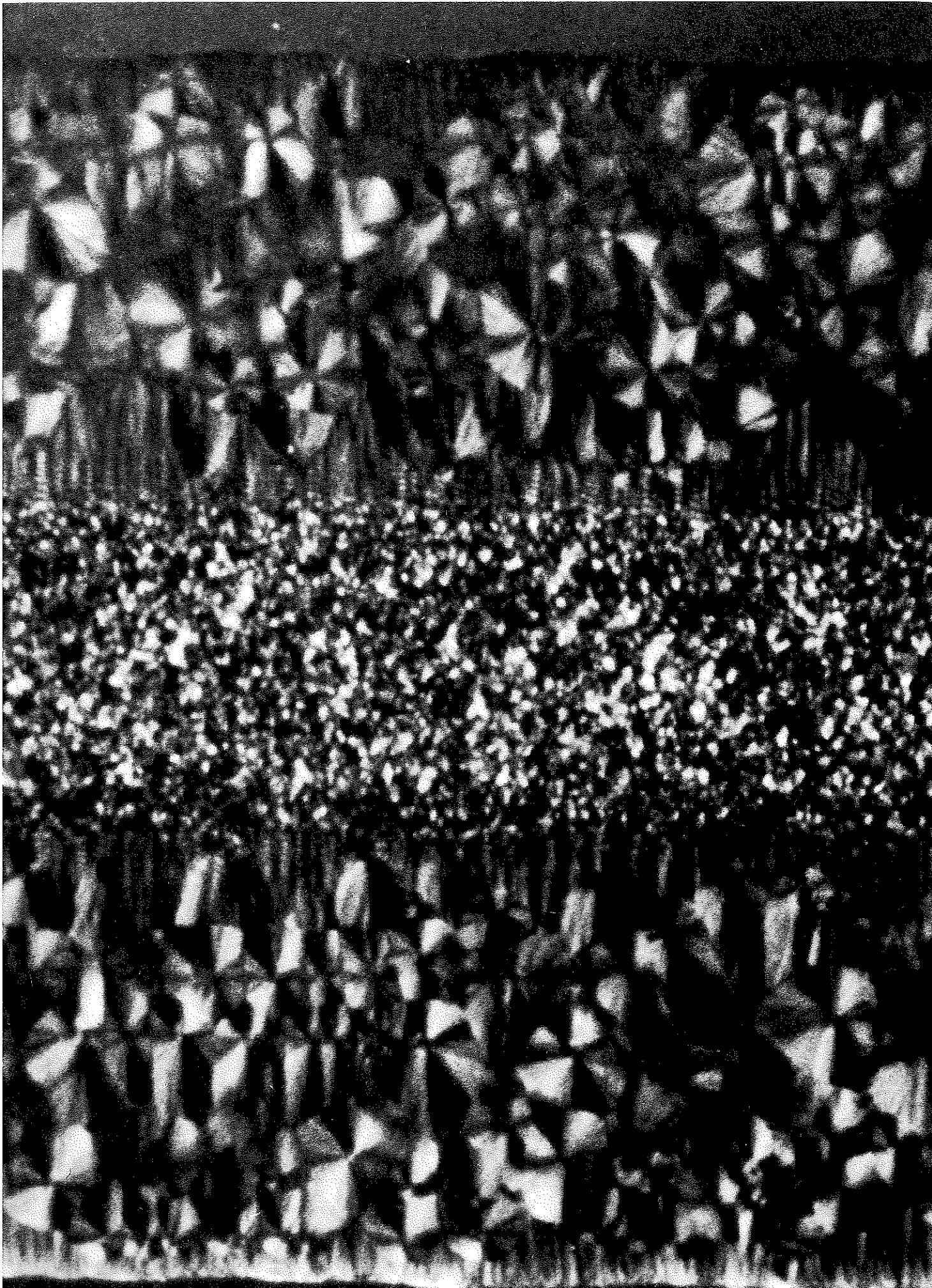
Harold H. Schobert, Associate Professor of Fuel Science; Chair, Fuel Science option, B.S. (Bucknell), Ph.D. (Iowa State)

Peter A. Thrower, Professor of Materials Science, B.A., M.A., Ph.D. (Cambridge)

Francis J. Vastola, Professor Emeritus of Fuel Science, Ph.D. (Penn State)

Philip L. Walker, Jr., Evan Pugh Professor Emeritus of Materials Science, Ph.D. (Penn State)

Peter M. Walsh, Associate Professor of Fuel Science, B.S. (Robert College, Istanbul), M.A. (Wesleyan), Ph.D. (Cornell)



Polymer Science (PLMSC)

DR. PAUL C. PAINTER, IN CHARGE OF GRADUATE OPTION

Polymer science at Penn State is a multidisciplinary subject concerned with the study of macromolecules. Such molecules are pervasive in today's technological society and find numerous applications in such diverse fields as plastics, elastomers, adhesives, surface coatings, textiles, packaging, and composites. Students work with faculty on a wide range of research projects, some of which are outlined in these pages. In addition, special facilities exist for research in the areas of polymer synthesis, polymer blends, surface science, mechanical properties, modeling and theoretical studies, diffraction and scattering, multicomponent systems, polymer characterization, high-temperature-stable polymers, conducting polymers, microscopy, vibrational spectroscopy, and thermal analysis.

FACULTY

David L. Allara, Professor of Materials Science and Chemistry, B.S. (California), Ph.D. (UCLA)

Tze-Chiang Chung, Professor of Polymer Science, B.S. (Chung-Yuan, Taiwan), Ph.D. (Pennsylvania)

Michael M. Coleman, Professor of Polymer Science, B.S. (Borough Polytechnic, London), M.S., Ph.D. (Case Western)

Bernard Gordon III, Associate Professor of Polymer Science, B.S. (Cal Poly), Ph.D. (Arizona)

Ian R. Harrison, Professor of Polymer Science, B.Sc. (Leeds), M.S., Ph.D. (Case Western)

Donald E. Kline, Professor Emeritus of Materials Science, B.S., Ph.D. (Penn State)

Sanat Kumar, Associate Professor of Polymer Science, B.S. (Indian Inst Tech), M.S., Sc.D. (MIT)

Paul C. Painter, Professor of Polymer Science, Chair, Polymer Science option, B.S., M.S. (London), Ph.D. (Case Western)

James P. Runt, Professor of Polymer Science; B.S., Ph.D. (Penn State)

unique molecular and atomic features of interfaces between materials often control significantly influence the useful functions of synthetic and naturally occurring structures. Examples include the rate and specificity of electrochemical processes, the adhesive strength and conductivity of thin metal-film coatings on polymer or ceramic substrates in an electronic circuit component, the biological compatibility of a synthetic biomedical implant, the efficiency of a semiconductor transistor with a chemically modified interface, and the corrosion of a structural metal part induced by its working environment.

The major objective of Professor Allara's research program is the development of a fundamental understanding of the chemical structures and processes that occur at these interfaces. Particular emphasis is placed on interfaces where one of the adjoining phases is organic.

An interface is a complex boundary region that can be viewed as a slice of material, often thin as one or two molecules. Extreme demands are placed on the chemical and structural probes needed to study these regions.

Professor Allara researches both the development of sensitive molecular-structure probes, and the development of informative model chemical structures.

One type of model consists of a supported monolayer of monolayer dimensions such that all the molecular groups examined will be part of the interface. For example, to learn about the interface between a polymer and a metal oxide, Professor Allara has studied the properties of an adsorbed polymer monolayer on a smooth, planar metal substrate covered by a thin oxide film. Another model system of great utility is an organized monolayer assembly of multifunctional organosulfur compounds on a gold surface. Attachment to the gold occurs via a bivalent sulfur atom. Other groups such as amino, hydroxyl, carboxylate and derived esters, methyl, and fluoroalkyl arrange themselves in the interface or at the ambient interface as dictated by thermodynamics and molecular structure.

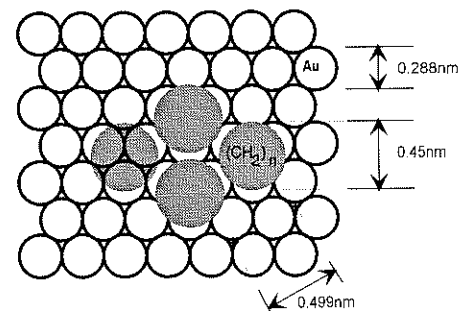
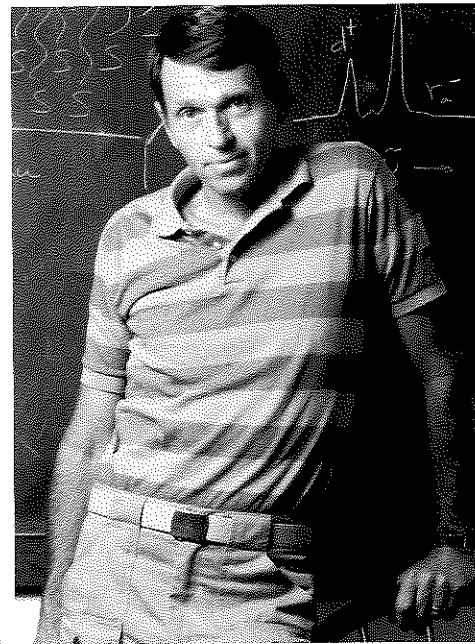
These model structures have provided details about the molecular basis of the wetting of liquids by an organic surface, the transport of electrons and ions through layers of alkyl groups, the adhesive chemical-bonding interactions in polymer coatings. Other research groups recently have extended this work to the preparation of biologically active surfaces, and Allara's group is now examining these structures for use as specific chemical sensors. One of the fascinating aspects of these systems is the alteration of chemical-reaction mechanisms of organic reactions because of the "two-dimensional" nature of the assembly. New activity in the research program will develop additional model

structures on technologically important substrates such as glass, carbon, and various semiconductors.

Characterization of the above and related structures has been performed primarily by infrared vibrational spectroscopy, optical wavelength ellipsometry, X-ray photoelectron spectroscopy, and electrochemistry. In the case of vibrational spectroscopy, it has been necessary to develop new types of experimental and theoretical approaches in order to provide quantitative characterization of structural features such as surface orientation, group conformations, and intermolecular interactions. Both Fourier-transform and laser techniques have been applied experimentally, while a combination of molecular vibration analysis and classical electromagnetic theory have proved useful for theoretical interpretations. In addition, other promising techniques are continually being evaluated. Of recent interest are scanning tunneling microscopy, quartz crystal microgravimetry, surface plasmon resonance spectroscopy, secondary ion mass spectrometry, forward recoil spectroscopy, and valence band spectroscopy.

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ALKYL CHAINS SPACED AS NEXT-NEAREST NEIGHBORS ON A Au(111) SURFACE.

Keywords

surface chemistry, chemical kinetics, vibrational spectroscopy, condensed matter interfaces including polymers, surface analysis, materials chemistry

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Professor Boehman's research interests involve the study of combustion and pollution control systems for applications ranging from automobile catalytic converters to catalytic combustors for power plants. These research efforts have included the development of experimental facilities designed specifically for the study of pollution control catalysts and numerical models for the dynamic behavior and stress formation within catalytic combustors for natural gas-fired co-generation plants.

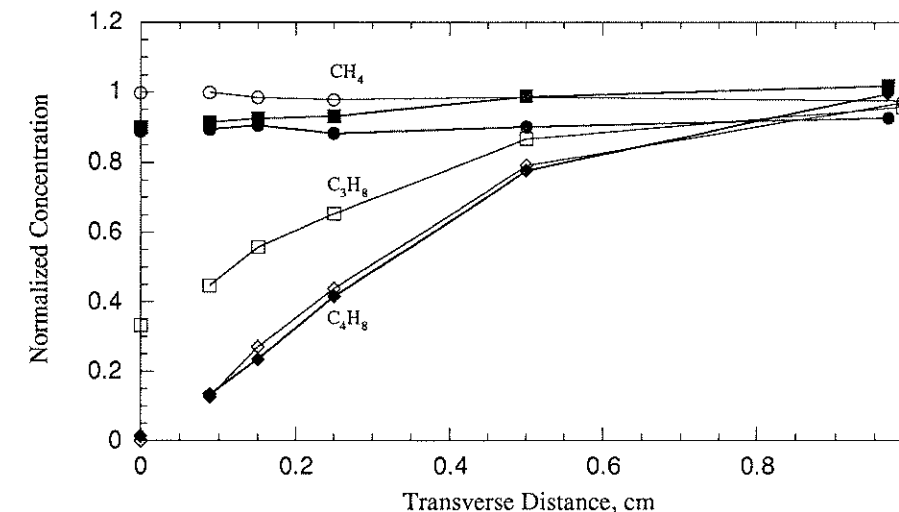
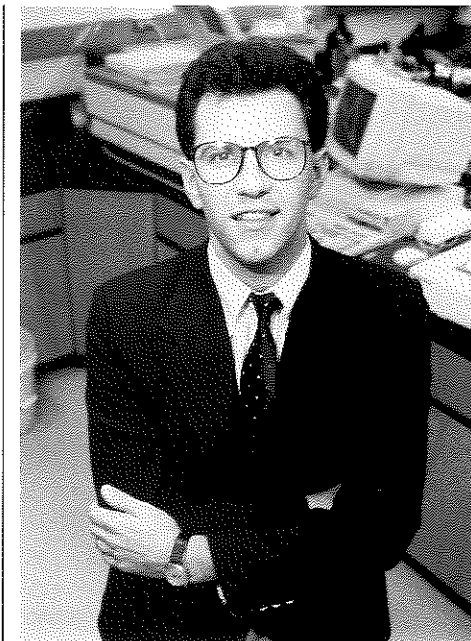
Many combustion and pollution control research problems involve the interaction of convective heat and mass transfer and heterogeneous chemistry. Such systems are of tremendous practical importance, and understanding their behavior and finding ways to enhance their performance are essential areas of research.

Within the Fuel Science program, Professor Boehman is constructing laboratory facilities for studying pollution control devices and advanced catalysts for use in diverse applications including diesel oxidation and lean-NO_x catalysts, and NO_x abatement systems for industrial coal boilers.

Other ongoing research includes the development of a numerical model for the transient behavior of natural gas-fired catalytic combustors. The current form of this computer code is 2-D and includes surface chemistry for an advanced palladium oxide catalyst formulation and thermal stress formation in the ceramic combustor substrate. Further development of this code will include addition of gas phase reactions and 3-D stress formation within the substrate. The goal is to provide design and operational guidelines for use of catalytic combustors in power generation facilities.

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Concentration profiles from a catalytic flow reactor during multicomponent oxidation with (filled symbols) and without (open symbols) the presence of 500 ppm nitric oxide, over a palladium on alumina catalyst at 320°C.

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Keywords

pollution control, heterogeneous catalysis, combustion systems

William R. Bitler *Solid State Reaction Kinetics*

Dr. Bitler is currently conducting research in the area of steel processing. Steel used in commercial products such as automobiles is galvanized to reduce corrosion. The two principal techniques for galvanization are zinc electroplating and hot dipping. In order to minimize the cost of this process while retaining a durable coating, it is necessary to control the reaction products between the Zn-steel interface. An electron microscopy study of the reaction between zinc and steel and the products it forms during commercial galvanized steel processing has been performed, and a new sample preparation technique was developed for the industry.

The δ phase was found to have quasi-crystal features. Intermediate phases also play an important role in the mechanical integrity of galvanized steels. Bitler's work has been funded by Inland Steel and the American Electroplating Society.

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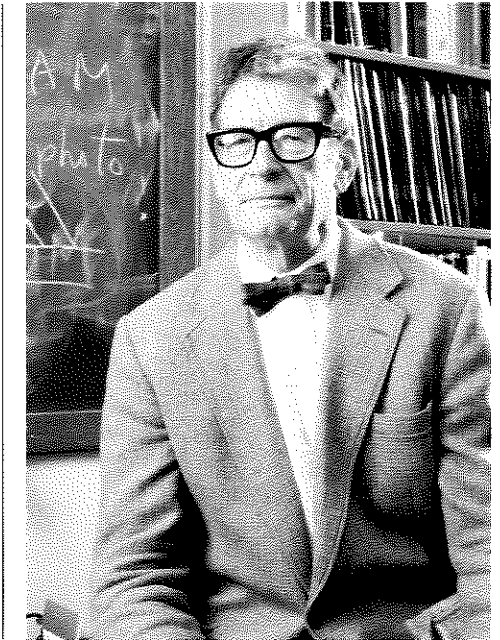
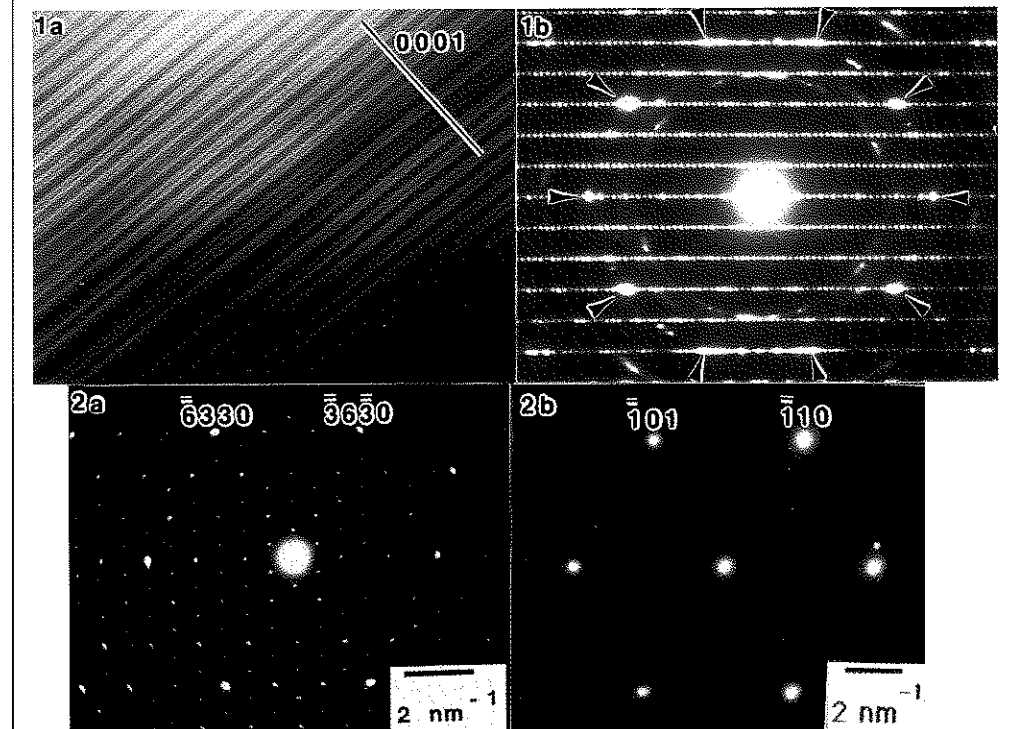


Figure 1. (a) Lattice image of the δ phase, and (b) δ $[2\bar{1}\bar{1}0]$ Selected Area Diffraction Pattern (SADP) from the image in Figure 1a. Figure 2. δ $[0001]$ SADP and α FE $[111]$ SADP.



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Keywords

solid-state diffusion, solid-solid reaction kinetics, soft and hard magnetic materials, steel processing, galvanization, electroplating

In contrast to the conventional sintering process for inorganic ceramics at temperatures in excess of 1,000°C, advanced ceramics and novel composites can be formed at low temperature and atmospheric pressure through controlled chemical reaction. This method of property development has broad applicability to the formation of both monolithic materials and ceramic-matrix composites. Unlike sol-gel processes, chemical reactions produce near net shape results so that subsequent high-temperature processing is not required. Fabrication at near net shape, as well as low temperature and pressure, involves minimal geometric constraints. Composites can be made using combinations of materials that would be precluded if sintering were to occur at high temperature and pressure. For example, ceramic-matrix composites combining nontraditional constituents such as metals or polymers, can now be synthesized. In addition, precursor phases of fibrous nature can be introduced to produce tough ceramic composites, even in composites that are not fully dense.

A generic example of a benefit of chemically mediated, sequential chemical reactions can be used to first form a fibrous phase that is subsequently infiltrated by a matrix phase as the result of a second set of reactions. If the nonmatrix phase is organic, it can be functionalized to impart specific desirable properties to these composites. Applications for chemically processed ceramics and composites range in scope from structural to electronic fields. For structural application, high toughness can be achieved; for electronic applications, useful properties such as chirality can be conferred on the organic constituent to produce piezoelectric, ferroelectric, or chromophoric composites.

Dr. Brown's group has demonstrated the chemical formation of a variety of ceramics and composites including the formation of hydroxyapatite (HAp) and HAp-collagen composites. The cristobalite polymorph of aluminum phosphate, and of alkali zirconium phosphates, has been synthesized within a few hours at atmospheric temperatures and under conditions compatible with those in vivo.

Phase pure, porous monoliths of the high-temperature cristobalite polymorph of AlPO_4 have been synthesized at 130°C, approximately 100°C below the temperature at which cristobalite is in the thermodynamically stable phase. Phase pure monolithic alkali zirconium phosphate has been prepared at 500°C; monoliths of the precursor phases have been prepared at 60°C. This later class of ceramics is highly multifunctional and is of interest as a solid-state electrolyte, low thermal expansion material, and low thermal conductive material.

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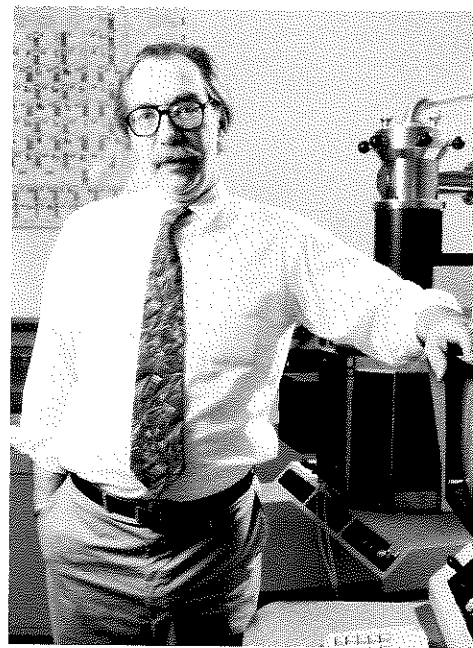
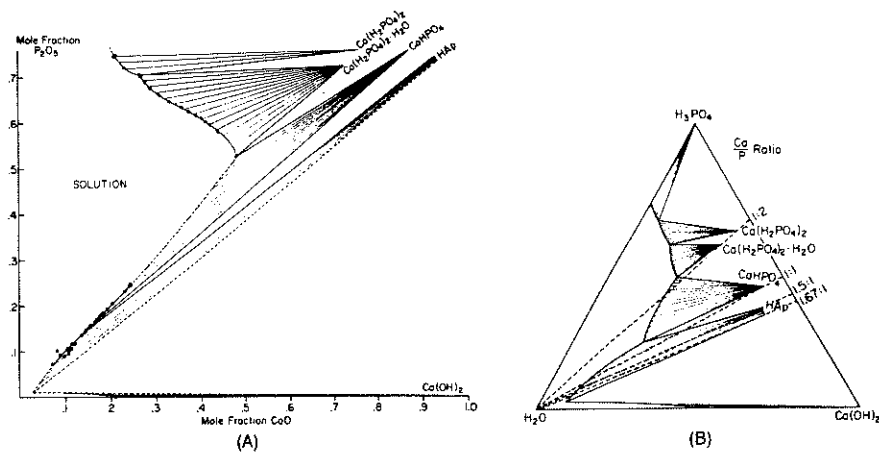


Figure 1. The ternary diagram: $\text{CaO-P}_2\text{O}_5\text{-H}_2\text{O}$ at 25°C showing the stability regions of biologically important compositions.



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Keywords

chemical formation of ceramics and composites, bioceramics, biocomposites, multicomponent phase equilibria, low-temperature synthesis

Professor Carim's research interests are centered around the microstructure and microchemistry of solid-state interfaces. The primary research tool in this work is the transmission electron microscope (TEM). Current studies include an exploration of the dilute ceramic compounds formed as interfacial phases during brazing of ceramics, and an atomic-level investigation of interface structure and defects in oxide superconductor thin films. Some aspects of the latter project are briefly described here.

There are now many ways to produce high-quality films of the $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ material that was recently found to be a superconductor at temperatures up to about 92K. For all of these deposition techniques, however, it is the final microstructure that controls the crystallographic properties. Orientation of the films is critically important, as is the number and nature of crystallographic defects such as stacking faults, grain boundaries, phase boundaries, and dislocations. High-resolution transmission electron microscopy (HREM) allows us to image individual atomic columns in a sufficiently thin sample. Specific defects and atomic arrangements at interfaces can thus be directly observed on the sub-nanometer scale.

An example of a structure in which stacking faults and dislocations are associated with a particular type of grain boundary is shown in Figure 1. Although high-resolution micrographs appear to represent the atomic columns that are viewed end-on as simple black or white dots, the actual correlation of the images to the atomic positions is often more complex. Fortunately, simulated HREM images can be generated based on the atomic structure of the sample and a knowledge of the microscope parameters and operating conditions. By comparing the experimentally obtained images with such simulations, one can determine the terminating planes at interfaces or find the correct displacement associated with defects.

Identification of interfacial phases and defects is important for understanding current flow and for optimizing processing of superconductor thin films. These microstructural issues, however, are vital for a much wider range of materials as well. For example, the adhesion and mechanical strength of ceramic-metal joints are critically dependent on the microstructure at the interface. The fracture toughness and high-temperature stability of structural composites are also governed by matrix-inclusion boundaries. Investigations of interfacial structure can help to elucidate the fundamental origins of many materials properties and may suggest how to tailor the processing of real materials in order to improve their performance.

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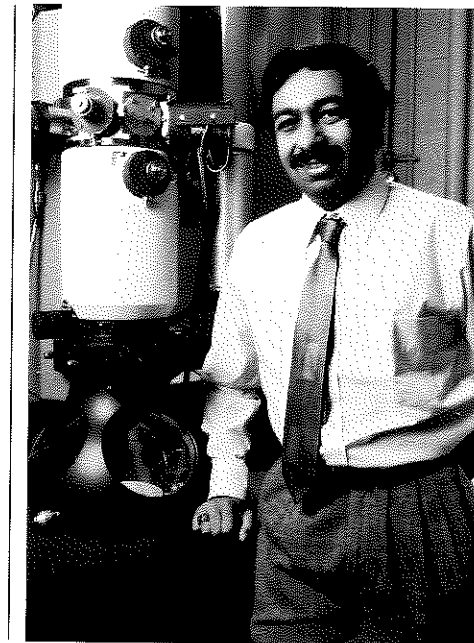


Figure 1. High-resolution TEM micrograph of a grain boundary in $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ with a rotation of 90° from one side to the other. The brightest rows of dots represent the Cu-O basal planes of the unit cell and are spaced by 1.17 nm. The geometrical mismatch at the interface results in defects, including additional atomic layers (stacking faults) in the upper grain (arrowed). The faults terminate within 10 nm of the boundary at dislocations, which are aligned parallel to the viewing direction.

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transmission electron microscopy, interfaces, ceramic joining, superconductors, ferroelectrics, thin films, crystal defects

Long-Qing Chen *Structural Phase Transformations*

Dr. Chen's research projects involve a systematic investigation of microstructural evolution during solid-to-solid phase transformations. Results are obtained through computer simulation techniques combined with modern theoretical theories.

A very common type of phase transformation in solids is the decomposition reaction of a homogeneous single-phase into a two-phase structure, which is called a *precipitation reaction*. Conventionally, there are two kinds of mechanisms that are employed to interpret the kinetic decomposition, namely classical nucleation and growth, and spinodal phase decomposition. The spinodal mechanism is applicable to isostructural decomposition, such as the decomposition of a homogeneous phase to a mixture of two phases without crystalline symmetries has always been found to occur through classical nucleation and growth. However, recent computer simulation of a disordered phase decomposition into a phase mixture of ordered and disordered phases concludes otherwise. The computer simulation shows that the conventional decomposition mechanism through nucleation and growth of an equilibrium ordered phase occurs only in a very narrow region of the two-phase phase diagram. For the most part, decomposition always starts from a congruent point, which produces a transient stoichiometric-ordered single-phase state with the same composition as the parent disordered phase and the same symmetry as the parent intermetallic phase. Decomposition of a disordered phase occurs predominantly at the antiphase domain boundaries, which results in a two-phase morphology with alternating layers of disordered films separating antiphase domains of the ordered phase. Currently Chen is studying the case of how microstructure evolves during a decomposition of a cubic phase into a two-phase mixture of cubic and tetragonal phases (i.e., the crystal systems of the product phases are different). A typical example is the precipitation of the tetragonal phase from the partially stabilized ZrO₂ alloys.

Chen is also interested in the influence of long-range interactions on the morphologies developed during a solid-to-solid phase transition. The types of long-range interactions under consideration are the long-range elastic dipole-dipole interactions, electric dipole-dipole interactions, and the long-range Coulombic interactions. They are the underlying interactions responsible for various interesting phenomena in microstructural evolutions in solids including grain coarsening (a small particle grows at the expense of a large one and the particle splits into smaller particles), and strong spatial correlations in alloys and ceramic systems, domain structures in ferroelectrics and ferromagnetics.

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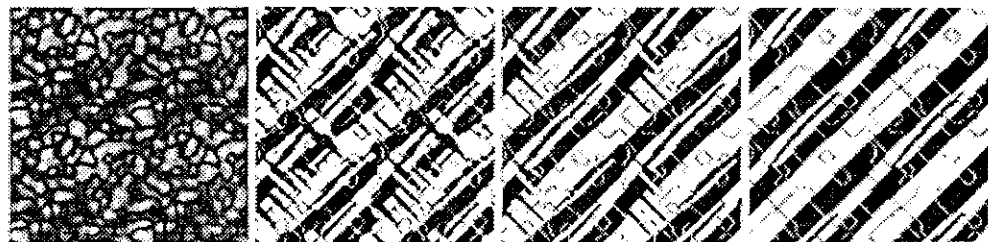
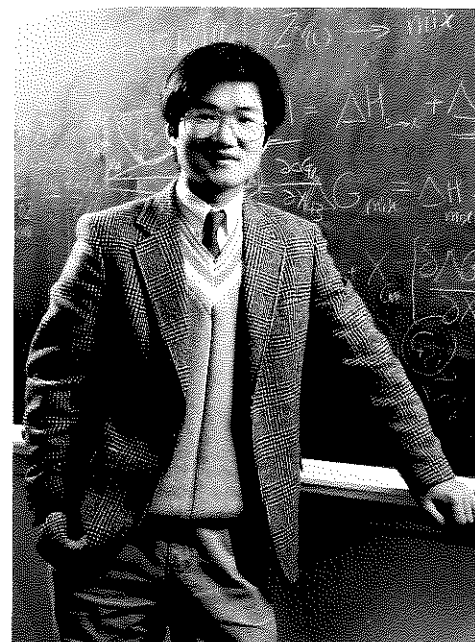


Figure 1. Kinetics of tweed and twin formation.

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Keywords

materials theory and modeling, phase transformation, grain boundaries

T.C. (Mike) Chung *New Polymer Chemistry and Materials*

Professor Chung is interested in the development of new polymer chemistry that can lead to new materials. One of his current research projects is the functionalization of polyolefins via borane monomers and transition metal catalysts. It is known that the incorporation of functional groups to polyolefin is a useful method for modifying the chemical and physical properties of polymers, (e.g., adhesiveness, compatibility, and dyeability). However, functional groups containing polymers normally are very difficult to prepare using transition metal catalysts such as Ziegler-Natta and Metathesis because of catalyst poisoning and other side reactions.

Chung's study investigates a new approach to preparing functional groups by using the intermediacy of borane monomers and polymers. Borane moieties have been found to be stable to a wide range of transition metal catalysts during polymerizations. In turn, the borane polymers are easily converted to a variety of other functionalities under mild reaction conditions. A broad range of polyolefins, such as PE and PP, with various functional groups (e.g., OH, NH₂, and halides), have been prepared.

The borane-containing polymers have also been used for the preparation of block and graft copolymers. The borane groups can be easily converted to free radical initiators for the graft-from (free radical) polymerizations. Under some reaction conditions, the oxidation of borane groups is selective and graft efficiency is close to quantitative. This chemistry covers a broad range of copolymer compositions, including both hydrophobic and hydrophilic polymeric segments. Several interesting graft polymers consisted of polypropylene, poly(1-octene), and polyisobutylene as the backbones, and several free radical polymers, such as PMMA, PVA, PAN, have been obtained as the side chains.

Several applications of the resulting new polymers have also been investigated in our laboratory, such as the immobilized catalyst using functionalized polyolefin as the supported materials. The catalyst can be recovered and reused for many reaction cycles. The functionalized and grafted polyolefin copolymers are very effective interfacial materials to improve the compatibility in polyolefin coating, blends, and composites. By using our copolymers, several new high-impact plastics and composites have been prepared. We are also extending the borane-containing copolymers to prepare boron-containing carbon fiber which could have oxidative stability at high temperature.

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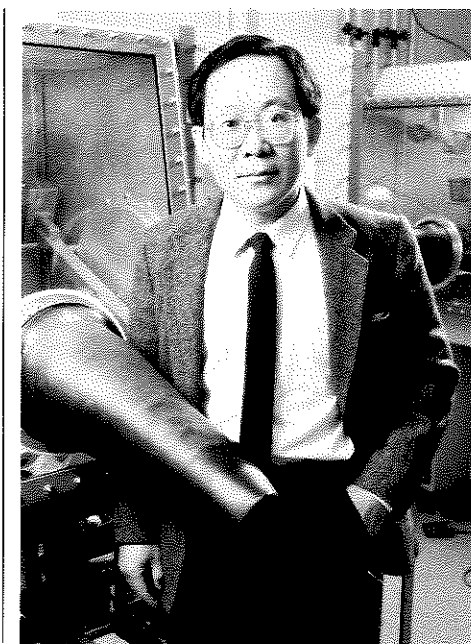
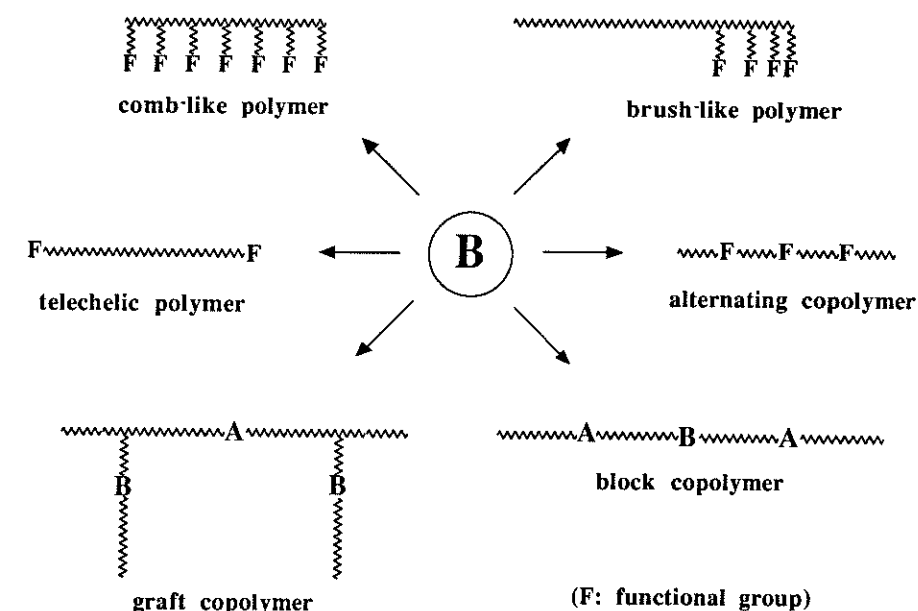


Figure 1. Versatility of borane-containing polymers in functionalization of polymers.



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Keywords

functional polyolefin, borane polymer, block/graft copolymer, immobilized catalyst

Michael M. Coleman *Multicomponent Polymer Systems*

Professor Coleman is interested in the application of vibrational (infrared and Raman) spectroscopy to the study of multicomponent polymer systems. In favorable cases, the fragments of groups that are directly involved in specific intermolecular interactions (usually hydrogen bonds) can be measured. From these the equilibrium constants can be obtained to describe the self-association in pure component (co)polymers and the interassociation between two polymers of a binary blend. With this information it is not a long step to a determination of the thermodynamics of polymer blends that involve specific interactions. An expression for the free energy of mixing of polymer systems has been developed using an association model, and Coleman's group has been successful in predicting phase diagrams, miscibility windows, and maps for a wide variety of polymer blends. Extension to more complex systems such as ternary blends and copolymers involving multiple specific interaction sites are currently being studied.

Another research area of interest to Coleman involves the search for additives that inhibit the formation of carbonaceous solids in jet fuels at temperatures exceeding 400°C. Details upon the thermal stability of jet fuels anticipated to become much more stringent in the next century, when advanced aircraft are expected to fly at speeds exceeding Mach 4. In addition to the complex chemistry of oxidation and reforming reactions that occur in jet fuels are subjected to thermal stresses at temperatures above 400°C, carbonaceous deposits at these temperatures are being studied using vibrational and NMR spectroscopies. Using these results as a guide, a number of additives have been identified, most notably benzoin and 1,4-benzenedimethanol, that inhibit the formation of carbonaceous solids in jet fuels at 425°C.

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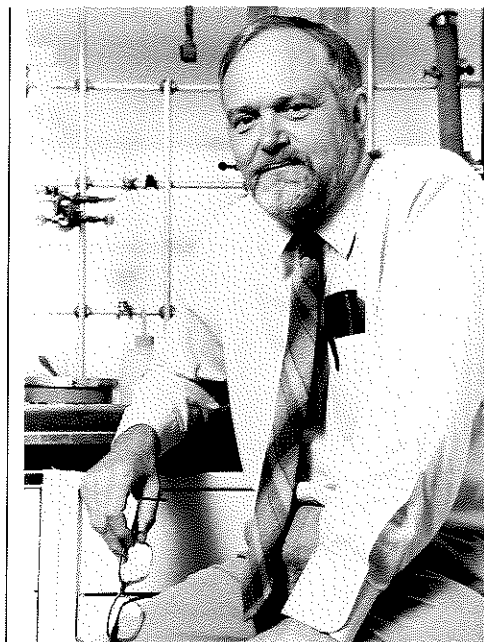
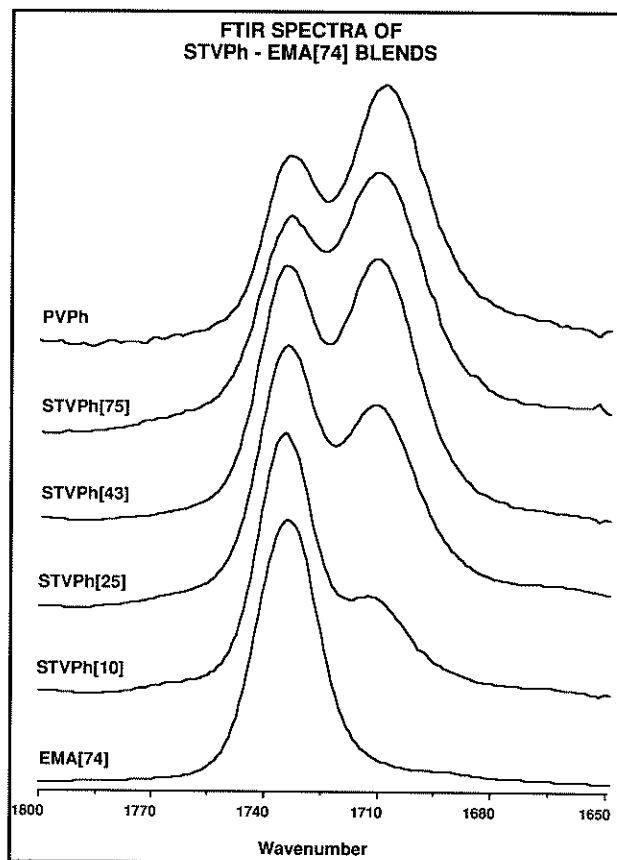


Figure 1. FTIR Spectra of STVPh-EMA[74] Blends



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Keywords

polymer blends, phase behavior, polymer vibrational spectroscopy, hydrogen bonding in polymeric materials, jet fuels stabilization

Ralph H. Colby

Polymer Dynamics, Rheology of Polymer Melts, Blends, Solutions, Gels, and other Complex Fluids

Using rheological techniques to experimentally probe the dynamics of polymer liquids is the thrust of Dr. Colby's research program. Simple notions of scaling are used to construct models for polymer dynamics, allowing for interpretation of the rheology data. Current interests include polyelectrolytes, ionomers, liquid crystalline polymers, block copolymers, miscible polymer blends, branched polymers, networks of both charged and uncharged polymers, surfactants, and colloidal suspensions.

In many cases, polymer dynamics are controlled exclusively by the motion of individual chains. In these cases, on time scales longer than the time it takes for molecules to diffuse a distance equal to their size, the material flows like a simple liquid. However, when there is large-scale structure present in the fluid (such as in liquid crystalline polymers or surfactant solutions) viscoelastic response is evident on time scales much longer than the molecular diffusion time.

An example of this is shown in Figure 1, which plots oscillatory shear data for a liquid crystalline polymer. In this experiment, the frequency ω of mechanical oscillation is varied, so as to probe the response of the polymer on different time scales. The arrow indicates the frequency corresponding to the reciprocal of the molecular diffusion time. In its nematic phase (solid curves) the viscoelastic response is liquid-like at frequencies smaller than the frequency for molecular diffusion (reflected in the fact that the loss modulus G'' is dominating the response at low frequencies).

In contrast, the smectic phase (open symbols) is still highly viscoelastic on time scales much longer than the molecular frequency for molecular diffusion (because the storage modulus G' is comparable to G'' at low frequencies). The smectic structure apparently gives rise to viscoelastic response of this polymer on long time scales. We are currently designing experiments to explore the relation between structure and properties of similar systems in a systematic

fashion.

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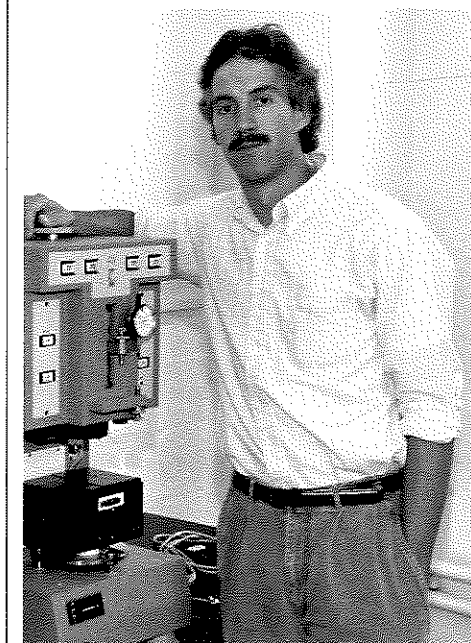
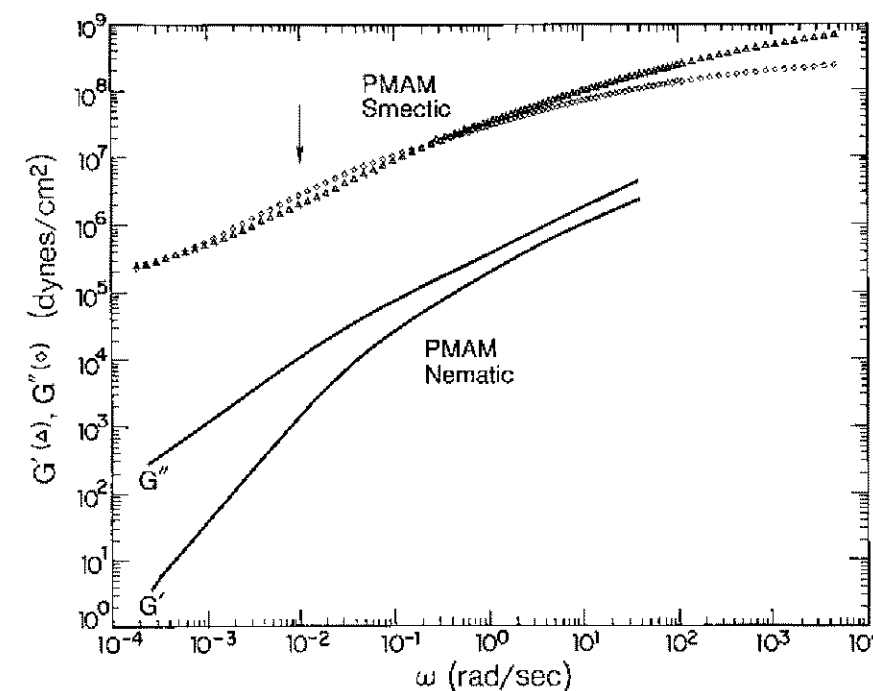


Figure 1. Viscoelastic response of a liquid crystalline polymer in its smectic phase at 84°C (open symbols) and in its nematic phase shifted to 84°C (solid curves). The arrow indicates the reciprocal of the time it takes for the polymer to diffuse a distance equal to its coil size.



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Keywords

polymer physics, rheology, viscoelasticity, structured fluids, complex fluids, scaling models

Lee J. Cuddy

Effects of Compositional and Processing Variables on the Structure and Properties of Wrought and Powder-Processed Alloys and Metal-Matrix Composites

The optimization of processing parameters to properly control gas-metal reactions at metallic surfaces, internal reactions at interfaces in metals and composites, and the redistribution of elements in alloys is essential to the improvement of existing structural materials as well as to the development of materials needed to meet demands for high-temperature strength and corrosion resistance.

Such studies require a high degree of parameter control to clearly separate the effects of the several-process variables and to establish the controlling mechanisms. Because this degree of constraint is rarely realized in commercial operations, laboratory procedures must be developed that can readily be translated into industrial applications—a step that is frequently overlooked at the interface between science and technology. The scope of such studies is quite broad, ranging from the effects of the heating environment upon the formation of surface compounds that influence diffusional processes, appearances, and properties, to the effects of micro levels of impurities on the transformation products developed in alloy steels.

One such example is an examination of the interaction of species that form during gas-metal reactions. Figure 1 illustrates the decrease in weight gain of 309 stainless steel as the oxidizing potential of the nitrogen-base annealing atmosphere increases. This apparent contradiction arises because the primary cause of weight gain in this instance is not oxide formation, but is due to the formation of internal nitrides. Nitride formation is suppressed with the increased formation of an oxide film which inhibits diffusion of nitrogen across the gas-metal interface.

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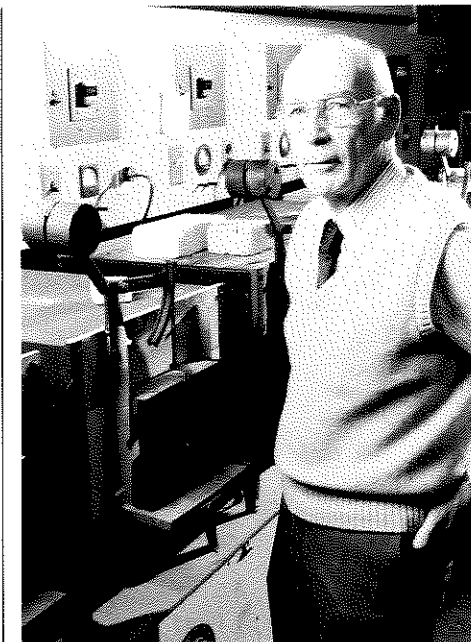
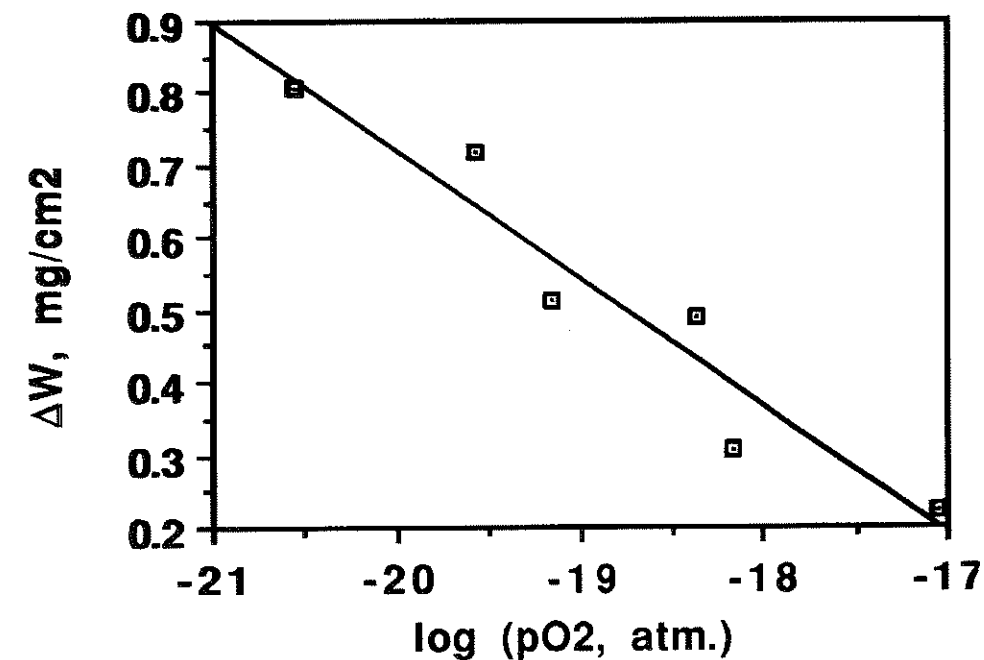


Figure 1. 309-Weight Change vs. pO_2



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Keywords

gas-metal reactions, processing parameters, interfaces, element redistribution in alloys

Principal investigator of several sponsored research programs, Professor Tarasankar DebRoy's current research covers a broad range of contemporary problems in materials processing, all related to rate phenomena at high temperatures. His research involves use of sophisticated modern experimental tools and physical and mathematical simulation techniques. These sponsored research programs include understanding the kinetics of oxidation of aluminum alloys for the synthesis of oxide matrix composites, and simulation of transport phenomena and surface chemistry to better understand the chemical vapor deposition of diamond. He has developed a new process for the temperature deposition of diamond-like carbon (DLC) films on a variety of polymeric and other materials, and is now scaling this process to achieve uniform deposition of DLC films on large surfaces.

In another major sponsored program, DebRoy is examining the alloying element segregation, liquid metal expulsion, and the partitioning of impurities between the laser-irradiated liquid pool and its environment. He is studying the interfacial phenomena between laser-materials interaction, particularly laser welding. The work has major implications for weld metal composition and the evaluation of the environmental impact of a particular welding system. DebRoy is studying the role of oxygen in the development of weld pool geometry and structure.

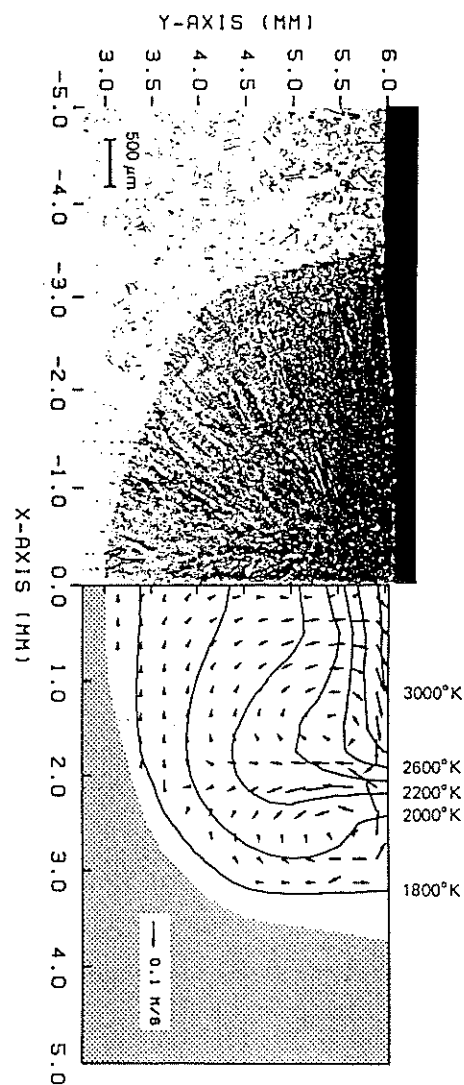


Figure 1. Comparison of the calculated and experimentally observed weld fusion zone. The figure shows that numerical simulation of heat transfer and fluid flow is useful for the prediction of weld pool geometry. From Zacharia, David, Vitek, and DebRoy, *Welding J. Res. Suppl.*, December 1989, pp 510s-519s.



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Keywords

plasma processing, welding, laser processing, thin films, numerical calculation of heat transfer and fluid flow, rate phenomena

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Semih Eser is carrying out research on carbonization of petroleum feedstocks, microscopic characterization of solid carbons, solid carbon deposition on metal and carbon surfaces, thermal degradation of hydrocarbons under supercritical conditions, and coal swelling under high pressure in reactive environments.

Delayed coking of the petroleum-heavy feedstocks (e.g., the bottom of the barrel of crude oil or heavy ends from catalytic and thermal cracking of petroleum fractions) produces light distillates (e.g., gasoline and kerosene) and petroleum coke. Depending on the microstructure and composition, petroleum coke can be used for (1) manufacturing graphite electrodes to produce steel in electric-arc furnaces, (2) making anodes used in aluminum production or in other electrolytic processes, (3) burning to raise industrial steam without producing ash.

The most significant process that takes place during carbonization in a delayed coker is carbonaceous mesophase development. The structure and properties of the solid carbons produced by thermal treatment of hydrocarbons (e.g., graphite electrodes and carbon fibers) are governed principally by the characteristics of the carbonaceous mesophase formed during low-temperature carbonization. Carbonaceous mesophase has properties similar to those of nematic liquid crystals, and it is easily characterized by polarized-light microscopy. Figure 1 shows a polarized-light micrograph of carbonaceous mesophase from a decant-oil sample, indicating the nucleation of anisotropic mesophase spheres that coalesce to form anisotropic solid carbon structures. A high degree of anisotropy or a well-developed mesophase in the form of elongated microstructures is required to manufacture high-performance graphite electrodes or carbon fibers.

A major challenge in the coking industry has been the establishment of basic relationships between the chemical constitution of the coker feeds and the microstructure of the resulting cokes. The complexity of the feed streams has forced the researchers to use averaged data from spectroscopic techniques such as n.m.r. and FTIR. Our research is focused on identifying the molecular species present in coker feeds, particularly in decant oils, which are used for producing highly graphitizable premium petroleum cokes called *needle cokes*. Chromatographic separation techniques such as column chromatography, thin-layer chromatography, and high-performance liquid chromatography in conjunction with GC/MS and heated probe/MS have been useful for determining the molecular composition of decant oils. This information, in turn, allows us to seek correlations between the molecular make-up of the feeds and the quality of the resulting cokes.

A rationalization of such correlations is possible through understanding the relationships between the molecular parameters of the aromatic hydrocarbons and the mesophase development.

anthracene and phenanthrene have shown that the kinetics and chemistry involved in the formation of large molecules can be closely related to the mesophase development during carbonization. The substantial differences in the reaction mechanisms, kinetics, and mesophase development during carbonization of these two isomers have been related to the differences in their electronic structures.

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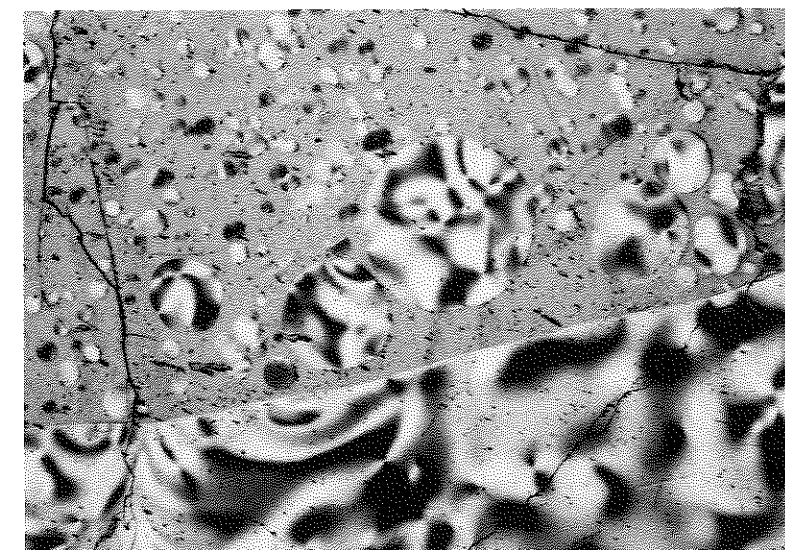
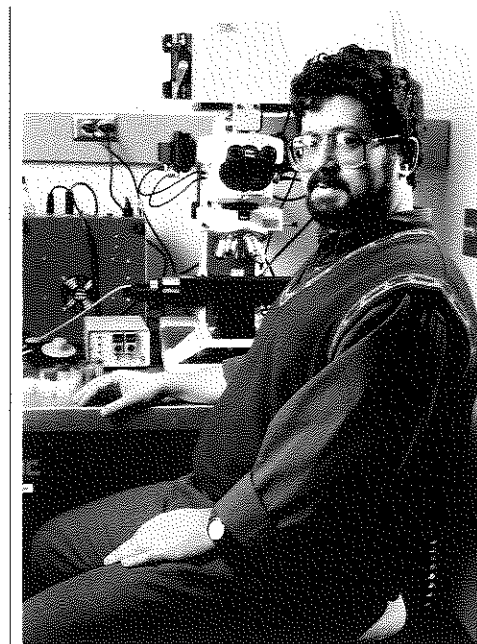


Figure 1. Polarized-light micrograph of a solid produced by carbonization of a decant oil, indicating the mesophase spheres and their coalescence to form anisotropic structures.

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Keywords

chemistry of carbonization, mesophase development

Michael Y. Frenklach

High-Temperature Chemical Kinetics, Modeling of Reaction Systems, Combustion Chemistry, Si and SiC Powder Nucleation, Diamond Film and Powder Formation, Interstellar Dust.

Formation in Hydrocarbon Combustion. The formation of soot in combustion of fossil fuels is a serious environmental concern. In the last few years, detailed chemical mechanisms for the formation of polycyclic aromatic hydrocarbons (PAHs)—key soot precursors—have been developed in Dr. Frenklach's laboratory. The current focus is on the establishment of the mechanisms and kinetics of the oxidative destruction of PAHs, the chemistry and physics of the evolution of PAHs into particles and particle dynamics, and development of kinetic models capable of predicting sooting characteristics in practical combustion environments.

Research on Natural Gas Combustion Phenomena. Natural gas is one of the most abundant, cheap, and clean natural fuels. The Gas Research Institute has been leading the development of new technology for the efficient combustion of natural gas. Ongoing research programs are in support of theoretical efforts. The objectives are to determine the reliable kinetic mechanism of methane oxidation, a methodology of mechanism development and update, and a methodology for the efficient way to combine this kinetic information with fluid-dynamic codes used to model natural gas combustors.

Silicon and Silicon-Carbide Powder Formation. The objective of this research program is to establish reaction mechanisms and kinetics of silicon phase nucleation and growth of silicon carbide powders at high temperatures. This knowledge is important for the chemical vapor deposition of silicon and silicon carbide films, future technologies for fabricating new materials, and formation of interstellar grains.

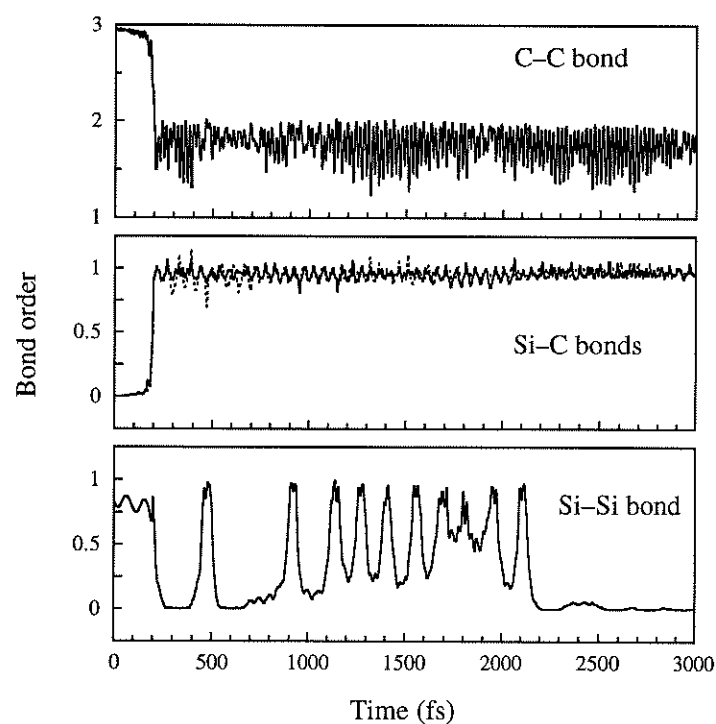
Formation of Diamond Films and Powders. Diamond is a material of choice for electrical, and mechanical application. In recent years, vapor synthesis of diamond at low pressures has evolved from an issue of scientific curiosity to a subject of technological importance. The work in our laboratory is focused on the assisted chemical vapor deposition of diamond films and homogeneous nucleation of diamond powder. Particular emphasis is placed on fundamental aspects of diamond nucleation and growth mechanisms.

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Figure 1. Time evolution of bond orders obtained in molecular dynamics simulations of acetylene reaction with a Si(100)-(2x1) surface.



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high-temperature chemical kinetics, combustion chemistry, kinetics and mechanisms of materials synthesis.

Bernard Gordon III

Polymer Synthesis, Anionic Polymerizations, Bio-medical Materials, Degradable Polymers

Professor Gordon is interested in controlled polymer architecture to achieve specific properties. His current research program includes: controlling molecular architecture by the use of delocalized carbanion initiators for anionic polymerization, the synthesis of new bio-medical materials to control diffusion of water in the Penn State artificial heart, and the synthesis of polymers that degrade in the environment after their useful life.

Anionic initiation can be used to accurately control the molecular weight and the shape of a polymer chain. The chain can be formed in a linear, star, or cyclic form. By the use of controlled initiators we have prepared all of these structures including a new cyclic polymer that can be cleaved by treatment of mild acid. New macromonomers have also been prepared that greatly change the properties of pressure sensitive adhesives.

The Penn State Heart is made of nearly all plastic materials. One of the many crucial parts is the blood sac that pumps the blood. The sac is designed to be an elastomer and has to have a surface that is compatible with blood. Currently the best material available is a segment block copolymer that is made up of polyurethane/urea and poly ethers. This material is ideal except that water vapor easily diffuses through the material and then condenses in the mechanical heart around the motor, filling the space where the pump works. A polymer science solution is being worked on to eliminate the diffusion of the water. We have synthesized a new polymer that has similar polyurethane/urea segments to the currently used material and soft rubbery segments that are excellent barriers to water vapor transmission. The material shows greater than an order and one-half decrease in water vapor transmission.

Polymers in the environment have become a critical social issue. We have developed a new route to make polymers degradable. By making copolymers of PET, a common commercial polyester, with esters that are easily hydrolyzable, we have made a family of polyesters that have physical properties of PET, yet degrade over several years by reaction with water in the air.

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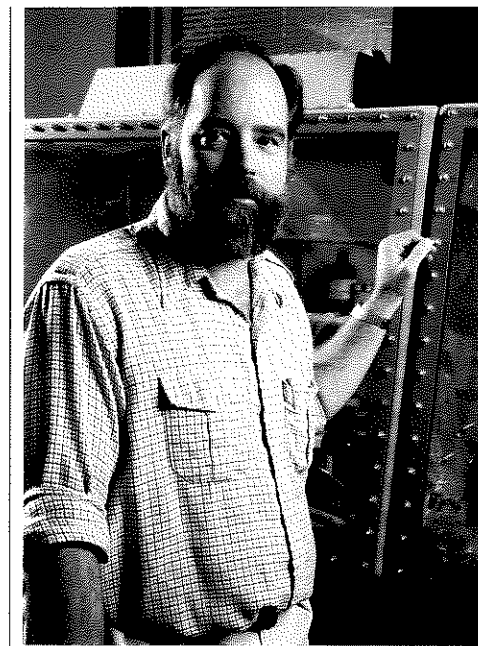
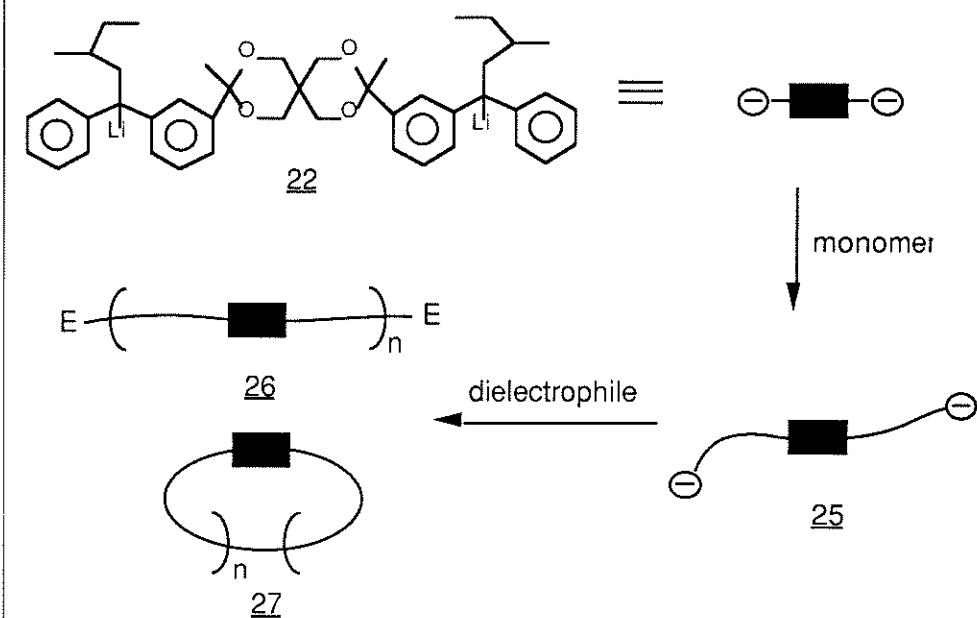


Figure 1. General scheme of reaction of a dianion with a dielectrophile.



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polymer synthesis, controlled architecture, anionic polymerization, bio-medical polymers, hydrodegradable polymers, polymer degradation

David J. Green *Mechanical Behavior of Brittle Materials*

Materials are usually brittle, breaking in a catastrophic manner. Indeed, this behavior limits the use of ceramics in both structural and nonstructural applications. The low energy involved in breaking these materials is a result of their low toughness. In various mechanisms have been identified for increasing the fracture resistance (toughness) of these brittle materials. For improvements in fracture resistance involved the addition of particles, platelet-like fibers, or fibers to a material. This type of approach has been very successful and, in material systems, increases in toughness of order of magnitude have been obtained. Recent developments, the use of a materials approach has been a critical philosophy in taking the advances. The materials approach emphasizes the relationships between processing, structure, and mechanical properties as a way to understand a material, and processes for improving its properties.

Physical structure of importance to a material mechanical property may occur at various scale levels. For example, in composites the features in the microstructure of a material that are at the key level for controlling its resistance. In laminated materials, it is the macrostructure of the material that is important. The goal of this approach in materials is to be able to design structures so that one can obtain a targeted set of properties. Different structures, in terms of their weight, can be important to control the density of the material. In some cases, this is accomplished by introducing porosity into a material. The scientific process is akin to engineering design but occurs at all scale levels of the material.

In the fracture behavior of ceramics, it has recently been appreciated that the fracture rate may depend on the size of the cracks that lead to failure. Clearly, this interest has been on situations in which this fracture rate increases with increasing crack size, and the progress of the crack more difficult to stop. Indeed, it would be of particular interest to be able to control this fracture resistance at various scale levels. For example, it has been shown that residual stresses could be introduced into a material in such a way that, when the material is stabilized, strength is increased, and strength variability is reduced. Such strength could be obtained from graded and layered structures. The research areas being investigated by Green are centered on the mechanical behavior of ceramics. These areas include research on toughening mechanisms, microstructures (for introducing crack and crack arrest into brittle materials), analysis, and techniques for improving structural reliability of ceramics.

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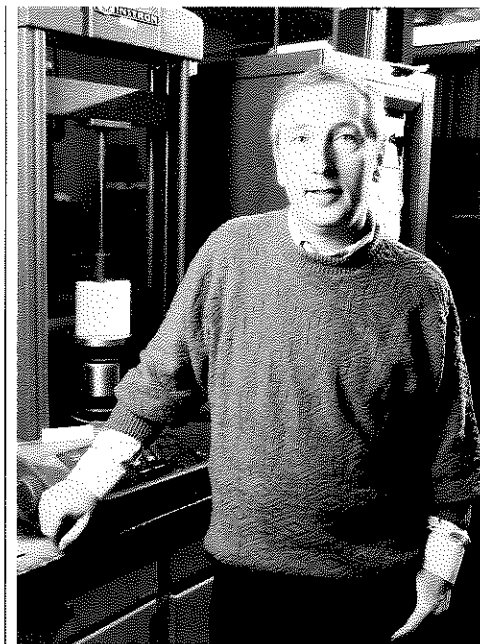
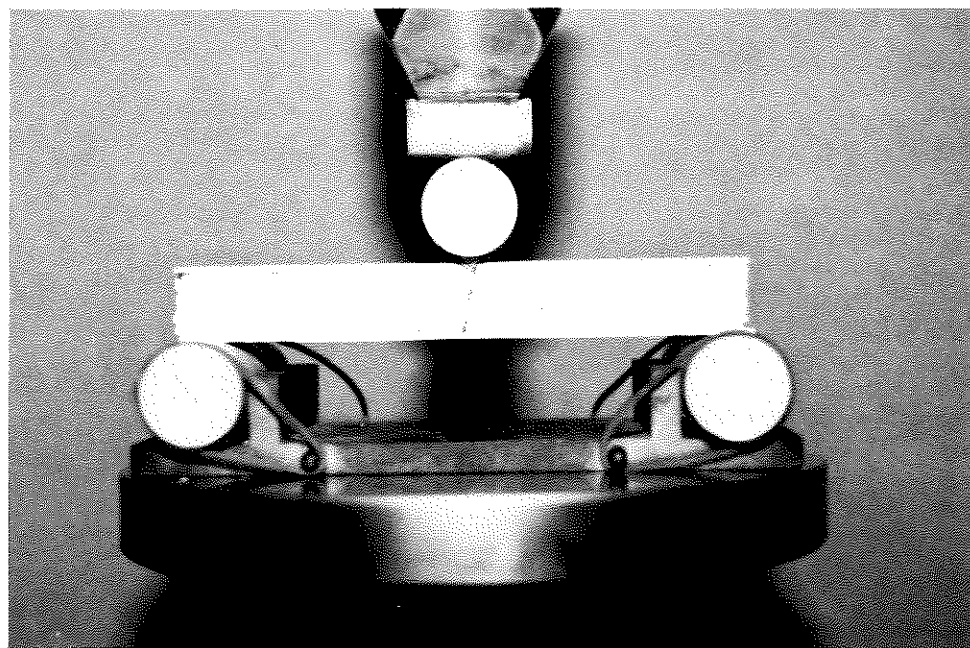


Figure 1. Three-point loading of a ceramic sandwich structure, consisting of a porous cellular core and dense faces. The failure began in the core, but was arrested by the surface layers.



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mechanical behavior, brittle materials, failure analysis, micromechanics, fracture mechanism, structural reliability

Ian R. Harrison *Thin Films for Long-Duration Balloon Flights*

Developing and utilizing an understanding of mechanical properties in specialty polymer films is the focus of Dr. Harrison's research, which is currently being supported by NASA. Such films are approximately the thickness of garbage bags or food wrap, yet are used to construct balloons that carry payloads of up to 7,000 pounds to altitudes of nearly 130,000 feet. When fully inflated, these balloons are many hundreds of feet in diameter, and are composed of acres of film that are assembled with approximately twenty miles of seal. The balloons are used as tools for conducting experiments in high-energy physics on atmospheric science, for instance, monitoring ozone depletion.

Harrison's contribution lies in understanding how processing and polymer structures interact to produce mechanical properties. Such an understanding is essential in trying to produce films that are tougher and more resistant to deformation. The next-generation balloon films are earmarked for the extended flight times required for future scientific payload experiments.

Current efforts are directed in three areas: (1) production of a molecular-level model that can explain film physical properties; (2) testing, evaluation, and modification of semi-commercial films; and (3) production of unique blends of existing polymers. Modeling involves integrating a large amount of characterization data into a comprehensive and consistent structural picture. This part of the study is approaching completion; the model is being "fine tuned" and key tests performed to check its validity. Incidentally, commercial film and the model studies are conducted with film formed by a blown-film process (Figure 1). There are a large number of process variables associated with this particular technique that makes the task quite difficult.

Contacts with industry have been very rewarding. A number of manufacturers have made suggestions and tested and supplied variations of their standard materials or processes in order to advance the project. Aided by understanding developed through work with the molecular model, Harrison's own study of blends falls into two areas: One approach studies fairly standard polymer mixtures, such as high- and low-density polymers, as well as polymers with unusual molecular weight distributions. Additionally, a unique combination of polyethylene (PE) and a new class of materials called *liquid crystalline polymers* are being evaluated. Appropriate processing should enable production of a fiber-reinforced PE, where the fiber is formed directly from the liquid crystal polymer phase during the film-forming operation.

Successful completion of this project will produce a new class of fiber-reinforced film systems whose properties will need to be fully

will continue to benefit from interaction with this research at Penn State, yielding a more comprehensive picture of the structure-property relationships produced by controlled variations in the blown-film-processing operation.

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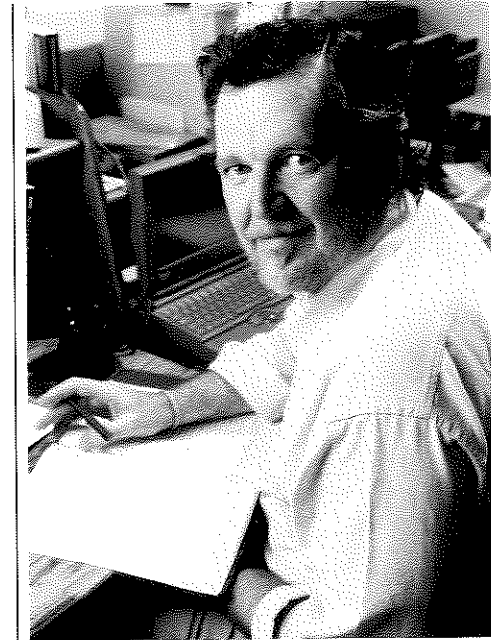
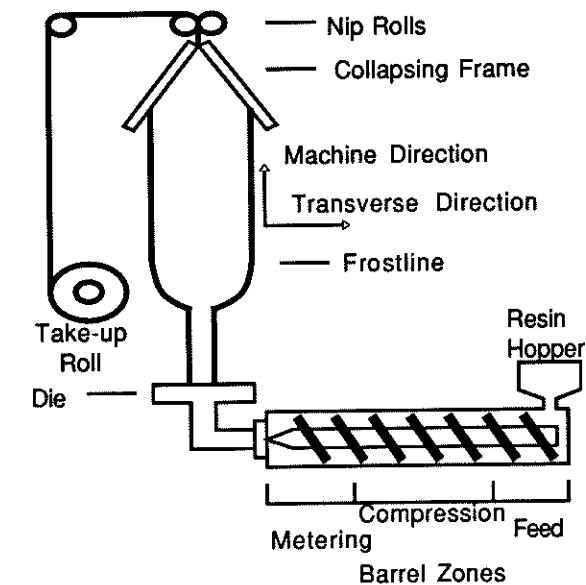


Figure 1. Schematic of the blown-film process.



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Keywords

structure-property-processing relationships in polymers, thermal properties, blown films, elongational rheology, polyolefins, TREF

Patrick G. Hatcher

Organic Geochemistry of Macromolecules in Fossil Fuels and the Modern Environment

Hatcher is involved in a multitude of studies in the field of organic geochemistry with an emphasis on coal, studies of humification processes, and geochemistry of kerogen in modern and ancient sediments. In addition, his research interests lie in applications of nuclear magnetic resonance and analytical pyrolysis techniques for the study of thermal and low-temperature reactions of specific organic compounds in the environment.

Specific research on the organic chemistry of coal and coalified plant remains in relation to geologic history is intended to provide a better understanding of the chemical structure and reactivity of coal. Studies of the degradation of plant matter in modern sediments provide information on origin of humic substances and the process of humification. By analyzing remnants of plant fossils in the geologic record, Dr. Hatcher's research team can develop an understanding of the chemical and structural changes brought about by sedimentation and can generate a series of structural models depicting the macromolecular chemistry of the plant residues at various stages of maturation or coalification. The three-dimensional expressions of these models is generally displayed by computer. Also, the three-dimensional nature of the coalified plant remains is visualized by a newly acquired magnetic resonance imaging system (Figure 1).

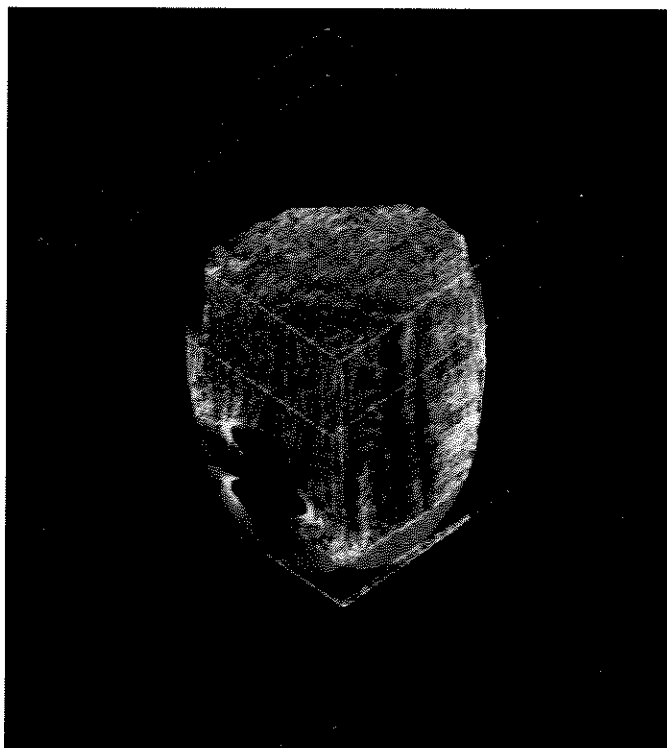
Other studies include examination of the chemistry associated with the thermal degradation of jet fuels, liquefaction of coal to produce liquids, and implementation of new liquefaction techniques. Studies also include analysis of fossil fuels and thermal degradation products of fossil fuels by solid-state and liquid ^{13}C NMR, pyrolysis/gas chromatography/mass spectrometry, and gas chromatography/mass spectrometry.

Environmental chemical studies by Dr. Hatcher's group include the determination of binding sites for organic pollutants in soil organic matter by use of ^{13}C -labeled pollutants and ^{13}C NMR. Using such techniques, one can determine whether pollutants are effectively quenched from their involvement in the environment and, therefore, are innocuous. Other environmental studies include characterization of dissolved organic carbon in ocean water by solid-state ^{13}C NMR. Identifying the structure and reactivity of this dissolved organic carbon, a major contributor to the pool of carbon in the geosphere, has become a topic of concern in efforts to understand the cycle of carbon on Earth and its importance in respect to global warming. Solid-state ^{13}C NMR is one of the few methods available for characterizing the chemistry and eventually the structure of this material.

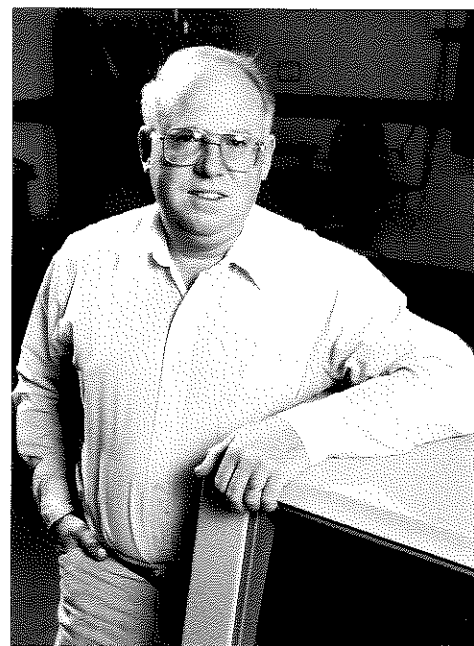
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Figure 1. A three-dimensional image of coalified wood obtained by magnetic resonance imaging.



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John R. Hellmann

Development of Materials with Tailored Properties

Professor Hellmann's research interests focus on the development of new materials and complementary materials design methodologies for their application as structural and thermal members in high-performance applications. These research efforts cover the range from monolithic to composite ceramic and intermetallic materials. Effects of microstructure on thermal, mechanical, elastic, and optical properties of materials are of central interest in his studies.

Recent studies in Professor Hellmann's laboratory address tailoring of interfacial bonds in model ceramic-fiber-reinforced ceramic-, metal-, and intermetallic-matrix composites to elucidate the key mechanisms that contribute to enhanced high-temperature fracture toughness and creep resistance. His research team's development of novel fabrication methods for such materials is unique, and opens a myriad of possibilities for producing advanced ceramic-composite materials such as high-temperature structural components for the automotive, aerospace, and industrial-heating-systems manufacturing industries. Current efforts are concentrating on the selection and application of fiber coatings to achieve the levels of interfacial bonding and thermoelastic stress relief required for high fracture toughness and high-temperature strength.

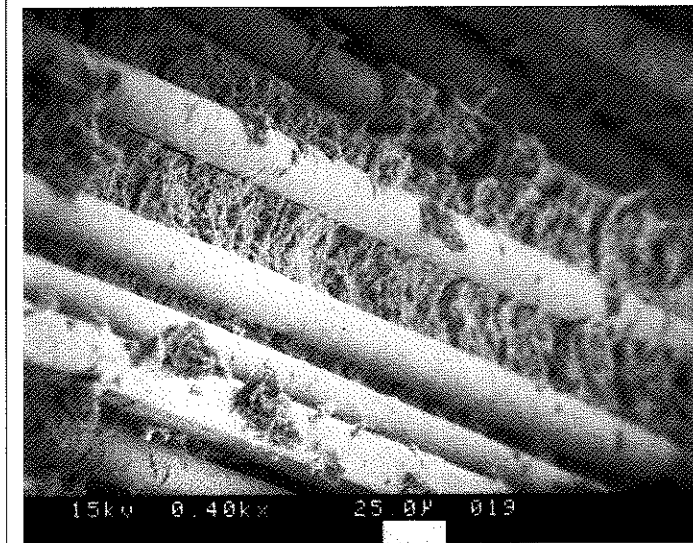
A parallel activity in Professor Hellmann's laboratory focuses on establishing test and analysis methods for predicting, and experimentally verifying, physical properties such as thermal conductivity, thermal expansion, heat capacity, optical emissivity, elastic moduli, and interfacial shear strength of ceramic composite materials with tailored microstructural characteristics. His research team has combined finite-element analysis with experimental verification of interfacial shear behavior to assess the relative contributions of thermoelastic stress and applied mechanical stress to the interfacial failure in fiber-reinforced composites. Results of these efforts have been instrumental in identifying mechanisms contributing to interfacial failure, as well as in establishing criteria for properly quantifying the contribution of each to the overall interfacial failure process. Much effort has been devoted in his laboratory to developing test methods for interrogating the behavior of these important materials to temperatures as high as 1500°C in controlled environments.

Data compiled in Hellmann's lab on the temperature-dependent properties of these materials has been employed in prediction of the thermal performance and mechanical reliability of large ceramic components in industrial systems. Field evaluation of full-scale components has corroborated the applicability of the test-and-analysis methodologies developed. Resulting materials modifications and process improvements will instill systems- and component designers with confidence in advanced materials for high-temperature industrial, aerospace, and automotive applications.

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Figure 1. Longitudinal section of an alumina-fiber-reinforced polycrystalline alumina composite made by infiltration techniques developed in Hellmann's laboratory.



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Keywords

ceramics, intermetallics, composites, interfaces, coatings, mechanical properties, thermal properties, processing, microstructure, structure-properties relationships

Howell is concerned with microstructural development in metals, alloys, and metal composites. His current research includes the eutectoid reaction in steels and phase transformations in Al-Li/Silicon composites, and laser welding of Al-Li alloys, and low carbon steels. The eutectoid reaction in steels has been of interest to scientists for many decades. However, the magnetic nature of low-alloy steels makes it difficult to examine using the transmission electron microscope (TEM). Howell is now examining the eutectoid reaction in a class of materials called nickel-titanium bronzes (NAB) materials. These materials are martensitic in virtually all respects and undergo a martensitic reaction during cooling. Howell and his graduate students have shown that the proeutectoid phase is always responsible for initiating the eutectoid reaction, by replacement of the body-centered cubic phase with an intimate mixture of the copper-rich and an aluminum-rich intermetallic phase. This mechanism for the development of the eutectoid mixture, based on a model for the eutectoid reaction, has been proposed. Howell has also devised a mechanism for the formation of solid-state dendrites of an iron-rich intermetallic phase in these bronzes.

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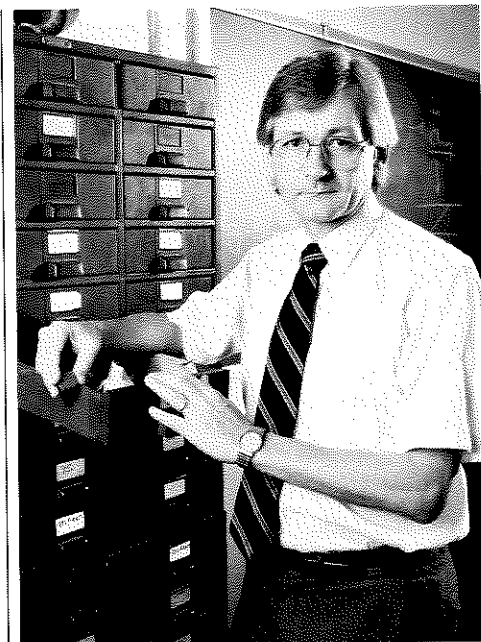
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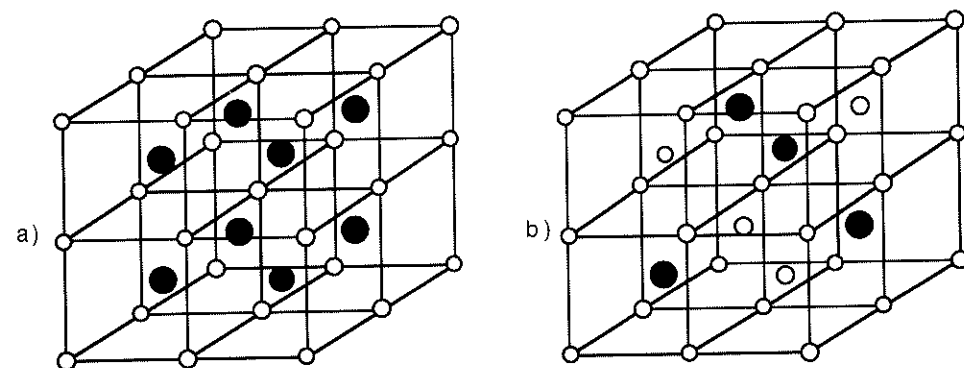
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Figures 1a and b. Representation of the crystal structures of two intermediate phases in the nickel-aluminum bronze system.



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Keywords
phase transformations, transmission electron microscopy, steels, aluminum, composites, welding

A key issue in designing high-performance structural materials is optimizing both strength and fracture resistance. Metallic matrix composites provide a good example of new ways to tackle this old problem. For example, reinforcing a matrix with well-bonded, aligned, strong fibers will likely impart good strength in a composite. However, improved fracture resistance is usually obtained if the fiber/matrix interface is poorly bonded, allowing fracture energy to be consumed by crack deflection or interface shear during fiber pullout. Thus, the fiber-matrix interfacial shear behavior is an important aspect in deforming composite performance.

The importance of fiber/matrix interfacial shear behavior in controlling both the strength and the fracture behavior of fiber-reinforced composites has resulted in many studies directed at determining interfacial failure response. In metal matrix composites (MMCs), as well as intermetallic matrix composites (IMCs), the combination of chemical bonding and thermally induced clamping, due to the thermal expansion mismatch between the fiber and matrix, usually results in high interfacial shear strengths, especially at low temperatures. While this is good for strength, many of the high-performance matrix materials have intrinsically poor ductility and require some form of fiber pullout for improved toughness. Unfortunately, significant fiber pullout occurs only under conditions of low interfacial shear strengths. Thus, we are studying both the methods by which interfacial shear strengths may be controlled, and the methods of determining interfacial shear behavior. Our research focuses on high-temperature composites using sapphire-reinforced NiAl, TiAl, and Nb composites as model systems. Both experimental studies and computational modeling are being used to understand the interface behavior during loading.

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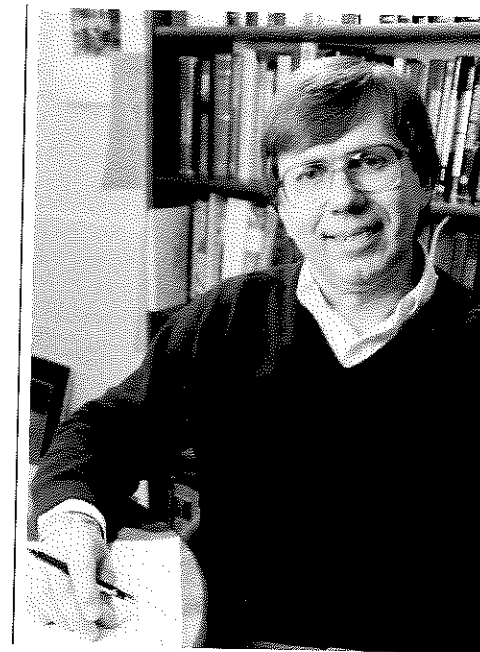
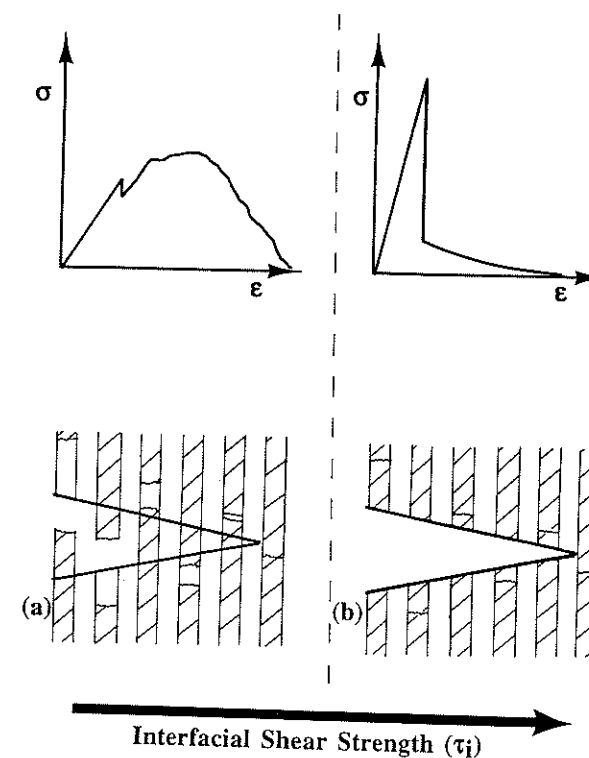


Figure 1. Influence of fiber/matrix interfacial shear strength on intermetallic matrix composite failure behavior. (a) Non-catastrophic and (b) catastrophic failure.



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Keywords
deformation and fracture, composite materials, intermetallic alloys, powder processing

Sanat K. Kumar

Theoretical and Experimental Studies of Polymer Interfaces, Semicrystalline Polymers and Blends, Thermodynamics of Polymer Solutions

The study of polymers at interfaces has applications at many levels of industrial practice. Our interests focus on understanding the effects of a surface on an interfacial polymer system in the contexts of the separation of mixtures of labile biomacromolecules; the adhesion of thin films of homopolymers as well as copolymers to walls, and the dynamics of interfacial systems. The approach employed in all cases is a combination of theory including analytical statistical-mechanics calculations and Monte Carlo simulation) and experiment (including total internal reflection spectroscopy and neutron reflectivity).

The characteristics of the crystal-amorphous interphase are important in determining the static and dynamic properties of semicrystalline polymers. Recently, it has been shown that the dynamic properties of branched, low-density polyethylene and blends can be dramatically improved through the introduction of a small quantity (about 2 percent) of relatively short branches (usually butyl or hexyl). This problem is currently being explored from a theoretical and experimental viewpoint. Small angle X-ray and neutron-scattering studies, DSC and thermal analyses are the techniques that are used in experimentally studying this problem.

The fundamental understanding of the behavior of polymers, both in bulk and in solution, has important theoretical and practical connotations. An important example is the capability to predict the solubility of pigments in polymers (related to the packaging of foods in polymer containers). Research is focused on this problem from a theoretical perspective and new techniques, such as novel simulation and real-space formalization or integral-equation, are used.

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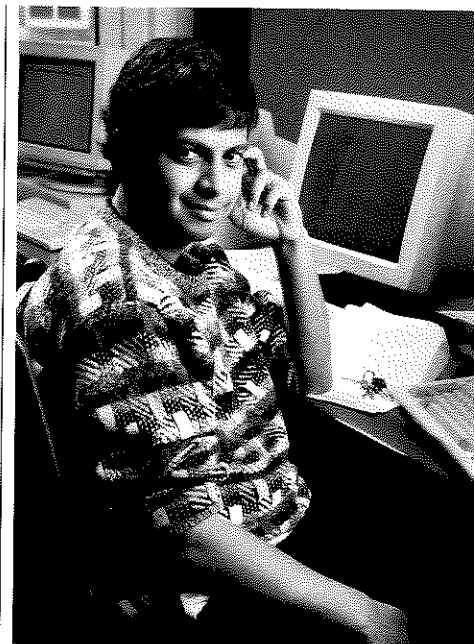
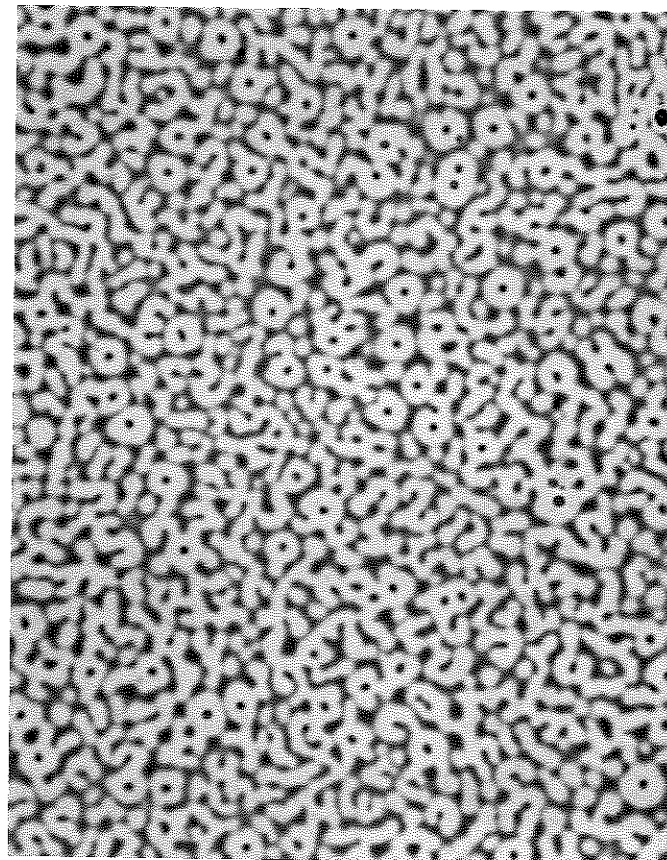


Figure 1. An optical micrograph of a phase separation phenomenon in an ultrathin (50 nm) polymer mixture.



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Keywords

polymers, surfaces, thermodynamics, statistical mechanics, neutron scattering and reflectivity, semicrystalline polymers

Digby D. MacDonald

Improved Control Over the Operation of Thermal Power Plants

The development of chemistry and corrosion sensors for thermal power plants is one research project currently being conducted by Dr. MacDonald. This project, undertaken in cooperation with SRI International for a Spanish utility, aims at developing sensors to measure in situ corrosion potential, crack growth rate, general corrosion rate (via the analysis of electrochemical noise), solution conductivity, and the concentrations of hydrogen and oxygen in power plant heat transfer fluids at temperatures up to 550°C. Dr. MacDonald and his group believe that the sensors will revolutionize chemistry and corrosion control protocols in thermal and nuclear power plants because of the much tighter control that will be possible over plant operating conditions.

The first phase of the project involves the development and testing of the various sensors (for example, the fracture monitor in Figure 1). Later work will involve the installation and operation of the sensor in a Spanish power plant.

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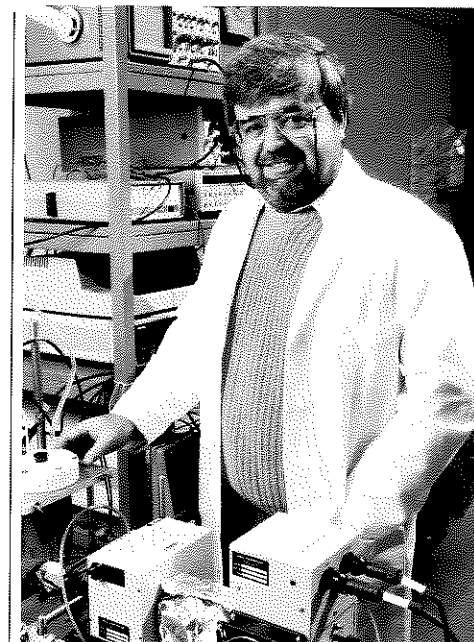
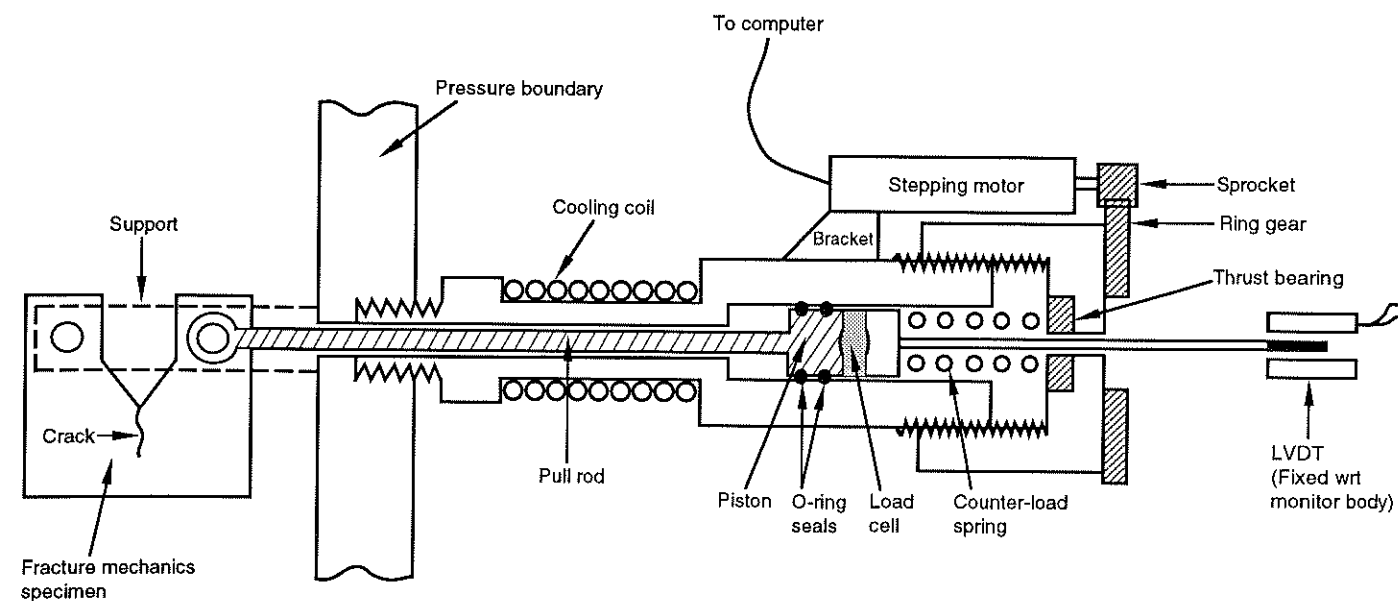


Figure 1. Schematic of an advanced fracture monitor.



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Keywords

mechanical and physical properties of ceramics, composites, electrochemistry, corrosion, high-temperature aqueous systems

Merrilea J. Mayo *Nanocrystalline Materials and High Temperature Deformation*

Professor Mayo has two main interests: nanocrystalline materials and high temperature deformation. Nanocrystalline materials are ceramics composed of crystals smaller than 100 nm—smaller than the wavelength of light! These materials often have very unusual properties; at one time they were considered as a new form of matter. Experience shows us that nanocrystalline materials are ultrahard and wear resistant, ideal for cutting tools. Nanocrystalline ceramics, on the other hand, can actually be ductile at moderate temperatures. This allows them to be formed into parts, or to be used as a kind of joining more conventional ceramics. From a scientific viewpoint, nanocrystalline materials are fascinating, since it is the grain boundaries (that is, the interfaces between crystals) not the grains (crystals) themselves that create the behavior of the material. In many ways, nanocrystalline materials are fundamentally different from normal, larger-grained materials.

Professor Mayo's laboratory follows the life cycle of a nanocrystalline material from start to finish: synthesizing ultrafine powders, processing the powders into bulk form, and testing the resulting nanocrystalline product. Development of processing protocols is not easy. For instance, a necessary step in densifying a powder into a solid is to heat the powder. Unfortunately, for nanocrystalline powders this heating causes the grains to grow, often to very large sizes. To accomplish densification without grain growth, Professor Mayo has explored a number of new processing techniques, such as superplastic sinter-forging. He has also attempted to understand the role of grain boundaries in both the densification and grain growth processes. Once a viable nanocrystalline material is made, it is tested for a number of properties of interest, such as hardness, fracture toughness, ionic conductivity, diffusion bondability, and superplasticity. Superplasticity is an extreme form of ductility that manifests itself when fine-grained materials are deformed under moderate stresses and slow strain rates. This kind of ductility is currently used in aerospace applications to make extremely complex parts in simple, one-step forming operations. With nanocrystalline materials, we have the possibility of further accelerating the forming process associated with superplasticity to the point where mass-market technologies (e.g., the automobile industry) can take advantage of them.

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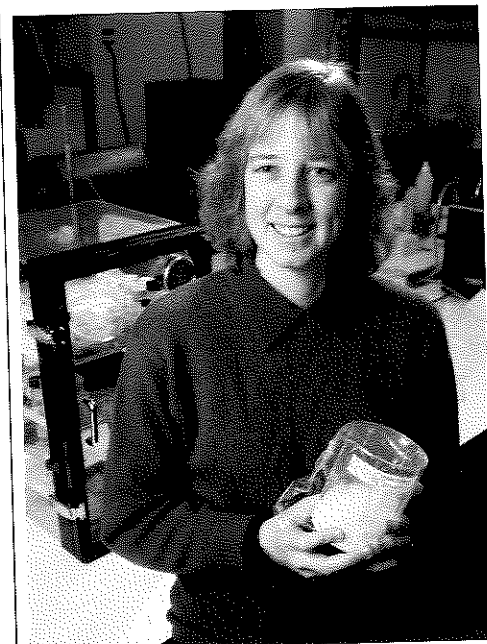
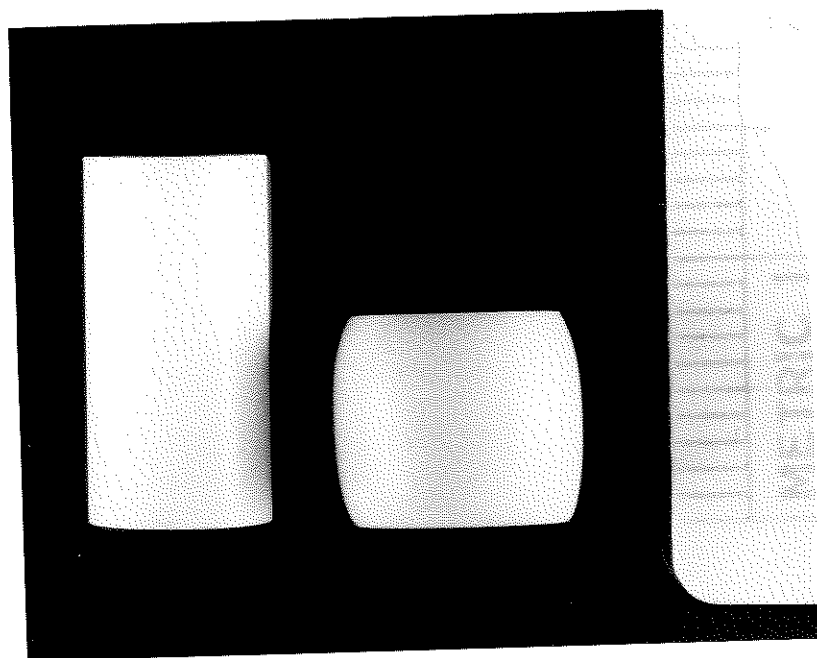


Figure 1. A superplastic ceramic. (a) before deformation, (b) after deformation.



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Keywords

nanocrystalline materials; high temperature deformation (creep), superplasticity

Gary L. Messing *Ceramic and Particulate Materials Processing*

The fabrication of advanced ceramics with targeted properties requires control over all process stages from powder synthesis through sintering. The complex relations between the myriad processes embraced in ceramic processing is the general focus of Dr. Messing's research program. For example, he and his group have had a sustained effort for many years to understand how to regulate ceramic phase development through the application of fundamental concepts of epitaxy. By using seed crystals, or by developing self-nucleating sol-gel chemistries, they have demonstrated unique control of phase development, transformation kinetics, sintering, and microstructure development in alumina, alumina-based ceramics, and mullite.

Spray pyrolysis is a unique process for the synthesis of advanced powders and films from solution droplets. Messing's group has a comprehensive research program designed to identify how each of the processes during spray pyrolysis can be regulated to yield particles of controlled size, morphology, and chemistry. They are also developing experimental methods and in situ diagnostics for the on-line monitoring and investigation of the individual processes responsible for particle formation. By regulating the thermolysis atmosphere particulate materials and films of mixed metal oxide ceramics, nonoxide-oxide composites, and metals can be prepared.

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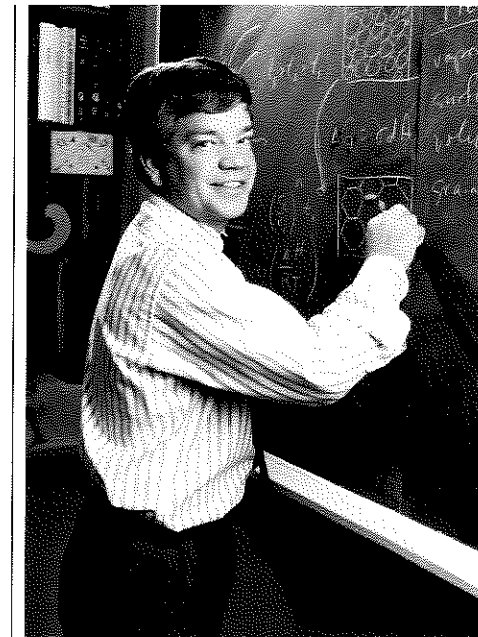
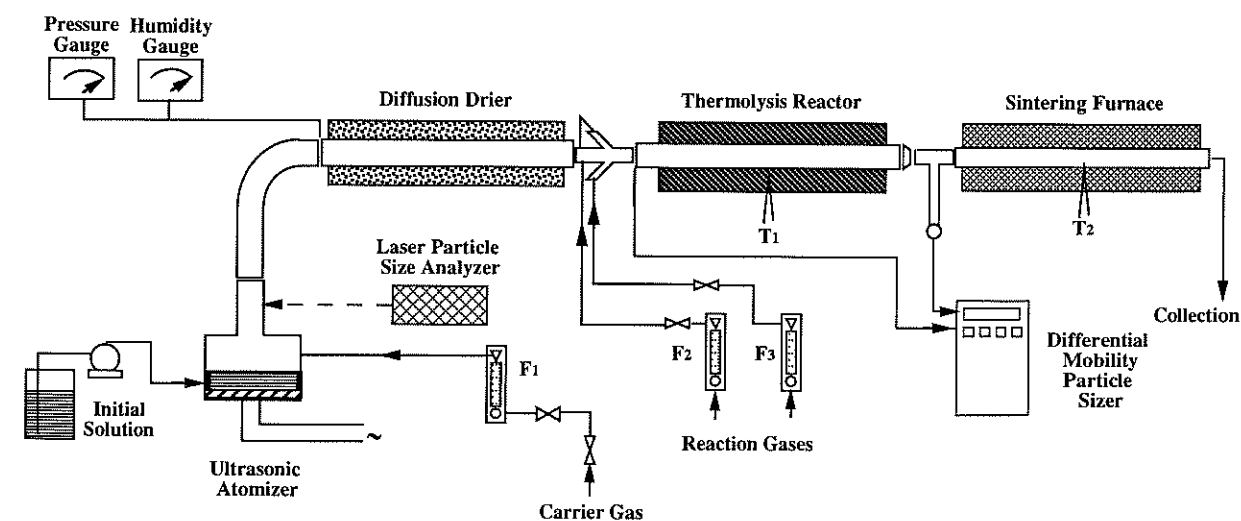


Figure 1. Schematic of spray pyrolysis system.



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Keywords

ceramic processing, powder synthesis, spray pyrolysis, solid-phase epitaxy and phase transformations, sol gels, sintering

Suzanne E. Mohney *Engineering Interfaces in Advanced Materials and Devices*

Professor Mohney is interested in the design of advanced electronic and structural materials, focusing on the study and control of metallurgical reactions at the interfaces between materials or between materials and their environment. Previously, she has studied thin-film electrical contacts to indium phosphide, which is an important semiconductor for optoelectronic and high-speed electronic devices. The thin-film metal contacts are an essential part of these devices, and controlled metallurgical reactions between the contact and semiconductor are often required to engineer the electrical properties of the contacts. On the other hand, uncontrolled reactions can result in nonuniform or irreproducible contacts and poor thermal stability during processing, packaging, or extended operation of the device. Through an examination of the thermodynamics and kinetics governing the reaction at the interface between the contact and semiconductor, contacts with greatly improved thermal stability, uniformity, and electrical performance can be designed. For the study of electrical contacts to InP, phase equilibria for the relevant metal-In-P systems were calculated or experimentally determined to help understand the reactions between the metals and InP and to suggest thermally stable contact materials. This work also involved the metallurgical and electrical characterization of the contacts, with techniques such as transmission electron microscopy, Auger depth profiling, and glancing angle X-ray diffraction providing detailed information about the metallurgical reaction between the film and semiconductor.

Additional interests include contacts to other semiconductors, especially silicon carbide and semiconducting diamond, which are promising materials for high-temperature electronics. Also of interest are the interfaces in high-temperature structural materials. For example, it is important for the fabrication of thermally stable composite materials to understand and control the reactions at the interfaces between the fiber and matrix as well as the composite material and its environment. Finally, Professor Mohney is interested in the selection, deposition, and characterization of thin films for electronic devices, such as chemical microensors, and coatings for structural materials.

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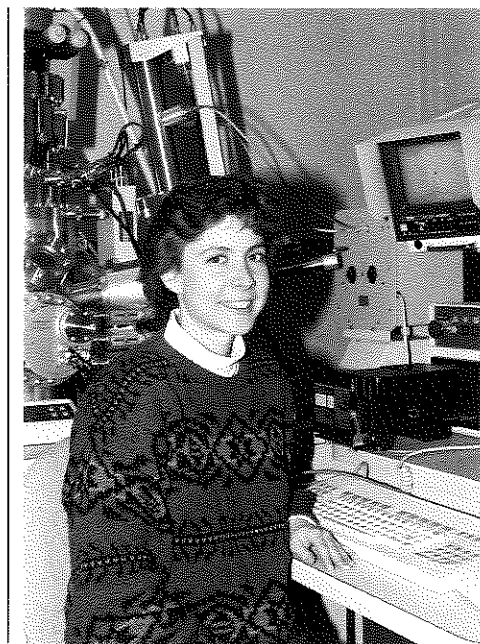
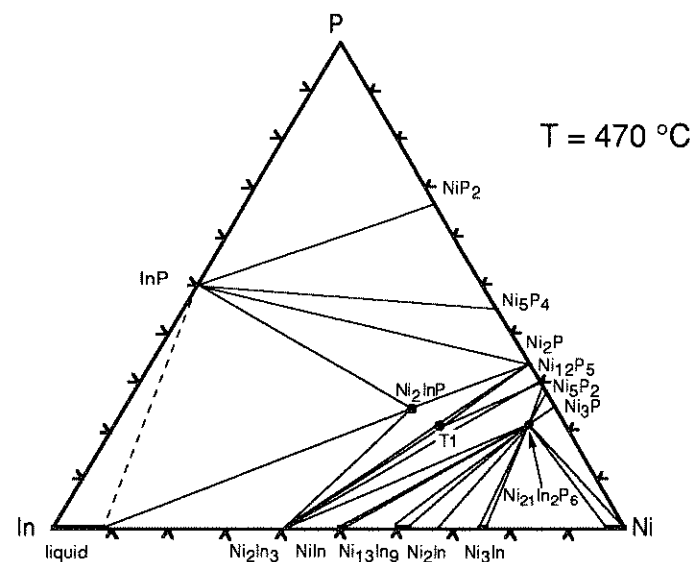


Figure 1. The experimentally determined Ni-In-P isothermal phase diagram aids in understanding the reaction between Ni contacts and the InP substrate. The diagram also shows the phases in equilibrium with the semiconductor InP. These phases may be evaluated for their potential as thermally stable (unreactive) electrical contacts to InP.



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Keywords

thermodynamics, kinetics, interfaces, electronic materials, high-temperature materials

Robert E. Newnham *"Smart" and "Very Smart" Ceramics*

Robert E. Newnham is Alcoa Professor of Solid State Science, and Associate Director of the Materials Research Laboratory. Recently elected to the National Academy of Engineering, Newnham has written nearly 400 research papers on electroceramics and composite materials for electronic applications. During the past year, he was awarded the Centennial Prize by the Ceramic Society of Japan, the John Jeppson Medal of the American Ceramic Society, and the First International Ceramics Prize of the Academy of Ceramics for "distinguished and creative contributions to the advancement of ceramic science and culture, especially in the field of composite electroceramics." Newnham is active in several professional societies, having served as editor of the *Journal of the American Ceramic Society*, President of the American Crystallographic Association, and Distinguished Lecturer for the Institute of Electrical and Electronic Engineering.

His current research program is centered on "smart" and "very smart" ceramics. Smart ceramics are defined with reference to the sensing and actuating functions of living systems. A smart ceramic senses a change in the environment, and using a feedback system, makes a useful response. It is both a sensor and an actuator. Applications include vibration damping systems for space structures, and energy-saving windows for homes and factories. The new electronically controlled automobile suspension systems made from multilayer piezoelectric sensors and actuators are also classified as actively smart materials.

By building in a learning function, the definition can be extended to a higher level of intelligence: a very smart ceramic senses a change in its surroundings and responds by changing one or more of its property coefficients. Such a material can tune its sensing and actuating functions in time and space to optimize behavior. The distinction between smart and very smart materials is essentially one between linear and nonlinear properties. The physical properties of nonlinear materials can be adjusted by bias fields or forces to control response.

Newnham is currently developing a family of tunable transducers, which utilize the nonlinear properties of relaxor ferroelectrics interleaved with thin layers of rubber and metal end caps. The basic design is patterned after metal-ceramic composite actuators developed in his laboratory (Figure 1). Shallow cavities positioned between the metal caps and the central ceramic disk convert and amplify the radial displacements of the piezoelectric ceramic into the large axial motions of the metal end caps (Figure 2).

Five important characteristics of an electro-mechanical transducer are the resonant frequency f , the mechanical damping coefficient

k , the acoustic impedance Z_A , and the electrical impedance Z_E . In the tunable transducer, the magnitudes of f , Z_A , and Q are controlled by stressing thin layers of rubber, while k and Z_E are manipulated by applying bias fields to tape-cast layers of relaxor ferroelectric such as magnesium niobate (PMN). As shown in Figure 2, electrostrictive PMN gives larger strains than the usual PZT transducers.

The search continues for "smarter" ceramics for use as sensors and actuators. The nonlinear properties of very smart materials are often associated with nanoscale structure and diffuse phase transformations. Under these circumstances the structure is poised on the verge of an instability, and responds readily to external influences such as electric or magnetic fields, or mechanical stress.

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Figure 1. Flextensional actuators made from poled lead zirconate titanate (PZT) ceramics and shaped metal end caps.

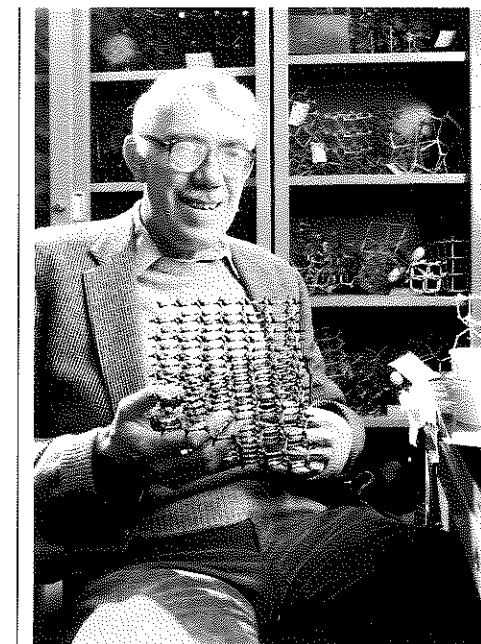
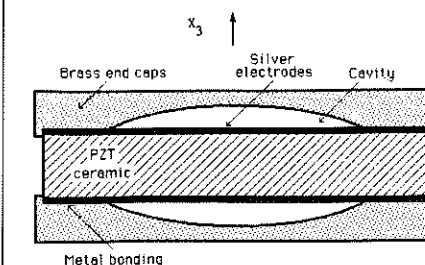
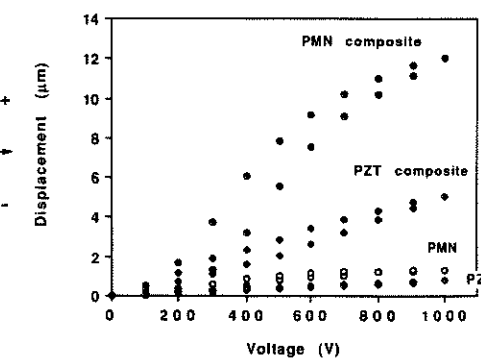


Figure 2. Capped composite actuators made with electrostrictive PMN and piezoelectric PZT amplify the strains of the uncapped ceramics. All four actuators are 1 mm thick.



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Keywords

smart ceramics, very smart ceramics, transducers, composite actuators, sensors

Departmentalized fluids, as represented by emulsions, offer the attractive possibility of adapting the sol-gel and other bulk phase precipitation methods to the synthesis of nanosize particles. Metal oxides and sulfides are currently under investigation, with emphasis on the relationship between the properties of the emulsion fluid phase and particle characteristics.

Materials dissolution may be desired (e.g., hydrometallurgical extraction) or undesired (e.g., aqueous decomposition of materials in semiconductor devices). This research focuses on the electrochemical behavior of metallic and semiconductor materials in aqueous systems. In the case of the metal-based studies, the emphasis is on the role of film formation in the dissolution process. The semiconductor electrochemical studies are concerned with the mechanisms of charge transfer, particularly with the effect of band-gap states.

Ion separations by liquid-liquid transfer rely, in part, on the judicious manipulation of interfacial physicochemical processes. In this research, surfactant aggregation phenomena in non-aqueous solvents are under investigation in conjunction with reversed micellar catalysis of liquid-liquid extraction processes.

Transport of ionic species through membranes is of central importance in a variety of hydrometallurgical, chemical, biological, and pharmaceutical separation systems. Three kinds of membranes are under investigation: liquid contact membranes, supported liquid membranes, and ion-exchange (Nafion) membranes. The emphasis is on the characterization of the membrane microstructure and on the relationship between membrane microstructure and the facilitated transport mechanisms of complex ionic species.

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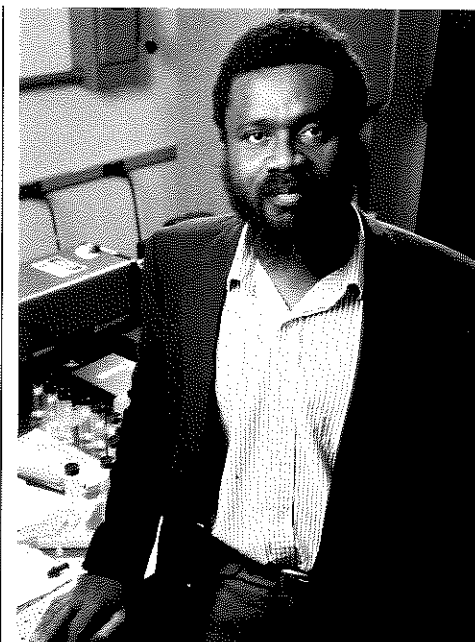
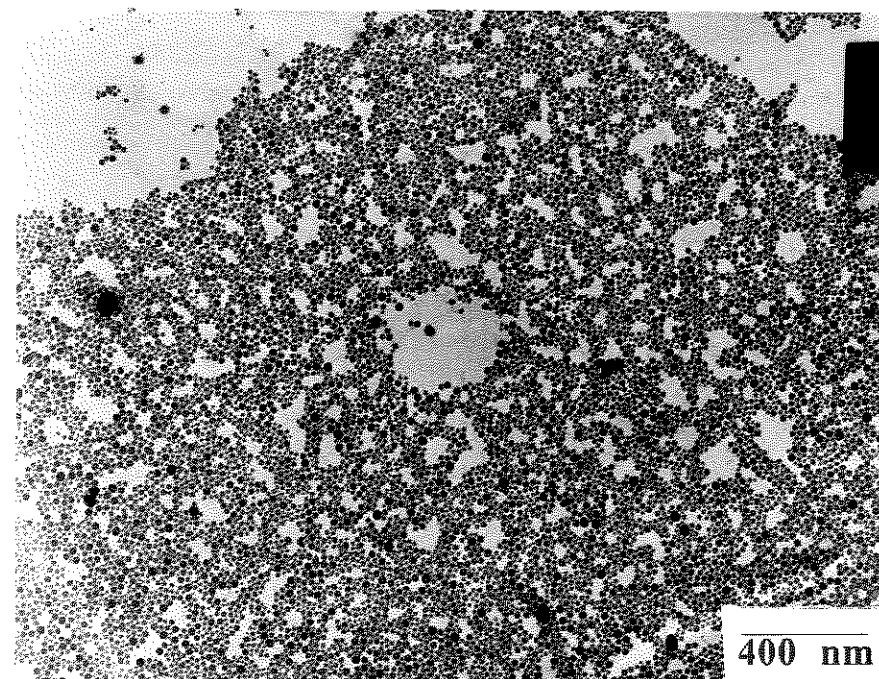


Figure 1. TEM micrograph of molybdenum sulfide particles prepared in the NP-5/cyclohexane/water microemulsion. $[MoS_4^{2-}] = 6.4 \times 10^{-4} M$, $[H_2SO_4] = 1.3 \times 10^{-3} M$.



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Keywords

aqueous systems, materials processing, hydrometallurgy, separation science, interfaces and colloids

Paul Painter is interested in the effect of strong specific intermolecular interactions on the properties of polymers, particularly their ability to mix with solvents, other polymers, and liquid crystalline materials.

In describing the mixing of non-electrolytes, it has been common to distinguish between so-called "normal" and "associated" liquids. The most common examples of the latter type correspond to molecules such as water, alcohols, amines, etc., that form hydrogen bonds. At an early stage, the assumptions of simple models, such as regular solution theory, were recognized as invalid when applied to these types of mixtures, and their unusual or anomalous properties could only be accounted for by recognizing that the molecules were associated in a specific manner. Indeed, many years ago, Prigogine proposed that the formation of a complex be treated by using the assumption of a chemical equilibrium between the monomolecules of the associated species, and this approach has formed the basis for the use of so-called association models.

Association models have, until recently, been largely ignored in treating hydrogen bonding in polymer mixtures. They have most frequently been applied to mixtures of alcohols with simple hydrocarbons, where the equilibrium constants used to describe association have usually been determined by a fit to thermodynamic data (e.g., vapor pressures, heating of mixing). In collaboration with Dr. Michael Coleman, Painter has sought to do two things: first, to adapt this approach to a description of the phase behavior of polymer mixtures; and second, to develop spectroscopic methods that provide an independent measurement of the equilibrium constants. A model has been developed that provides a good description of the phase behavior of these types of mixtures.

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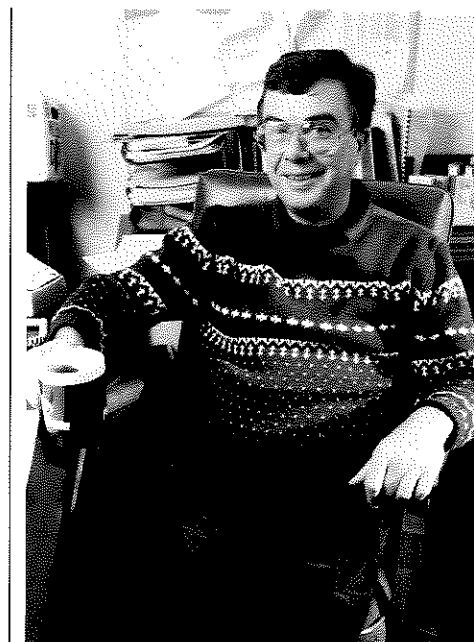
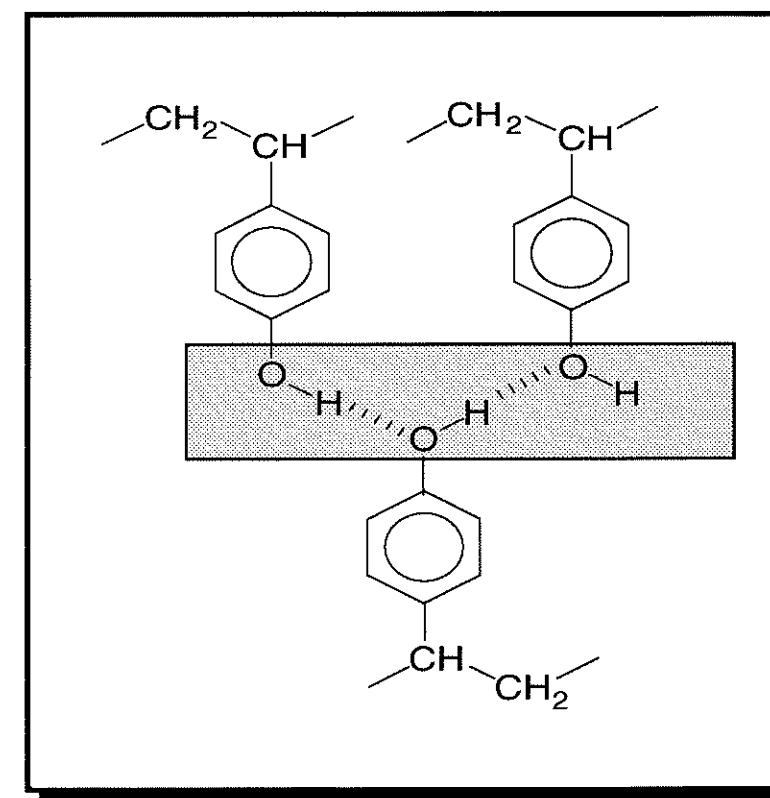


Figure 1. Hydrogen bonding in poly [vinyl phenol].



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Keywords

hydrogen bonding in macromolecular systems (synthetic polymers and coal); polymer blends; gels; liquid crystal/polymer mixtures; coal structure

Surface composition and structure of glass influence its adsorption reactions, adhesion, stress response, and mechanical strength. In essence, these chemical properties of surfaces and interfaces—which are the common denominator in all of Professor Pantano's research programs—exert a profound influence upon technological issues including the synthesis of thin glass films for microelectronic applications, glass/polymer interfaces, glass-matrix composites, and the chemical/mechanical stability of commercial glass products. Whereas the fundamental understanding of the structure and chemical behavior of organic and semiconductor surfaces is quite rigorously comparable, understanding has not been developed for glass surfaces. This situation is solely intrinsic to the nonequilibrium, amorphous nature of the glassy state itself. In the case of metals and semiconductors, the scientific understanding has come from a detailed comparison between the surface structure and bulk structure. By contrast, the bulk structure of glass does not always provide a meaningful or convenient reference state. The very nature of a quantifiable glass structure is still under debate. The approaches to its characterization to date are neither precise nor definitive, and the kinetics of the glass transition yield a wide range of metastable states. Thus, it is not surprising that most understanding of glass surfaces is empirical.

The empirical approach to control and tailoring of glass surface properties has been enhanced through the use of surface-sensitive measurement techniques. Due to the fact that glass surfaces are most often created at elevated temperatures, the surface of the glass is characterized by an abrupt termination of the bulk composition and structure. Thus, the ability to tailor, directly, the surface of glasses is a primary concern in research as well as in process development. Pantano and his group are experienced in the application of these tools (including XPS, IRMS, FTIR, ISS, and TPD) to glass—both for commercial glass products. Studies have shown that compositional surface modification due to the temperature and atmosphere processing may extend thousands of nanometers below the surface. Moreover, exposing the surface to humid atmospheres leads to aggressive in-depth hydration of the glass that alters the optical reflectivity, stress, and adsorptivity of the surface. Consistent with these studies of real glass surfaces, the fundamental definition of glass surfaces is derived through the study of model surfaces including clean fracture surfaces and porous sol/gel films. These model surfaces are well suited to the understanding of adsorption and transport in glass surfaces, and the mechanisms through which glass-surface reactivity influence the creation of real surfaces.

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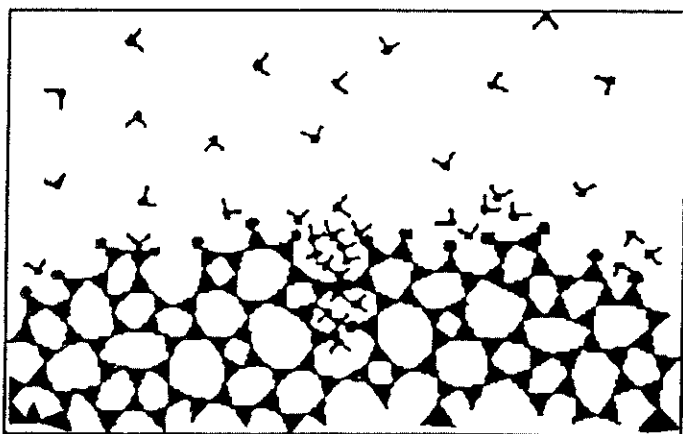
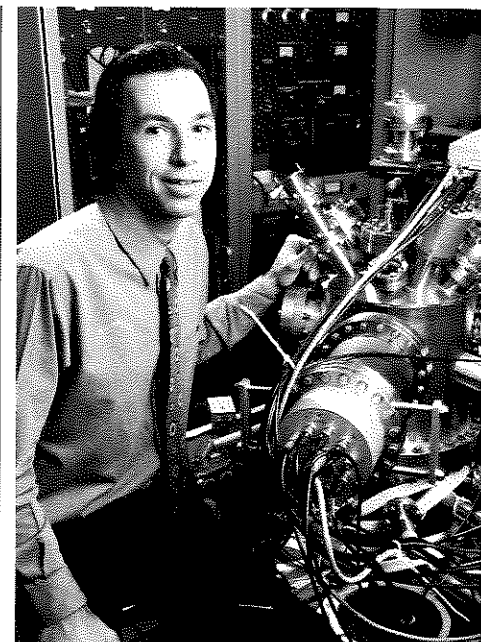


Figure 1. Model of a silica glass surface (in cross-section) showing chemisorption, physisorption, and stress-corrosion cracking in a water vapor atmosphere.

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Keywords

glass, surface chemistry, surface analysis, glass/polymer interfaces, sol/gel glasses, glass composites, glass thin films

Dr. Pisupati's research interests are primarily in studying the effects of properties of fuels on combustion behavior in fixed, fluidized, and pulverized modes of combustion and capture of pollutants from the products of combustion. Specific research topics include (1) influence of weathering/oxidation/storage on the combustion behavior of bituminous coals, (2) coal-water slurry preparation and combustion in oil designed and coal-fired fluidized-bed boilers, (3) combustion behavior of blends of anthracites and bituminous coals on stokers, and (4) sorbent performance in circulating fluidized-bed combustion boilers.

Combustion of coal in any device involves bringing into contact with the fuel just enough air to completely convert all the carbon and hydrogen to CO₂ and H₂O, respectively, within the residence time available in the combustion chamber. Although this appears to be a simple process, the practical aspects are far from being simple. In stokers a variety of physical, chemical, and petrographic characteristics govern the combustion behavior. Dr. Pisupati and his group recently studied the combustion behavior of coal blends in a bench-scale stoker simulator. Caking of the bituminous coals produced clinkers on the grate and resulted in poor carbon burnout. Despite the lower reactivity of non-caking anthracite products, blending them with bituminous coals increased the carbon burnout of the blends due to a decrease in the caking properties of bituminous coals. It was noted, however, that tempering the fuel bed (with water) had an even greater effect on the combustion performance than did the particle size distribution of the fuels (over the range studied). Tempering (by addition of water) was found to change (and improve) the fuel size distribution by agglomerating the fines and this decreased the bulk density of the bed. The addition of moisture followed by good mixing enhanced the combustion efficiency. The enhancement in combustion was due primarily to the induced changes in the physical condition of the bed by agglomerating the fines into larger clusters and thereby increasing the bed voidage. An increase in carbon burnout of about 18 percent was obtained when the physical character of the tempered bed was retained but the moisture removed. The presence of moisture increased the carbon burnout by an additional 3 percent, due possibly to the induced gasification reactions. The latter increase is therefore minor compared to the former.

Emissions from circulating fluidized bed combustors (CFBCs) are minimized by using calcium-based sorbents to capture the SO₂ produced during the combustion of a sulfur-containing fuel. Properties that have been reported to influence sorbent performance are particle size, grain size and texture, pore size distribution and surface area. The particle size specifications of sor-

particular interest are the fine particles, which are smaller than the cut point of the recycle cyclone. The residence time of such fines is equivalent to that of a single pass through the combustor, which limits the contact time for SO₂ capture and often leads to the premature removal of unreacted sorbent from the system. Dr. Pisupati and co-workers have recently completed a study on the importance of calcium carbonate content and particle size dependency of the performance of various limestones and dolostones in capturing SO₂ in fluidized bed combustors and explained in terms of the occurrence of thermally induced fractures (TIFs). Data were obtained in a bench-scale fluidized bed reactor, a pilot-scale down-fired combustor, and a 30 MW(e) circulating fluidized bed combustor (CFBC). Finer particle size fractions (100 x 400 mesh) had lower Ca/S molar ratios than coarser size fractions (plus 100 mesh) in the bed ash and recycle ash from the 30 MW (e) CFBC. Upon further sulfation of the ashes in a thermogravimetric analyzer (TGA), the minus 400 mesh fraction captured the most additional sulfur, indicating these particles did not have sufficient residence time in the CFBC to be fully sulfated. For larger particles, the slow rate of SO₂ to diffusion through the product layer limited the extent of sulfation. Hot stage scanning electron microscopy and microprobe analysis of the sulfur distribution in the particles indicated that some sorbents developed thermally induced fractures, while others with comparable CaCO₃ contents did not. The TIFs promoted SO₂ diffusion into the particle and, as a consequence, the sulfation behavior of such sorbents was less particle size dependent than was for sorbents that did not develop TIFs. Dr. Pisupati is currently involved in establishing a characterization methodology for sorbents for FBC applications.

Attempts to reduce NO_x emissions from fossil fuel combustion sources have demonstrated a reduction in combustion efficiency and an increase in the CO and unburnt hydrocarbon emissions. In a current research program, Dr. Pisupati is studying the influence of air staging for reducing the NO_x emissions on the volatile organic emissions (VOCs) from coal combustion systems.

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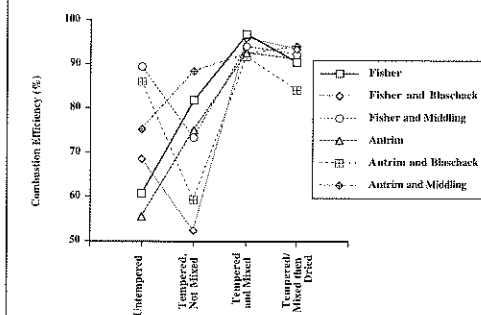


Figure 1. Combustion Efficiency Related to the Tempering Procedure for Each of the Bituminous Coals (Fisher and Antrim) and Blends with Anthracites (Blaschack and Middling)

Keywords

Fluidized bed combustion, coal blends, SO₂ capture, sorbents

Howard W. Pickering *Corrosion of Metals*

Professor Pickering's teaching and research activities focus on the scientific and technological principles that govern the corrosion of metals and methods for its prevention. The relevant electrochemical (oxidation and reduction) and gas-phase reactions, and the changes that the metal surface undergoes during the reaction, are also of interest. More specifically, research in our corrosion laboratories includes the study of (1) selective element dissolution from alloys; (2) localized electrochemical-degradation processes such as crevice corrosion, pitting corrosion, and grain boundary corrosion; (3) reduction reactions including hydrogen evolution and its absorption and diffusion into metals; (4) precipitation, segregation, and metal-gas oxidation reactions using atom probe-field ion microscopy (AP-FIM); and (5) surface reconstruction and chemisorption in metal-gas (vacuum) systems and of metal electrodisolution in aqueous solutions using scanning tunneling microscopy (STM) and atomic force microscopy (AFM).

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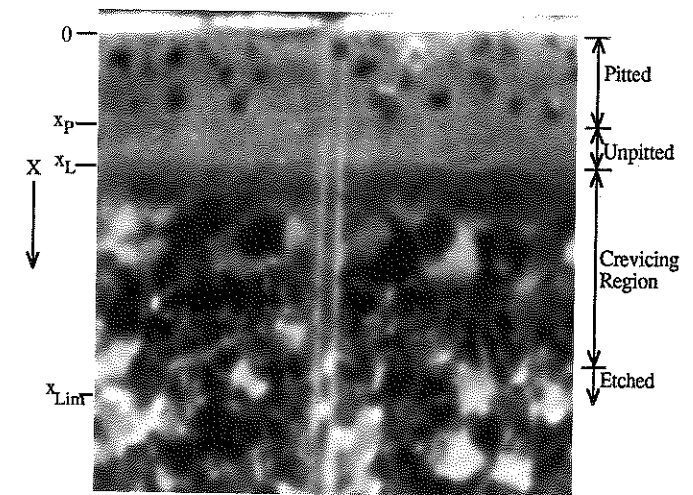
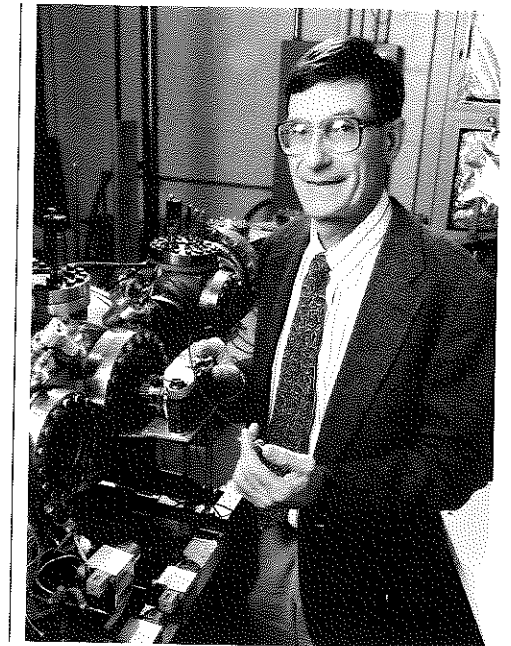
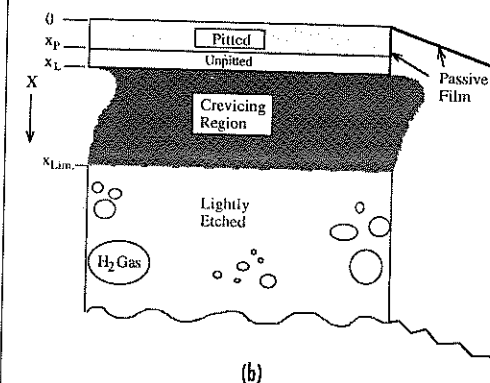


Figure 1. In situ photograph (a), and schematic (b) of the crevice wall showing the effects of the steep potential gradient that causes crevice corrosion.



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Keywords

surface properties; corrosion and oxidation of metals; electrosolidification; scanning tunneling microscopy; atom-probe FIM

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Oxidation Resistance of Carbon/Carbon Composite Materials

Fundamental understanding of the oxidation protection of carbon/carbon composites is one of the most important unresolved issues in the quest for a new generation of materials with high specific-strength properties. In our research, we strive to establish, in a definitive way, the synergism, or lack thereof, between the fibers and the matrix in the oxidation behavior of composite materials. Knowledge of the concentration of oxygen species on the carbon surface is the key here. Carbon gasification, even though it is a catalytic, heterogeneous gas-solid reaction, is analogous in this respect to a catalytic reaction, with preferential reactive sites existing on the surface.

Use of transient kinetics, coupled with isotopic labeling to distinguish oxidation of fibers from that of the matrix, will be particularly powerful in our studies. The technique is the only one capable of distinguishing between the number of reactive sites on the carbon surface (under reaction conditions) and the reactivity of a given site, as emphasized in the following kinetic expression: Reactivity = [Number of reactive sites] / [Activity per site]. All kinetic studies of composite materials to date have determined the product of these two terms only (i.e., the overall reactivity of the material).

The two fundamental questions posed in this study are the following: (a) Do the fibers in the matrix have more reactive sites? (b) In what way is the reactivity per site dependent on the microstructure of the fiber and matrix materials? The answers to these questions would offer clear guidelines for the oxidation protection of these materials.

Figure 1 illustrates the following important point: even though the fiber material is graphitizable in Composite 1 (WCA-d), its oxidation resistance is lower than that of Composite 2, whose fiber material is a surface-area activated carbon cloth. Based on the analysis of micrographs, we attribute this result to the development of pores in Composite 2 primarily within the matrix surface, and not at the fiber/matrix interface as in Composite 1. Thus, for further improvement of oxidation resistance it becomes important to distribute the inhibitor uniformly within the matrix.

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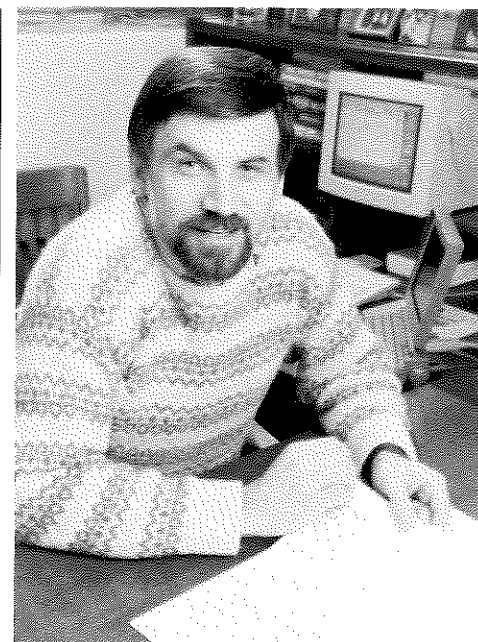
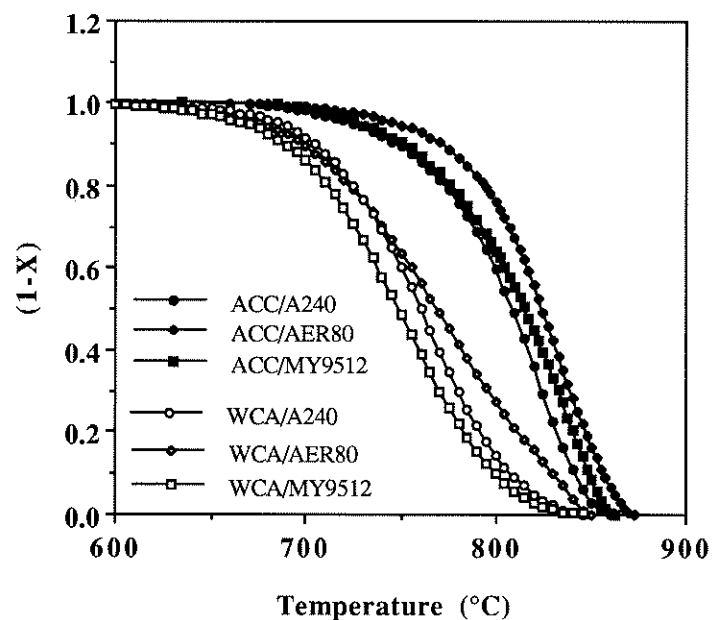


Figure 1. Weight loss vs. reaction temperature plot (5°C/min, 1 atm oxygen; X=fractional conversion) for high-temperature composites obtained using an activated carbon cloth (ACC) and a graphite cloth (WCA) as the fiber material, and two pitches (A240 and AER80) and an epoxy resin (MY9512) as the matrix material.



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Keywords

kinetics and catalysis of coal conversion and carbon gasification, surface properties of carbon materials (e.g., catalyst supports, adsorbents, fibers, composites), gas/solid reactions, and heterogeneous catalysis

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Processing, Microstructural-Property Relations, Theoretical Implications of Microstructural Features in Advanced Electroceramic Materials

Professor Randall's research interests are in processing and microstructure-property relations in advanced electroceramic materials. Special attention is given to the problems incurred in the optimization and tailoring of elastodielectric properties as to address issues such as device miniaturization and component integration for higher volumetric efficiencies.

With recent advances in the fabrication of ceramic particulate materials, there exists a need to improve processing assembly techniques to create novel architectures at finer scales. We are investigating the use of electric fields to assemble particulates in composite and thick film form. In general, when an electric field is applied to a stable particle suspension the particle motion is predominantly dictated by dielectrophoretic and electrophoretic forces. Dielectrophoretic assembly involves the induction of a dipole-dipole interaction that destabilizes the system in such a way that the particles undergo uniaxial agglomeration along the electric field direction. This process has been studied in thermoset polymers that undergo *in-situ* polymerization to produce unique composites with dielectric anisotropy.

Electrophoretic deposition exploits an electric surface charge on particles in suspension migrating in the presence of an appropriate electric field enabling the consolidation of particles into films onto any shaped substrate. By understanding the colloidal stability, the deposition kinetics and the constrained sintering issues we have fabricated 1–10 micron BaTiO₃ thick films with high dielectric constant and low losses competitive with other thick film technologies. The ongoing work is focused on establishing the scientific and engineering aspects of the deposition process. Electrophoretic deposition of electroceramic particulate materials gives potential advances in a number of applications, including piezoelectric motors, biomedical ultrasound probes, and heterojunction chemical sensors.

Ferroelectric and related materials are of interest due to their unique elastodielectric properties that are being used in piezoelectric, electrostrictive, pyroelectric, and capacitive applications. Our group has focused attention on the role of mesoscopic structures on physical properties. Recent work using detailed transmission electron microscopy studies (*in-situ* and *ex-situ*) has been on the grain size effects on domain switching mechanisms during poling and defect induced spatial modulations within domain structures, each of which shows a strong influence on the extrinsic property contributions to physical properties. With grain sizes below 1 micron, there is a change in the domain structure and reduction in the number of domain variants per grain; correspondingly there is a reduction in the dielectric and piezoelectric properties. Beyond domain structure, dopant effects on mesoscopic structures we are developing strategies to optimized compositions to establish high-performance piezoelectric properties in sub-micron ceramics.

Similarly, in capacitor materials the kinetic control of the liquid phase sintering process permits a core shell microstructure with heterogeneous dopant distributions. These are processed as to form materials with specific temperature coefficients of capacitance. With submicron grains, the kinetics are more difficult to control, new processing and dopant selection methods are being studied in our laboratory to form specific submicron materials for next generation multilayer capacitors.

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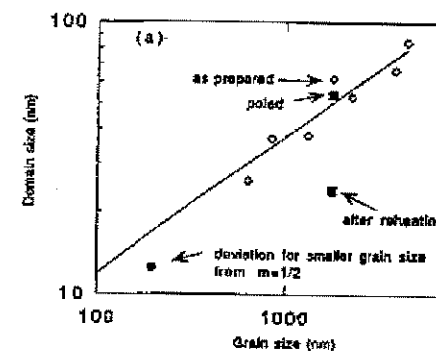


Figure 1. Piezoelectric coefficients, d_{33} and d_{31} , vs. logarithmic grain size in Nb-doped PZT.

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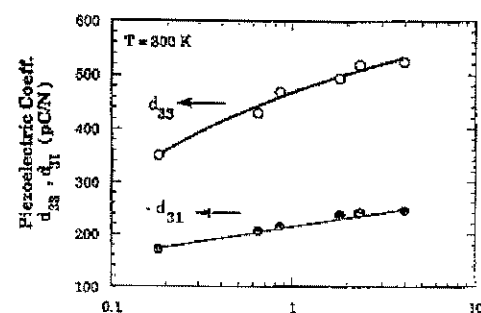


Figure 2. Log-Log plot of the grain size variations in Nb-doped PZT ceramics.

Keywords

electroceramics, impedance measurements, transmission electron microscopy, composites, thick films

James P. Runt

Chemically Dissimilar Polymer Mixtures

Mixtures of chemically dissimilar polymers are of considerable technological importance compared to traditional single-component systems because of their potential for superior mechanical and physical properties. A particularly important class of these polymer blends are those that contain high-performance, crystallizable polymers. In general, Dr. Runt's research has focused on developing a fundamental understanding of crystallization and phase behavior in those and other multicomponent polymer systems, and their relationship to ultimate properties. Some specific research topics of current interest include: co-crystallization in homopolymer/copolymer mixtures, and the use of dielectric measurements to probe local molecular environment in multicomponent systems.

In semi-crystalline polymers, the existence of order-disorder interphases between the crystalline and isotropic amorphous phases was predicted several decades ago and subsequently confirmed experimentally. Such interphases are also of prime importance in mixtures containing crystalline polymers, but poorly understood. The focus of this part of Runt's research has been to explore the interfacial regions in semi-crystalline binary polymer blends using experimental techniques such as small-angle scattering and measurement of the relaxation behavior.

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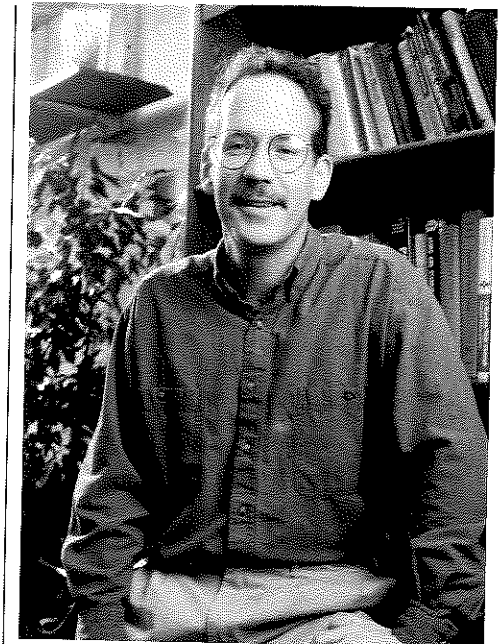
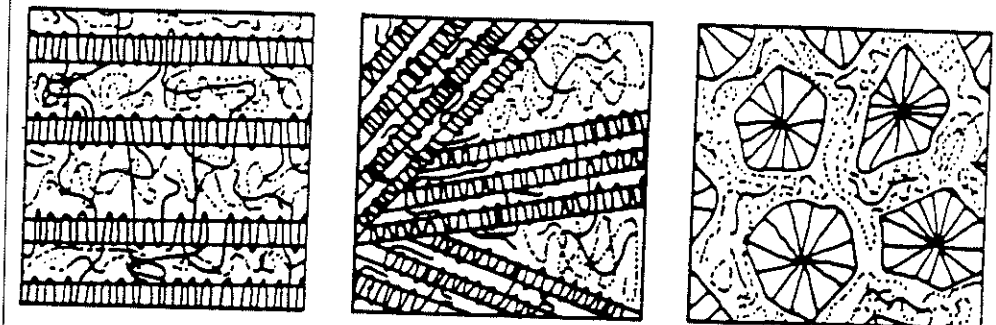


Figure 1. Schematic of three possible microstructures of a miscible binary blend of an amorphous (.....) and semi-crystalline (_____) polymer. A. Amorphous polymer is Interlamellar B. Interfibrillar C. Interspherulitic.



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Keywords

phase behavior and crystallization of polymer blends; interphases in crystalline polymer blends; fatigue and microstructure in crystalline polymers; quantitative fractography of polymer fracture surfaces; polymer/electroceramic composites; dielectric spectroscopy

Earle R. Ryba

Determination of the Structures of Quasicrystalline Materials

Quasicrystals are materials whose X-ray and electron-diffraction patterns exhibit non-crystallographic symmetries, such as five-, eight-, and twelve-fold rotation axes. The five-fold symmetries in the diffraction patterns imply the existence of long-range bond order in these materials in which the bond order along icosahedral and pentagonal directions is the same. While this overall picture of the connections between the atoms is clear, the lack of a three-dimensional structural repeat unit, or unit cell, has made the determination of the structures of these materials extremely difficult. At Penn State, we have been actively studying the structure of quasicrystals and the existence of these strange materials were initially announced in 1984.

Recently, our studies have focused on the decagonal phase of $Al_{65}Cu_{20}Co_{15}$. Nominally, the structure of this compound exhibits non-crystallographic symmetry in two directions, but crystallographic repetition along a third direction. However, from a considerable amount of X-ray and electron diffraction evidence, we have found that its structure is even more complex. Embedded in the quasicrystalline structure are extended regions of locally periodic atom arrangements, in a very large three-dimensional unit cell that contains dozens to hundreds of times. Furthermore, these regions of periodicity have been found to microtwin at angles that are multiples of 2° . In addition, the size and shape of the unit cell for the periodically arranged regions varies, depending upon composition and cooling conditions.

We have formulated a comprehensive model for the structures of this decagonal phase and crystalline regions using electron and X-ray diffraction and the results of high-resolution electron microscopy and scanning tunneling microscopy studies. This model is built from two primary atomic clusters that are pentagonal polyhedra of differing numbers of aluminum, copper, and cobalt atoms. These two clusters form aggregates that are easily seen in high-resolution electron microscopy and scanning tunneling microscopy images for this material. The possible structural variations, whether periodic or quasiperiodic, based on these polyhedra, are numerous. The next questions are how to quantitatively verify the structure of the model.

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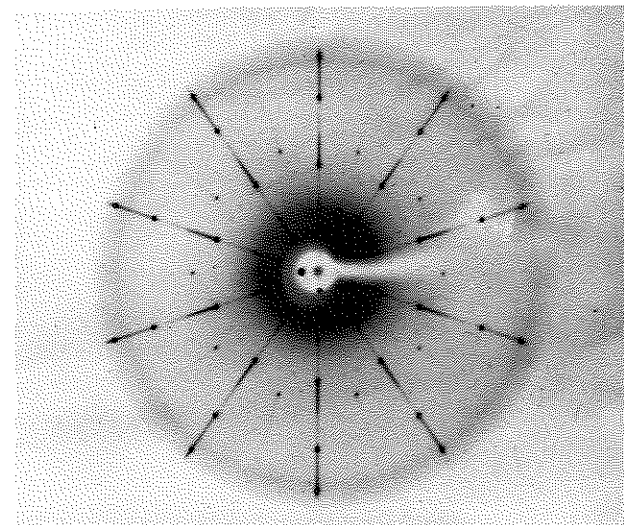
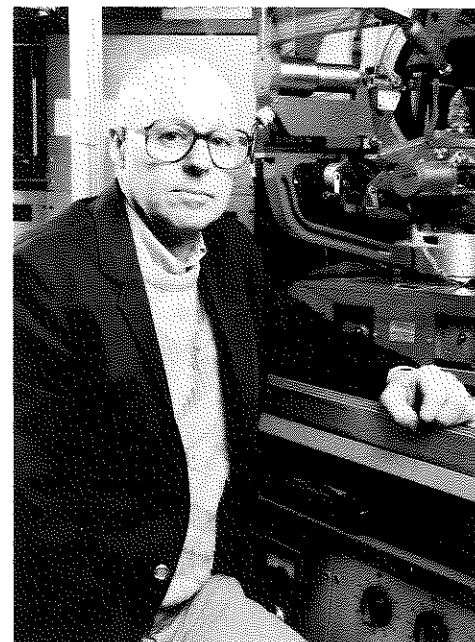


Figure 1. One of the first X-ray diffraction photographs showing non-crystallographic ten-fold symmetry. This X-ray diffraction pattern was obtained from a single fragment of quasicrystalline icosahedral Al_7CuLi_3 . Precession photo. MoK α radiation.

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Keywords

X-ray diffraction, structure of thin films and interfaces in composites, grazing incidence diffraction and X-ray reflectivity, structure of intermetallic compounds

Alan W. Scaroni

Environmental Impact of Fossil Fuel Usage

The impact of fossil-fuel use on the global environment is dictating that efficiencies be improved and pollutant emissions reduced from combustion systems. This is especially the case for solid fuels, including coal. Advanced combustion processes involve increases in operating temperatures and pressures, which place greater demands on construction materials. In addition, it is becoming necessary to conduct hot-gas cleanup processes at the operating conditions of advanced heat engines.

A multitude of problems need to be overcome before advanced cycles can be commercialized. These include fuel-feeding problems such as erosion of nozzles and atomizer tips, and slurry stability and atomization characteristics. Advanced flue-gas cleanup systems must reduce such pollutants as acid gases, heavy metals, and ozone to extremely low levels, with zero-discharge plants being the ultimate objective.

In a recent study on removing SO_2 from the flue gas of coal-burning power plants, the performance of twenty limestones and dolomites from active quarries in Pennsylvania was determined in a laboratory-scale reactor and in a commercial power plant. The compositional specifications applied to many plants were found to be arbitrary, and the performance of the various sorbents was not related to specific chemical composition. There appears to be a rather complex relationship between the pore structures developed on calcination and subsequent sulfation performance. The results of the study have been used in the power industry to lower the cost of SO_2 emissions compliance in fluidized bed boilers.

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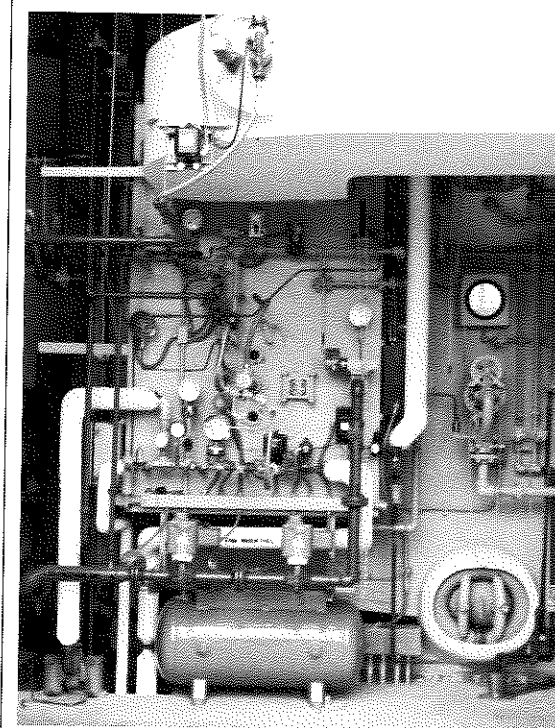
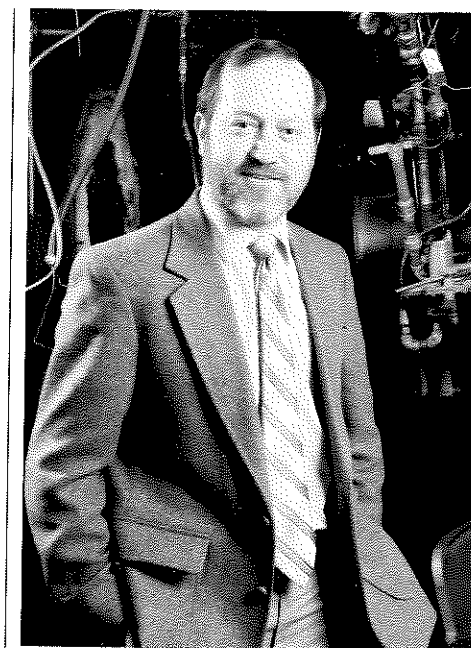


Figure 1. Details of the coal slurry demonstration boiler at the East Campus Steam Plant.

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Keywords

coal, combustion, fluidized-beds, slurry fuels, combustion-generated pollutants

paralleled variety of electronic properties and by oxide materials holds tremendous promise for electrical applications. In no other materials is there such variety of electrical properties as in oxides, which include insulators, superconductors, ferroelectrics, piezoelectrics, and magnets. A major challenge is to prepare materials with sufficient quality and interconnect them with adequate control, so that these materials can be fully utilized in electronic devices. Many new device concepts will likely emerge from alternately layered structures with dimensions minute enough to produce quantum effects (nanometer-scale thicknesses). The use of these materials for most electronic applications is likely to be a stack of single-crystal layers, each attached epitaxially to the one below it and prepared in such a way that the composition and structure can be controlled at the level of atomic layers. Professor Schlom's research is aimed at developing this capability by studying the growth process itself.

Molecular beam epitaxy (MBE) is the technique used by Schlom's research group to produce customized thin-film structures of oxides. In this process, which amounts to atomic layer-by-layer growth, several beams, each of a different element or molecular species, travel through high vacuum so that collisions on the substrate surface to be coated (the substrate) are exceedingly rare, and chemical reactions occur exclusively on the substrate. This allows for the growth of highly reactive or even metastable films to reach the deposition surface undisturbed. Several molecular beams may be directed onto the surface to be coated, either simultaneously or sequentially as schematically shown in Figure 1.

Schlom's research has concentrated on the growth of layered combinations of oxide superconductors and related phases. The experiments of these studies demonstrate the effectiveness of the shuttered MBE technique to grow highly smooth, layered, superconducting structures including ordered superlattices in situ. Precise layering control with unit cell resolution has been achieved. In addition to controlling the sequence of compounds in a layered structure, with sufficient control this technique is often capable of customizing the structure of constituent compounds themselves. Structures observed only locally in samples prepared by conventional bulk methods have been prepared in single-phase form and their properties characterized. Even metastable structures have been prepared. Adapting and applying MBE layering capability to the integrated fabrication of oxide materials will lead to the development of novel devices and is a

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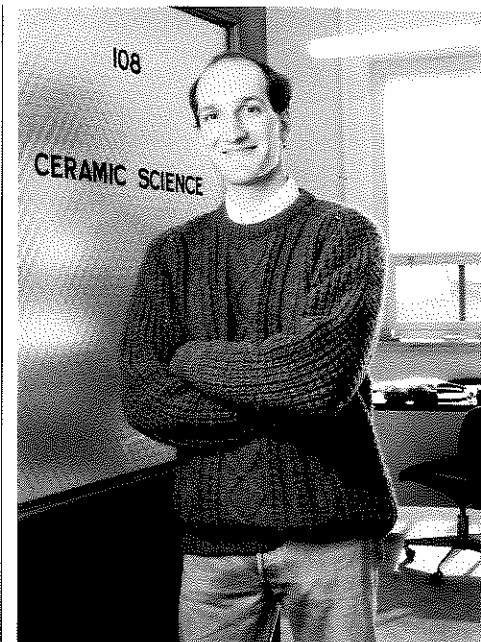
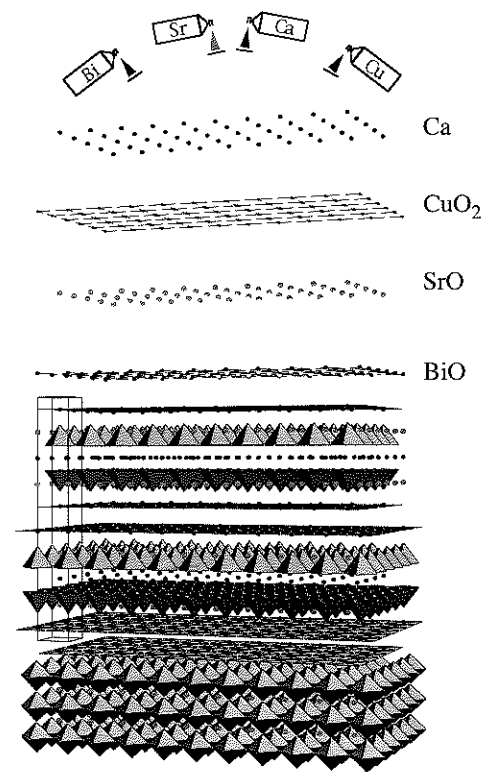


Figure 1. A highly schematic representation of the MBE process used to grow an oxide superconductor ($Bi_2Sr_2CaCu_2O_{8.8}$ on $SrTiO_3$). The sprayed beams are individually controlled by shutters that control the sequence and quantity of species reaching the growth surface.



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Keywords
 heteroepitaxial growth of oxide films, customized layering and metastable heterostructures, oxide superconductors, molecular beam epitaxy

Advanced generation (high-Mach) aircraft will generate significant heat in the airframe and "skin" as a result of frictional heating. One solution to this problem is that the aircraft's fuel might serve as a heat sink to cool hydraulic fluids, sensitive electronics, and other aircraft components. The thermal stressing of the fuel in such situations can cause pyrolytic decomposition, leading to the formation of solid carbon deposits. If these deposits plug fuel lines or burner nozzles in the engine, catastrophe could result. Because thermal stresses envisioned for advanced aircraft are well above the range in which prior fuel stability studies have been conducted for conventional aviation fuels the goal is to develop a fuel formulation that will withstand temperatures of 900°F for two hours without deposition problems.

Coal-derived jet fuels are more stable in the high-temperature pyrolytic regime than are typical petroleum-derived fuels. The key compositional difference is the higher proportion of cycloalkanes, particularly polycyclic cycloalkanes, in the coal-derived fuels. For example, tests with pure compounds show that decalin possesses remarkable thermal stability. Because coals have molecular structures based mainly on polycyclic aromatics, the potential exists to produce high yields of thermally stable jet fuels from coal if the polycyclic aromatic structures can be removed chemically from the macromolecular coal structure and subsequently hydrogenated to polycyclic cycloalkanes.

Conversion of coal to jet fuels and other useful liquid products requires careful adjustment of reaction conditions and use of catalysts that can break down the coal structure, hydrogenate the high-molecular-weight, coal-derived products, or do both. Recent and current work on development of liquefaction catalysts include studies of metal-impregnated clays, thio crown-ether complexes, and organometallic cluster compounds.

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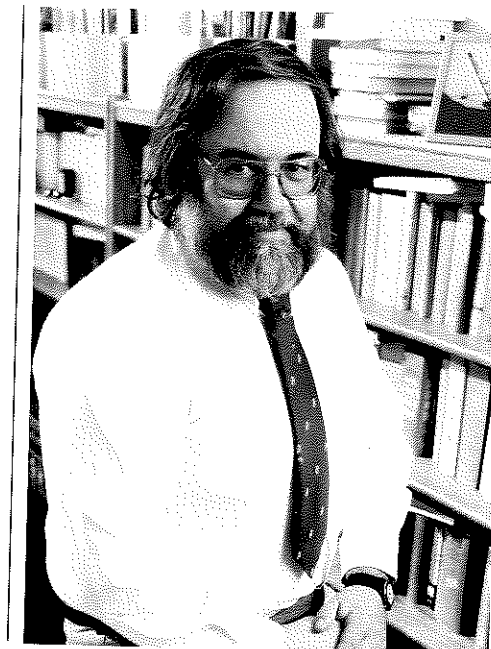
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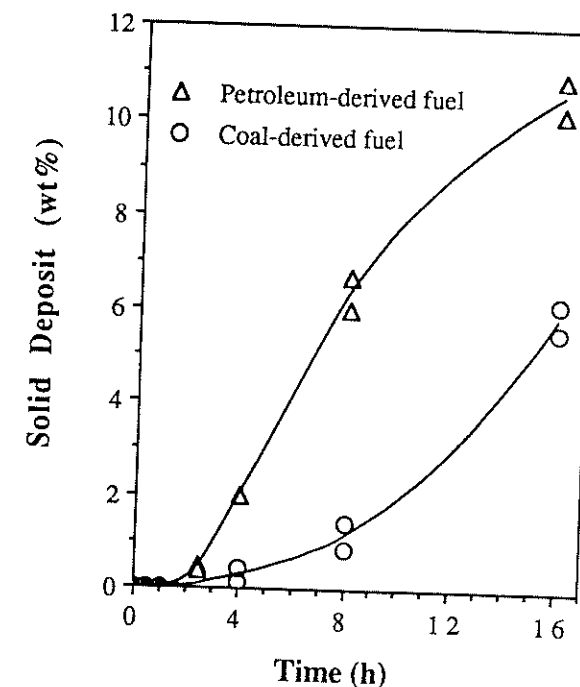
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Coal-derived jet fuels are much more stable at extreme temperatures than conventional petroleum-based fuels. This graph compares the breakdown of the two fuels to undesirable solid carbon deposits at 425°C.



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Keywords
 jet fuels, coal, coal liquefaction, novel catalysts, coal ash, gasification systems, combustion systems

Professor Small is interested in the physical and chemical behavior of metallic powders. Work has been performed in his laboratory on the properties of water-atomized iron powders, a common material used in the domestic "press and sinter" P/M industries to make various all-sized automobile parts. Not only does the particle size of this variety of powder vary, but the shapes of the particles are also very irregular; therefore, theoretical predictions concerning the properties of these complex powders are very difficult to make. Experimental studies performed at Penn State have shown that the properties known as *flow rate* and *apparent density* of a typical loose powder change dramatically upon removal (individually and combined) of different fractions at both ends of the particle size spectrum. The effect of atmospheric relative humidity on the magnitudes of these variables has been completely identified. Information of this type is useful to powder metal manufacturers in determining such parameters as the so-called fill volume in die filling operations and the ultimate mechanical strength of sintered parts. A fundamental understanding of the nature of variations in the apparent density of powders is the key way. Analyses of results with Small's associates have led to speculation about various aspects of interesting ways in which loose metal particles can associate together. Some depictions of these particle interactions are shown below. Small is also involved in analyzing the effects played by dilute solutes on the performance of metal powders. Modern methods of surface analysis have been employed to determine the composition profiles of several sites—sulfur, oxygen, and carbon—along the near surface regions of typical atomized iron particles and the relation of this information to the stage of powder processing. Of interest also is the role of dissolved nitrogen and adsorbed moisture on the properties of sintered powder products.

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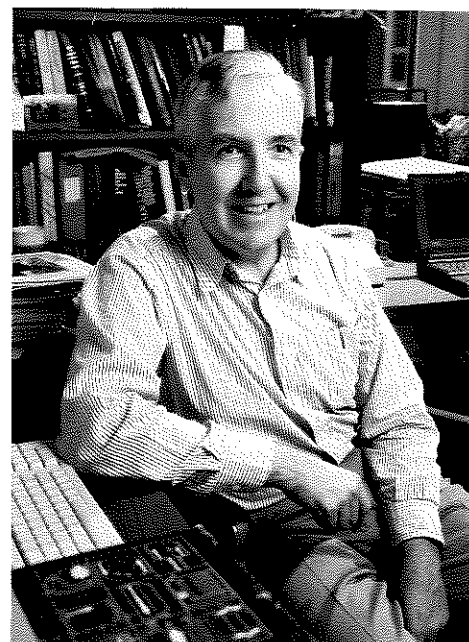
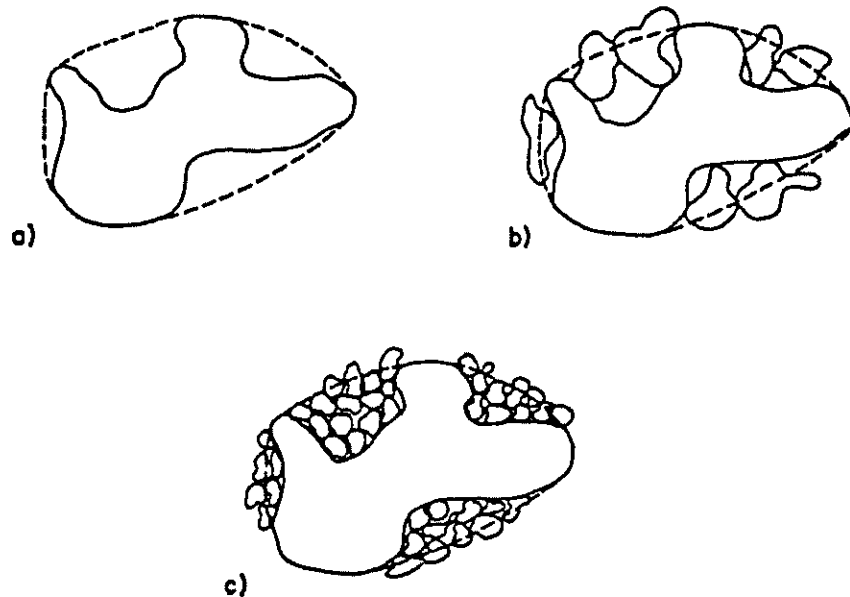


Figure 1. Schematic of an irregularly shaped +100 mesh particle: a) +100 mesh only, with b) smaller particles penetrate caverns, c) finest particles penetrate caverns in +100 mesh particle.



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Dr. Song's research interests include catalysis in fuel processing and coal liquefaction, shape-selective synthesis of specialty chemicals, synthesis and application of novel mesoporous zeolites, and chemistry of high-pressure pyrolysis of hydrocarbons. A major challenge in coal liquefaction research is to convert coal into liquids at lower temperature. Recently Song's group has found that using water and dispersed MoS_2 catalyst together could dramatically improve coal conversion at temperatures (325–375°C) that are much lower than those used in conventional processes (400–470°C). For example, adding water to catalytic runs at 350°C can double the coal conversion, as shown in Figure 1. The promotional effect of water depends on the temperature range and water/coal ratio and catalyst type. Research is ongoing to examine whether and how H_2O affects the C-C and C-O bond cleavage and whether and how H_2O affects the activation and passivation of catalytically active phase.

Song's research on shape-selective catalysis focuses on zeolite-catalyzed conversion of polyaromatic hydrocarbons, which has great potential for new and emerging applications. Ongoing work involves catalytic tests on several types of reactions as well as analytical characterization for understanding the relationship between the pore structure and surface acidity characteristics of the catalysts and their activity and selectivity. The work on selective alkylation aims at adding two alkyl groups on naphthalene to make 2,6-dialkyl naphthalene, and on biphenyl to make 4,4'-dialkyl biphenyl, which are important building blocks for advanced aromatic polymer materials. There are many possible isomeric products from the alkylation, but only one of them is desirable. The challenge is to control the regioselectivity with zeolites possessing the desired pore structure and surface acidic characteristics. Recent work concentrated on dealuminated mordenites that exhibit high selectivity for isopropylation.

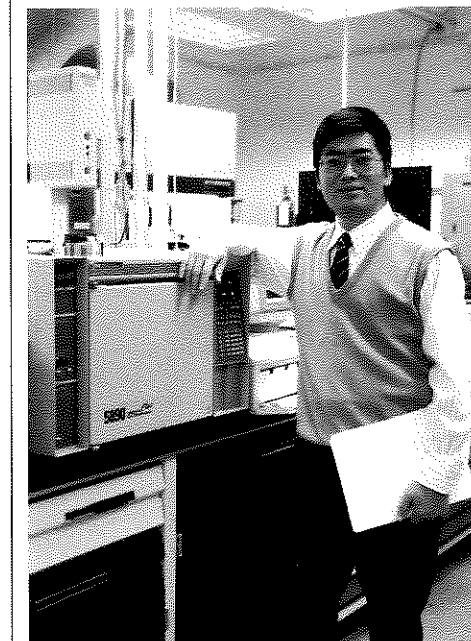
In an effort to convert phenanthrene into useful chemicals, Song's group found that some mordenite and Y zeolite catalyze the ring-shift isomerization of sym-octahydrophenanthrene to sym-octahydroanthracene. The latter can be used for producing anthracene, which is in demand in chemical industry. Recent work includes the determination of equilibrium compositions as well as catalyst screening and optimization of the conditions. The research on low-temperature selective hydrogenation of naphthalene revealed that mordenite- and Y zeolite-supported noble metal catalysts are much more active than the corresponding Al_2O_3 - and TiO_2 -supported catalysts. Moreover, either trans-decalin or cis-decalin can be selectively produced by tailoring the catalyst composition. A related reaction is the

trans-decalin. Song's group has found that molecular H_2 dramatically promotes such an isomerization of saturated hydrocarbon over some metal catalysts.

More recently, Song's group has initiated research on synthesis, characterization, and catalytic applications of novel mesoporous zeolites. This work revealed that certain aluminum compounds are excellent sources for incorporating Al atom into the silicate framework during hydrothermal synthesis, leading to mesoporous aluminosilicates with good acidic characteristics. Important catalytic applications of the mesoporous zeolite catalysts include low-temperature hydrogenation and hydrodesulfurization of liquid fuels, and cracking and hydrocracking of heavy oils.

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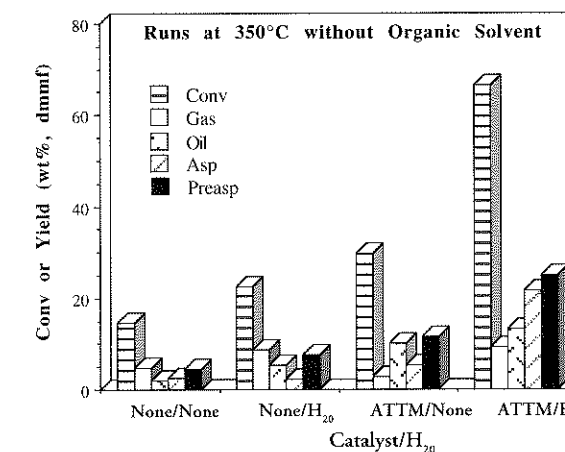


Figure 1. Water improves coal conversion in catalytic liquefaction of Wyodak coal using ammonium tetrathiomolybdate (ATTM) as catalyst precursor at 350°C for 30 min under H_2 pressure.

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KEYWORDS

catalysis, zeolite, fuel, coal liquefaction, hydrogenation, alkylation, isomerization, shape selectivity, specialty chemicals, high-pressure pyrolysis, jet fuel, thermal stability

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Materials Chemistry of Diamond and Ceramics

The chemical mechanisms that govern the nucleation and growth of diamond from an activated gas phase, and thus the ability to manipulate and control these processes, are not established. The goal of a cooperative research project conducted with Professor Michael Frenklach is to develop detailed chemical kinetic models that accurately describe the deposition processes. The models include both gas-phase and surface reactions that produce both diamond and nondiamond carbon. The modeling studies are coordinated with experimental programs that include homogeneously nucleated and grown diamond powders from gaseous reactants, and a diamond film deposition process that systematically alternates growth and etching cycles.

Experimental and theoretical corrosion investigations of nonoxide ceramics are the focus of research conducted with Professor Richard Tressler. Base-line studies were initially performed using single crystals and dense, high-purity CVD thick films. Current studies on commercially available ceramics are being compared to the base-line data to develop predictive mechanistic models of corrosion behavior as a function of microstructure and purity of both the ceramic and gaseous environment. These current efforts have been expanded to include the oxidation behavior of ceramic-ceramic composite materials. A related project with Professor Carlo Pantano is aimed at developing a fundamental understanding of interface reactions in glass-matrix-ceramic composites through experimental studies coordinated with the development of predictive models.

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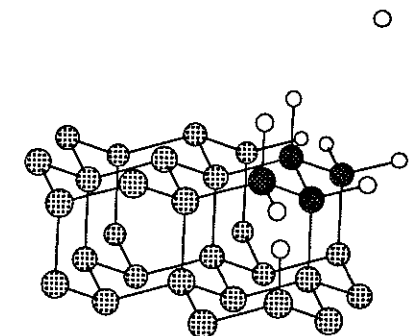
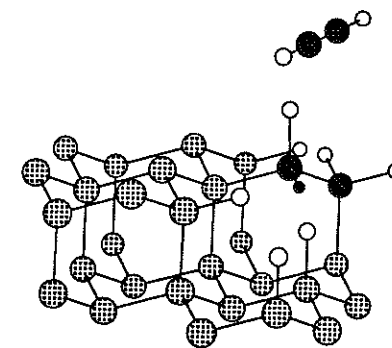
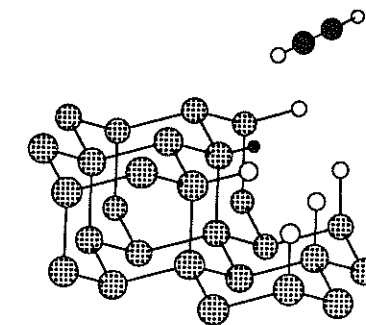


Figure 1. Schematic illustration of the addition of two acetylene molecules to a diamond {110} surface. This growth site is identical to a {111} step site. (Frenklach and Spear, 1988).

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Keywords

high-temperature and solid-state chemistry; thermodynamics and phase equilibria applied to materials synthesis and behavior; vapor-deposition processes for diamond and other high-temperature materials; experimental and thermochemical modeling of CVD; corrosion; composite interfaces

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Carbon-Carbon Composites, Manufacture, Mechanical Properties, and Oxidation Resistance

of their excellent high-temperature mechanical properties, carbon-carbon composites are used in applications by their oxidation resistance. Inhibiting the oxidation is a major problem that may be tackled by either a protective coating or an inherent modification of the structure of the material. The incorporation of boron during processing has been explored via various routes, and later work has focused on the properties of a new material, BC₃, with the crystal structure as graphite. Preliminary studies indicated that BC₃ has superior oxidation resistance to graphite (Figure 1), and excellent mechanical properties.

The oxidation of carbon-carbon composites depends on the manufacturing technique (pitch impregnation) and the type of matrix used. The existence of synergism between the components in the composite is quite clear in some circumstances where the reactivity of the composite cannot be determined by adding the behaviors of fibers and matrix. A systematic study of various fiber-matrix combinations is currently in progress that should help determine the circumstances under which beneficial synergism exists.

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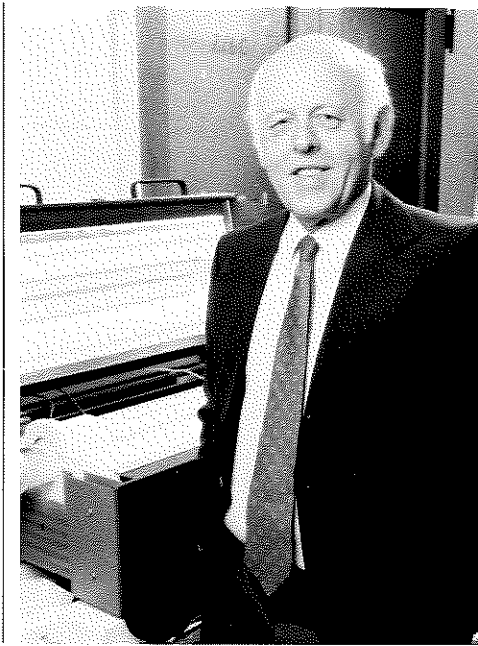
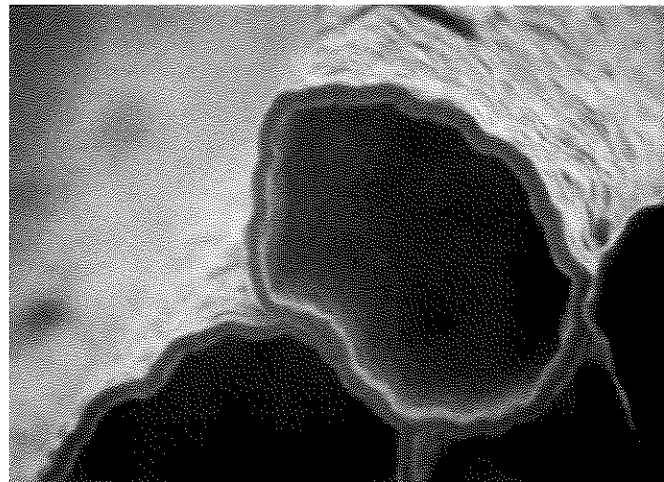


Figure 1. Carbon fiber coated with BC₃ and then oxidized to remove the fiber. The oxidation resistance of the coating is evident.



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graphite, carbon-carbon composites, oxidation, boron-carbon compounds

Richard E. Tressler

Degradation of Ceramics, Fibers, and Composites

In many heat-engine, heat-exchanger, and heat-generating systems, advanced ceramics with the requisite thermomechanical properties are thermodynamically unstable in the corrosive environments of the operating system. However, passive reaction products and very slow kinetics may permit adequate lifetimes for many applications. Improvements in materials and protection schemes can best be developed when the processes that control the corrosion and substrate degradation are understood in detail in terms of rate-limiting reactions and local thermodynamic equilibria.

The long-term reliability of advanced structural ceramics, ceramic fibers, and ceramic-ceramic composites under static or cyclic stresses at elevated temperatures is of major importance for most energy-usage or energy-recovery applications. The fundamental understanding of the failure processes, and the materials characteristics that control these processes, is in the very early stage of development. The design data base of reliable tensile properties of commercially available materials must be developed to assure timely application of these materials.

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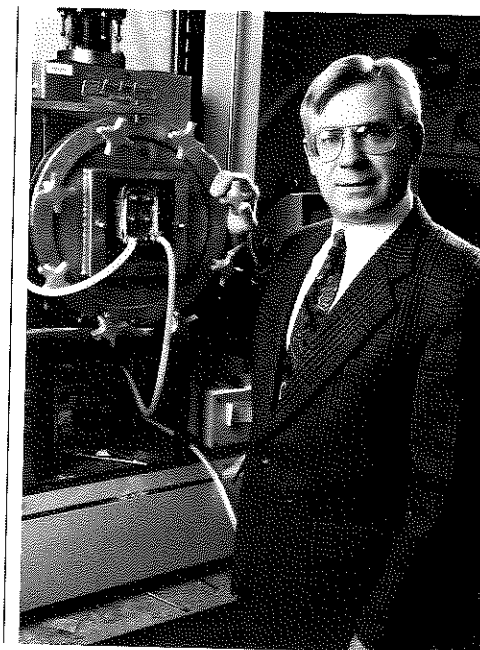
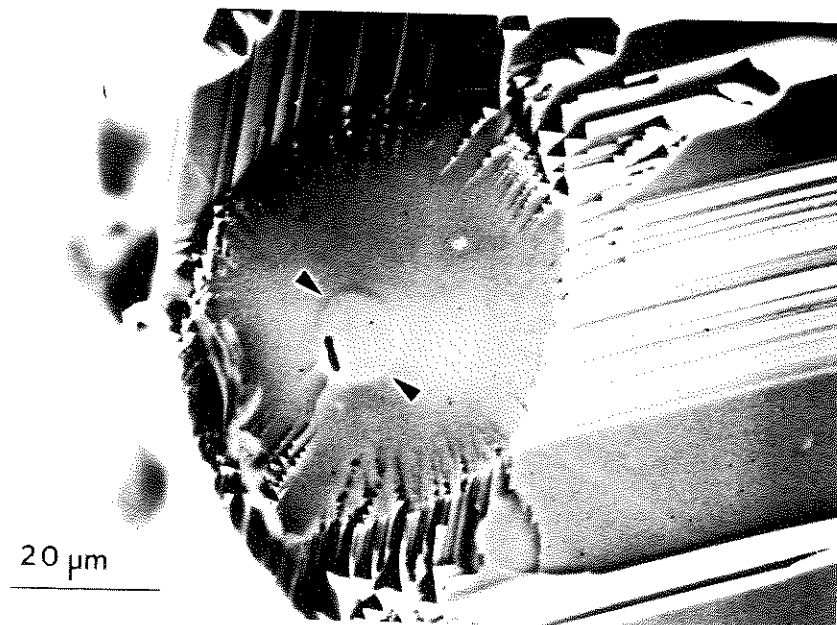


Figure 1. Fracture mirror on the fracture surface of a sapphire fiber tensile tested at 1400°C. There is a region of slow crack growth around the failure origin, a pore.



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point defect and impurity diffusion in silicon and silicon carbide; properties of oxide films on silicon or silicon carbide; processing of fibers, composites, and in situ reinforced ceramics; oxidation, corrosion, creep rupture, crack growth

Susan Troler-McKinstry

Structure-Microstructure Property Relations in Ferroelectric Films

Susan Troler-McKinstry is interested in the development of electronic ceramics as active major sensors and actuators. Her current research program is focused on understanding factors influencing ferroelectric surface and interface properties. This has also led to the study of intrinsic and extrinsic (processing-related) effects in ferroelectric materials. Ferroelectric thin films are attractive for a wide range of electronic applications, including microelectronics for information storage, micro-optic switches, and miniature electro-optical transducers. However, due to the complexity of the deposition processes involved in the preparation of films that are both dense and microstructurally homogeneous is difficult. In addition, there is considerable variability in the observed properties of films and considerations from the properties expected of bulk materials of the same composition. In turn, this has limited the implementation of thin film based devices.

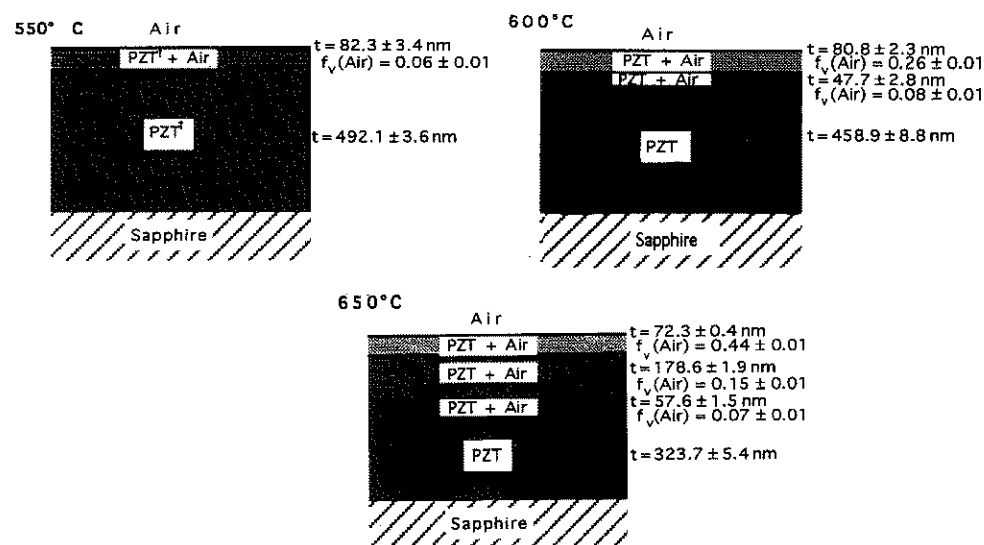
Susan Troler-McKinstry has demonstrated that spectroscopic ellipsometry can be utilized to characterize the inhomogeneities present in ferroelectric films on both conducting and insulating substrates. Spectroscopic ellipsometry is a non-destructive technique capable of depth profiling the dielectric function of insulating films over scales ranging from angstroms to micrometers. As a result, it bridges the gap between surface and bulk tools designed to characterize either the surface or bulk of ceramic materials. Both spectroscopic and ex-situ spectroscopic ellipsometry are currently being utilized to examine the role of processing and annealing processes on the microstructure and homogeneity of thin films. An example of the evolution in the physical structure of an inhomogeneous, amorphous $Pb(Zr_{0.5}Ti_{0.5})O_3$ during crystallization is shown in Figure 1. Spectroscopic ellipsometry measurement has permitted Susan Troler-McKinstry to model the effect of inhomogeneities on the observed electrical properties of ferroelectric films. Studies of this type are able to determine the critical factors in controlling the microstructure of ferroelectric films to be designed so that higher quality devices can be fabricated.

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Figure 1. Evolution of microstructure during annealing of a $Pb(Zr_{0.5}Ti_{0.5})O_3$ film deposited by multi-ion-beam reactive sputtering. A Sellmeier oscillator was used to model the optical properties of the film. For this sample, generation of surface roughness appears to be tied to the crystallization process. It has also been shown that the final degree of inhomogeneity present in such films is a strong function of the annealing profile utilized.



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Keywords

ferroelectricity, spectroscopic ellipsometry, thin films, electroceramics

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Fuel Specification and Combustion Adjustment for Power Plant Optimization

The properties of solid particles formed during combustion of coal and oil in electric power boilers determine heat-exchanger life, emissions of pollutants from the stack, and ease of disposal of solid waste from the plant. When the unit design, fuel, and combustion processes have been optimized, there are few unexpected failures of superheater tubes, particulate emissions are consistently within regulatory limits, and ash can be utilized as a building material. The specification of fuels and adjustment of operating conditions to satisfy these conditions are the subjects of Professor Walsh's research.

In a recent study with Mr. Jianyang Xie, working jointly with Professor Alan Scaroni of the Penn State Combustion Laboratory, Walsh has found that a layer of small ash particles deposited on a superheater tube can provide protection from erosion by more aggressive particles, such as quartz, which are a significant component of the ash in many coals. Because excessive deposit formation is also undesirable, optimum heat-exchanger performance may be achieved only over a rather limited range of conditions of flue-gas velocity, temperature, ash concentration, and particle size distribution. These conditions depend upon the source of coal and its preparation.

In a recent experiment, a high-velocity jet of air was directed at the surface of a test coupon mounted near the exit of an industrial boiler firing coal-water slurry in place of oil. The air jet accelerated particles suspended in the flue gas toward the surface of the specimen. Figure 1 shows an electron micrograph looking down on the surface of the specimen after exposure to the jet and particles for two hours. The pattern of rings resulted from the competition between erosion and deposit formation by ash and unburned carbon. In this case, erosion was observed at the center of the pattern, deposition of ash occurred in the first ring, and erosion was observed in the region farthest from the center of the jet. This shows how the balance between erosion and deposition can be tipped one way or the other by small changes in temperature, velocity, and particle concentration. The interpretation of such patterns provides the information needed to determine conditions under which coal can be substituted for oil in industrial boilers. Walsh's work is supported by the Commonwealth of Pennsylvania and the U. S. Department of Energy/Pittsburgh Energy Technology Center.

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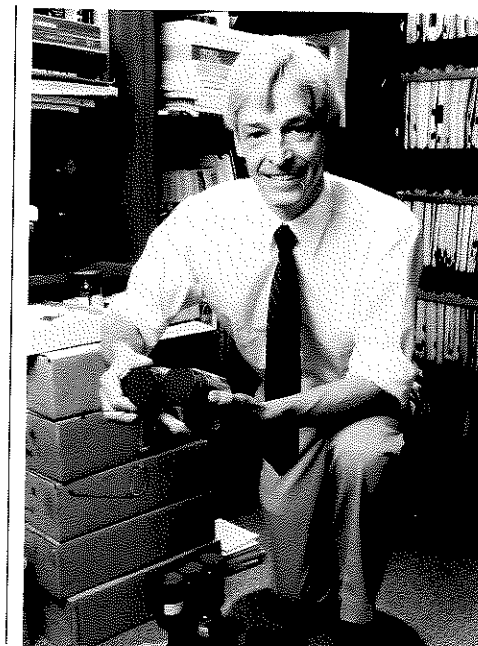
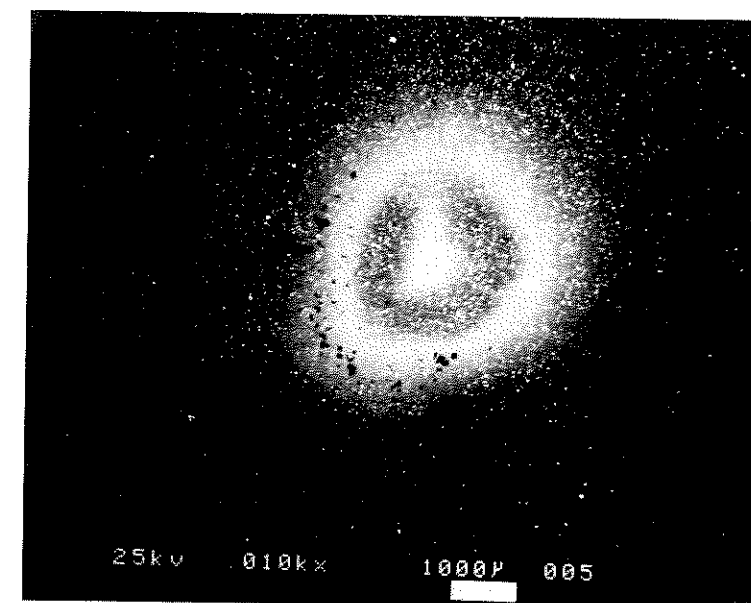


Figure 1. Scanning electron micrograph of the pattern of erosion and ash deposition produced by a small jet of gas directed at the surface of a steel tube. The jet entrains ash and unburned carbon particles suspended in the combustion products and accelerates the particles toward the surface. In this case, erosion was observed at the center of the pattern, an ash deposit was formed in the dark ring, and erosion again appeared in the region farthest from the center.



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Keywords

coal combustion, particulate-matter emissions, fouling and erosion of heat exchangers

Walter A. Yarbrough

Synthesis and Preparation of Materials

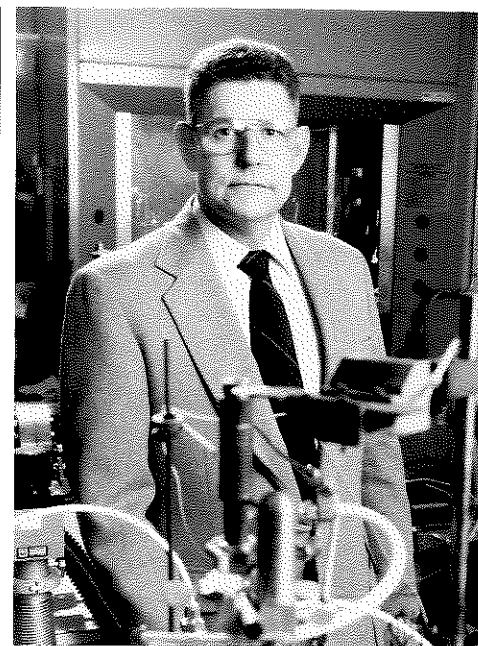
or Yarbrough's major research interests are in the synthesis and preparation of materials. A major focus continues to be how structural development and phase-transition processes in the fabrication of materials are influenced by the presence of solid-liquid interfaces. These interests include nucleation and growth of both stable and metastable phases. He and his students have been concentrated on the synthesis of metastable phases, principally diamond and cubic boron nitride, through the use of chemical vapor deposition (CVD). Although reports from Russia and elsewhere that metastable diamond could be synthesized using CVD met with considerable skepticism, by 1984 it became apparent that the reports were accurate. Much of the skepticism in the scientific community stemmed from the well-known phase diagram of carbon, which suggests that the formation of diamond from graphite should be possible at pressures less than approximately 15 kbar. Thus, Yarbrough and his students are focusing on two major issues.

The first of these issues is the question of whether diamond should be synthesized during CVD and not during one of the other possible carbon phases. What critical factor dictates the structure of the solid formed in CVD? Closely related to the second issue: Can other similarly metastable crystalline phases be synthesized using CVD and related methods? This question has practical importance in that it would help in selecting potentially useful approaches for novel materials synthesis and fabrication. Paramount among the materials of interest is the ultrahigh-band, gap refractory semiconductor boron nitride. Like diamond, cubic boron nitride is extremely valuable technology and is also a high-pressure phase, stable at atmospheric pressure. Unlike diamond it is not available naturally and can be reproducibly prepared at very high pressures.

The effort to provide answers to these questions, Yarbrough and his students have done through theoretical and experimental work. The synthesis of metastable phases by Yarbrough has shown that a well-crystallized metastable phase (e.g., diamond), which is thermodynamically preferred, can be conventionally accepted stable. This is because, in most processes, nucleation and growth occurs by the addition of material to the solid surface. If bulk recrystallization or transformation is sufficiently slow, then phase control can be established at the solid surface (i.e., the interface between the crystal and the reactants contrib-

of the bulk phase may at best be irrelevant, and at worst misleading, to the researchers interested in novel approaches to materials synthesis. Yarbrough has shown that the relevant thermodynamics rationalizing the formation of diamond use thermodynamic potentials appropriate for the solid surface in local equilibrium with vapor or liquid phase in contact with the solid. These thermodynamic potentials differ from those appropriate for the bulk solid. With this approach, Yarbrough has shown that many diamond-growth methods can be understood since at high atomic hydrogen concentrations, the diamond surface structure is more stable than that of graphite. For example, the dominantly observed surface of graphite, the (0001) or basal plane surface, is thermodynamically preferred relative to the commonly observed (111) surface on diamond at low atomic hydrogen concentration. However, when the atomic hydrogen concentration is higher than that expected at true thermodynamic equilibrium, the diamond surface is indeed preferred to the graphite surface.

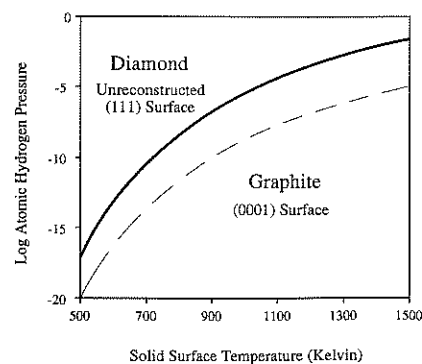
The successful use of this formalism as a guide to understanding the growth of diamond implies a useful paradigm in materials synthesis: If reconstruction or transformation in the bulk solid can be ignored, as is true with many refractory phases, control of the solid structure can be achieved by adjusting synthetic parameters to control the surface structure during the crystal growth process. Thus the challenge with cubic boron nitride synthesis may well lie with the question of what conditions stabilize the solid surface to the desired cubic structure during nucleation and growth. Research exploring this and other similar possibilities is continuing.



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Figure 1. Results of calculations comparing commonly observed surfaces of diamond and graphite. It is generally recognized that the unreconstructed octahedral or (111) surface of a diamond crystal is most often terminated by chemisorbed hydrogen. This surface consists of a "puckered" hexagonal array of carbon atoms, and as such is quite similar to the hexagonal array or "sheet" of carbon atoms that form the (0001) basal plane surface of graphite. At low atomic hydrogen partial pressures for a given temperature, including those expected at global equilibrium (the dashed line), the preferred surface structure is that of graphite. At high atomic-hydrogen partial pressures, such as might be expected if a nearby continuous source of atomic hydrogen is present, the expected surface structure switches to that of the diamond surface. The dark continuous line represents the boundary between the conditions where the diamond and graphite surfaces are preferred.



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Keywords

synthesis, diamond, cubic boron nitride, surface chemistry, chemical vapor deposition, solution-sol-gel synthesis, non-equilibrium thermodynamics

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Produced by the Penn State Department of Publications U.Ed. EMS 94-1