

m a t e r i a l s   s c i e n c e   a n d   e n g i n e e r i n g

PENNSTATE







cover: Polarized light micrograph of a needle coke particle produced by pyrolysis of a petroleum-derived heavy oil. The different colors on the micrograph represent how large planar molecules are oriented to create an anisotropic structure, analogous to that seen in nematic liquid crystals. The structural anisotropy and size of needle cokes are important in manufacturing graphite electrodes from needle cokes.

**P**enn State is a major, comprehensive research university. It counts among its faculty many leading scientists and scholars and ranks among the top universities in terms of research funding obtained from government and industry.

Graduate study in materials science and engineering is centered at the Penn State University Park campus—the largest and the original campus in a system that spans the Commonwealth. More than 39,000 students are in residence at the University Park campus, which is located in the town of State College, at Pennsylvania's geographic center. The surrounding Allegheny Mountains provide beautiful scenery and many opportunities for outdoor recreation, including biking, hiking, water sports, skiing, and picnicking.

Penn State offers all the facilities of a major university and a full calendar of lectures and other academic events. In addition, the Center for the Performing

Arts brings professional musical, theatrical, and dance productions from around the world to campus. Other campus organizations sponsor a wide range of cultural events, and the Palmer Museum of Art hosts a range of exhibitions.

The University has outstanding recreational facilities and programs, including many intramural sports activities: softball, basketball, football, volleyball, and others. Penn State football brings thousands of alumni and fans back to Beaver Stadium each fall. The newly erected Bryce Jordan Center is the site of Nittany Lion and Lady Lion basketball, as well as a center for concerts, athletic tournaments, and cultural events.

The University Libraries have holdings of about 3.6 million volumes with on-line catalog access. The central Pattee Library is supplemented by specialized satellite libraries, including the College of Earth and Mineral Sciences Library in Deike Building.



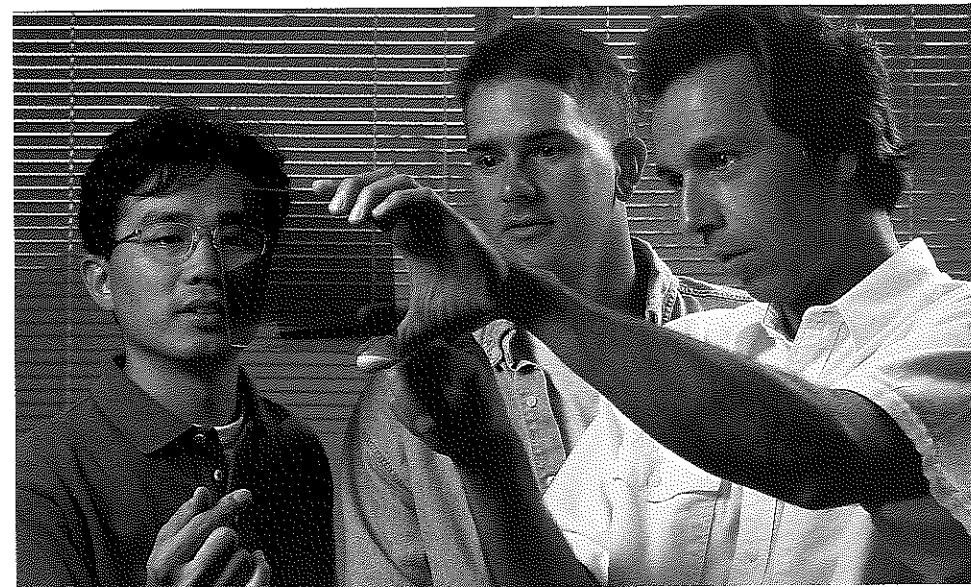
## Department of Materials Science and Engineering



The Department of Materials Science and Engineering at Penn State, as currently organized, was formed in 1967. Its evolution, however, was unlike most such departments across the country that were initiated and dominated by one of the classic materials science disciplines. At Penn State, the Department of Materials Science and Engineering has its roots firmly planted in the College of Earth and Mineral Sciences—a college with an established tradition in mineralogy and earth sciences. Strong academic programs were established in metallurgy in 1907–08 and in ceramic technology in 1923. Fuel science, another subject with a rich history at Penn State, was first offered as a major in 1934. These three disciplines were the nucleus of the newly formed department in 1967. The addition of the Polymer Science program in 1972, and the interaction with the multidisciplinary Intercollege Graduate Program in Materials, completes what is today one of the finest and best-balanced departments of materials science in the country. A recent study by the National Research Council ranked the department among the top ten materials science departments in the nation.

The department offers graduate degrees (M.S. and Ph.D.) in materials science and engineering with specialties in ceramic science, fuel science, metals science and engineering, and polymer science. An interdisciplinary graduate program, the Intercollege Graduate Program in Materials, is administered through the Graduate School.

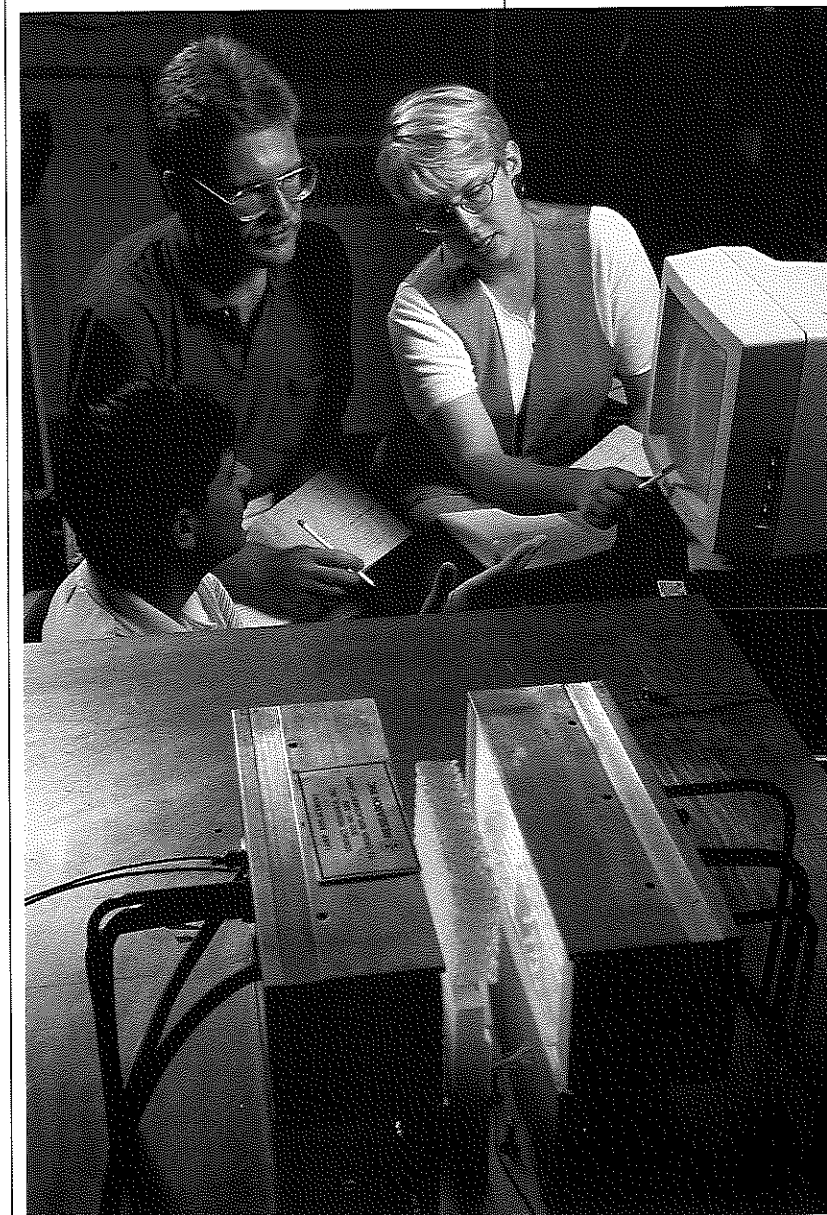
The department is large and diverse, affording students the opportunity to interact with faculty and with postdoctoral and graduate students from a wide spectrum of disciplines. There are forty full-time faculty members, some 200 graduate students, and about 175 undergraduates currently in the department.



Materials science courses that cut across disciplines are offered, in addition to those in the specialties. Students are encouraged to broaden their horizons by taking these and other University-wide courses.

Faculty members in the Department of Materials Science and Engineering have many responsibilities, including teaching and advising students, undertaking research and disseminating results, maintaining academic standards, and performing other services for the University. Many also participate in research projects in the various cross-disciplinary centers such as the Center for Advanced Materials, the Particulate Materials Center, the Materials Characterization Laboratory, the Energy Institute, the Intercollege Materials Research Laboratory, and the Electronic Materials and Processing Research Laboratory. Several materials science faculty members have been appointed to the Materials Research Institute Advisory Board, the umbrella coordinating group for materials research at Penn State.

Students and alumni have consistently rated the faculty above average as teachers. Eight of the current faculty have won collegewide teaching awards. The faculty's research productivity is outstanding, as measured by the national and international recognition of their peers, the number and quality of papers published, and the amount of research dollars generated (nearly \$12 million in outside funding in 1995–96).







## General Admission Requirements for the Graduate Program

All graduate programs in the department have many more applicants each year than can be accommodated. Usually, 40 to 100 people apply for each opening. No general criteria will guarantee admission. Only the best-qualified applicants are admitted for each option depending on the number of openings available and the compatibility of students' interests with current research projects.

Applicants must have received, from an accredited institution, a baccalaureate degree earned under residence and credit conditions substantially equivalent to those required by Penn State. No fixed minimum grade-point average (GPA) is required for admission, but a junior-senior grade-point average of at least 2.50 on the scale of A (4.00) to D (1.00) is recommended by the Graduate School. Most incoming students have a GPA of at least 3.00.

Scores on the Graduate Record Examination (GRE)—verbal, quantitative, and analytical—are no longer required by the Graduate School for completion of the admission process. However, all students, especially those from foreign countries, are strongly advised to submit GRE scores with their applications. In many cases, the GRE is the only common factor that can be used to compare applications of similar merit.

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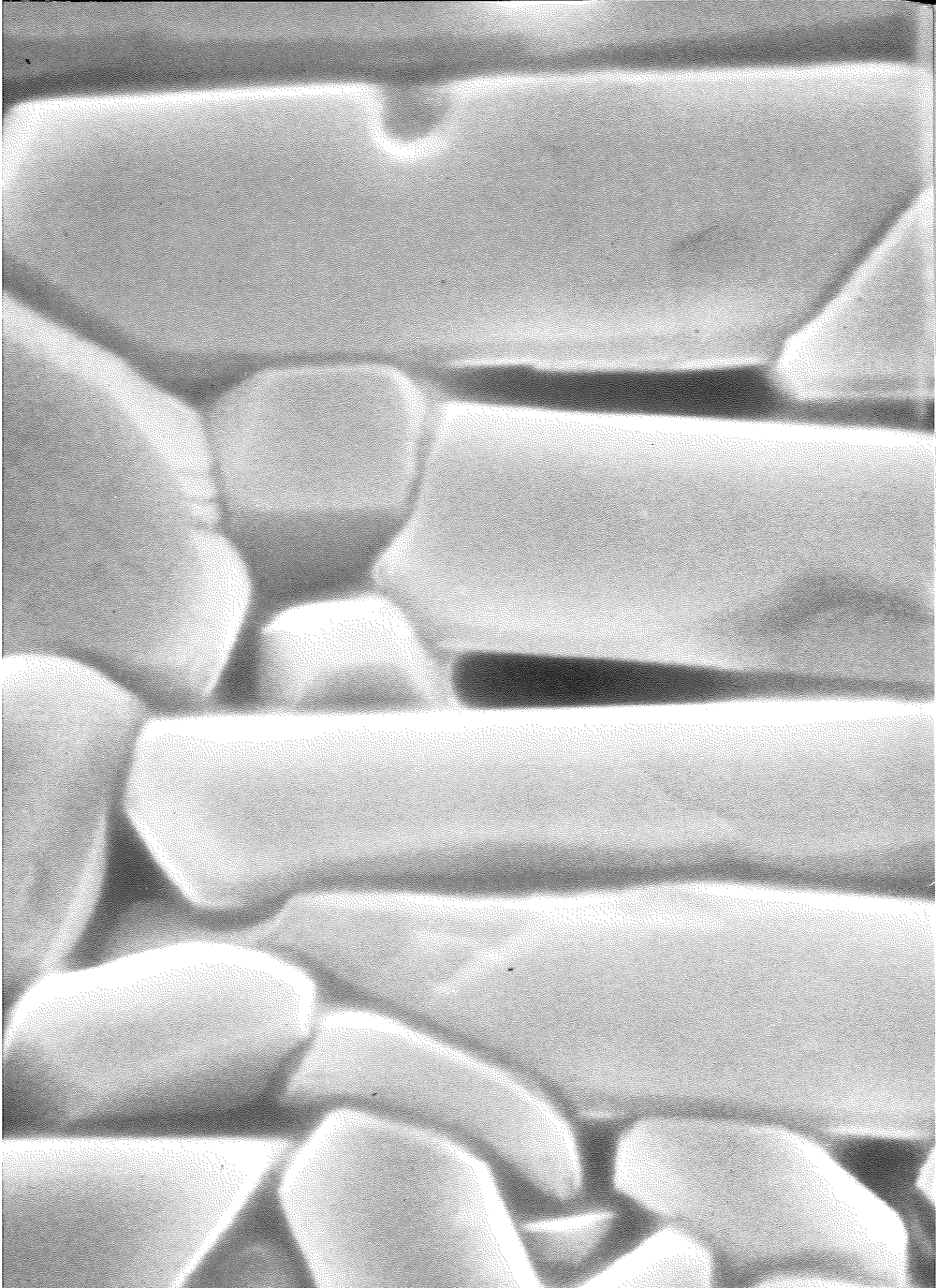
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The general graduate admission requirements are stated in the General Information section of the *Graduate Degree Programs Bulletin*. Application forms for admission are sent out by, and should be returned to, the department at the address that appears above. Applicants also should arrange for two or three letters of recommendation to be sent to the same address. Copies of academic transcripts need be sent only to the Graduate School.

Most students admitted to our graduate program are offered financial assistance in the form of research or teaching assistantships. For the 1996-97 academic year, these paid between \$14,000 and \$15,000 for two semesters plus the summer session, with all tuition paid.

Students who wish to apply for graduate studies in the Department of Materials Science and Engineering should contact the graduate program coordinator at the address above.





## Ceramic Science (CERSC)

The Ceramic Science specialty covers a wide field with special emphases in ceramic processing, physical ceramics, chemical ceramics, and glass science. Special facilities exist for research in areas of electroceramics, phase equilibria, mechanical properties, thermal properties, surface characterization and properties, high-temperature reaction kinetics, coatings and thin films, solid-state synthesis, dielectric and ferroelectric studies, corrosion studies, and composite materials.

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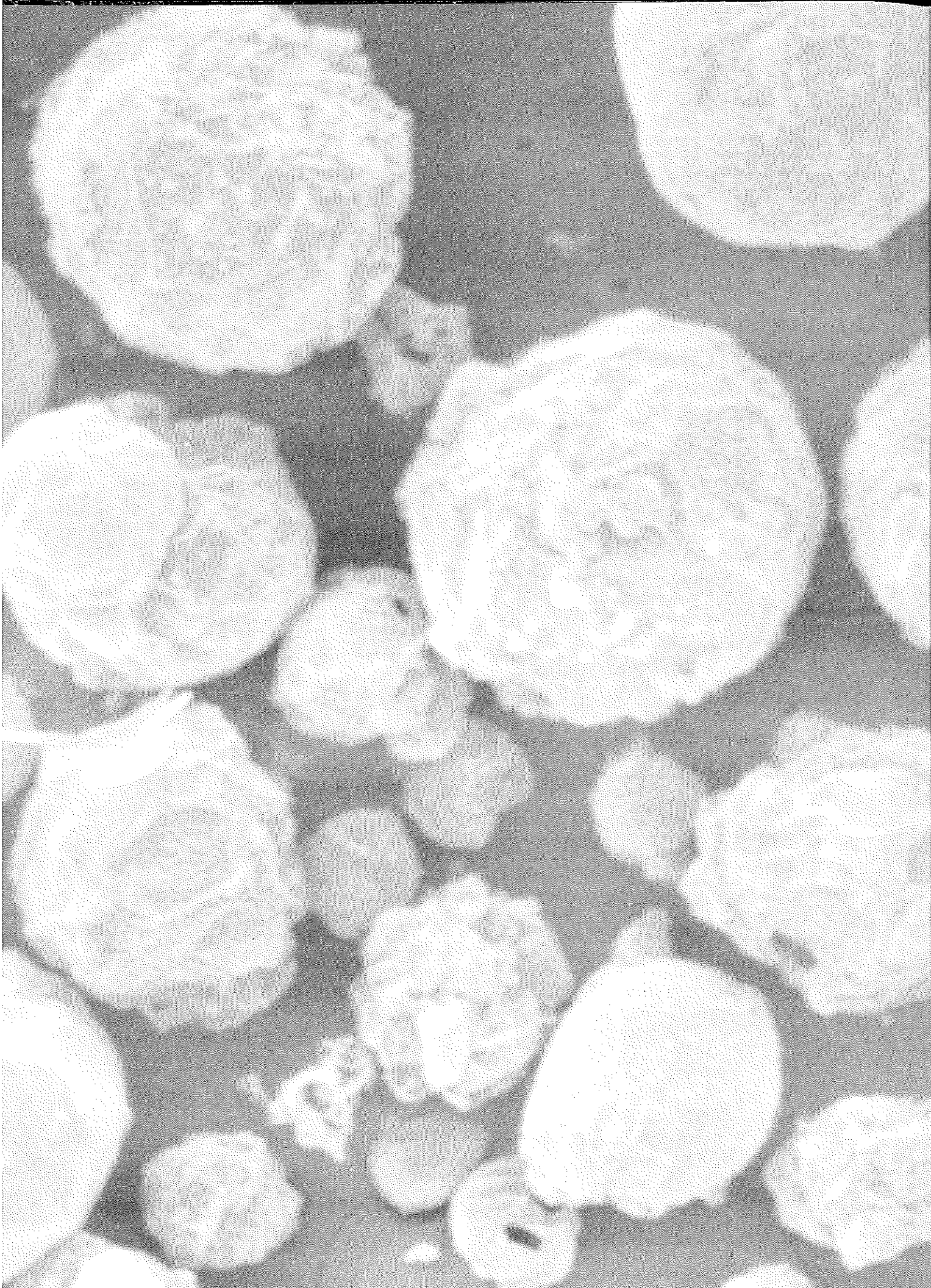
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## Fuel Science (F SC)

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The Fuel Science program at Penn State provides advanced learning and research opportunities in the science and engineering of energy and fuel use. The program has traditionally emphasized the major fossil fuels (coal, oil, and natural gas), but biomass and other renewables are playing an increasingly important role in the curriculum.

The focus of fuel science is the conversion of fuels into usable energy and value-added products. Advanced fuel formulations, energy efficiency, and environmental aspects of fuel use dominate the research agenda. Pollution prevention and abatement are high priorities. Specialized facilities exist for the chemical and physical characterization of liquid, solid, and gaseous fuels, the conversion of fuels from one form to another (carbonization, liquefaction, gasification, petroleum processing), the production of specialty carbons and chemicals from fuels, examination of the degradation of pollutants in the environment, and the combustion of fuels in furnaces and internal combustion engines. Facilities and expertise are also available for the synthesis, characterization, and application of catalytic materials for fuels processing, emissions control, and pollution prevention.

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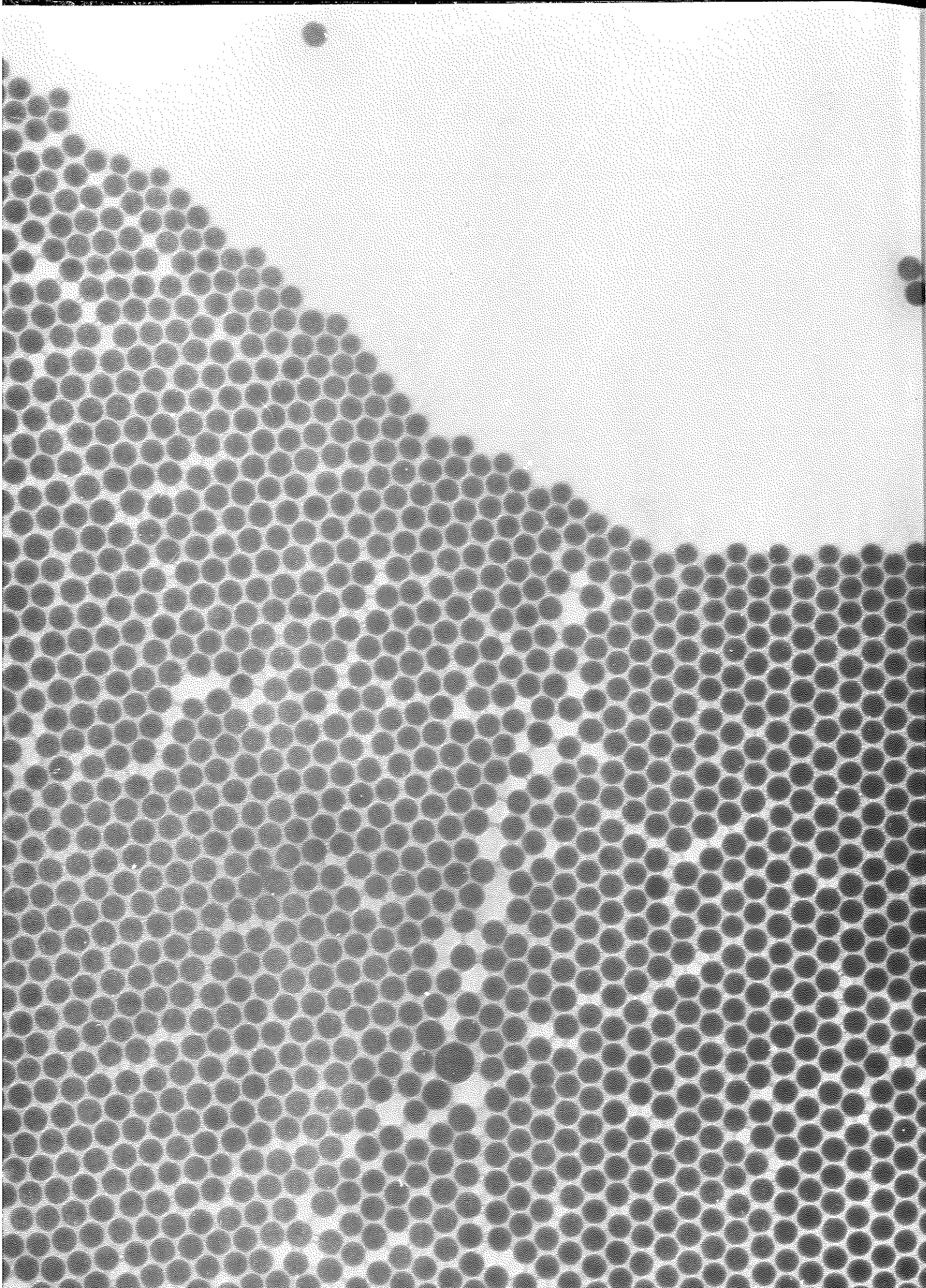
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Jan R. Pels, Assistant Professor of Fuel Science, Prop. (Amsterdam), Ph.D. (Delft)





## Metals Science and Engineering (METAL)

The Metals Science and Engineering specialty is science-oriented with a broad range of course work and research in the processing of metals, microstructural evolution, and both mechanical and physical properties. Of special note are strong efforts in corrosion, electrochemistry and aqueous processing, oxidation studies, and laser processing. Research programs in powder metallurgy, deformation and fracture of advanced materials such as intermetallic alloys and metal matrix composites, and phase-transformation studies also are extensive.

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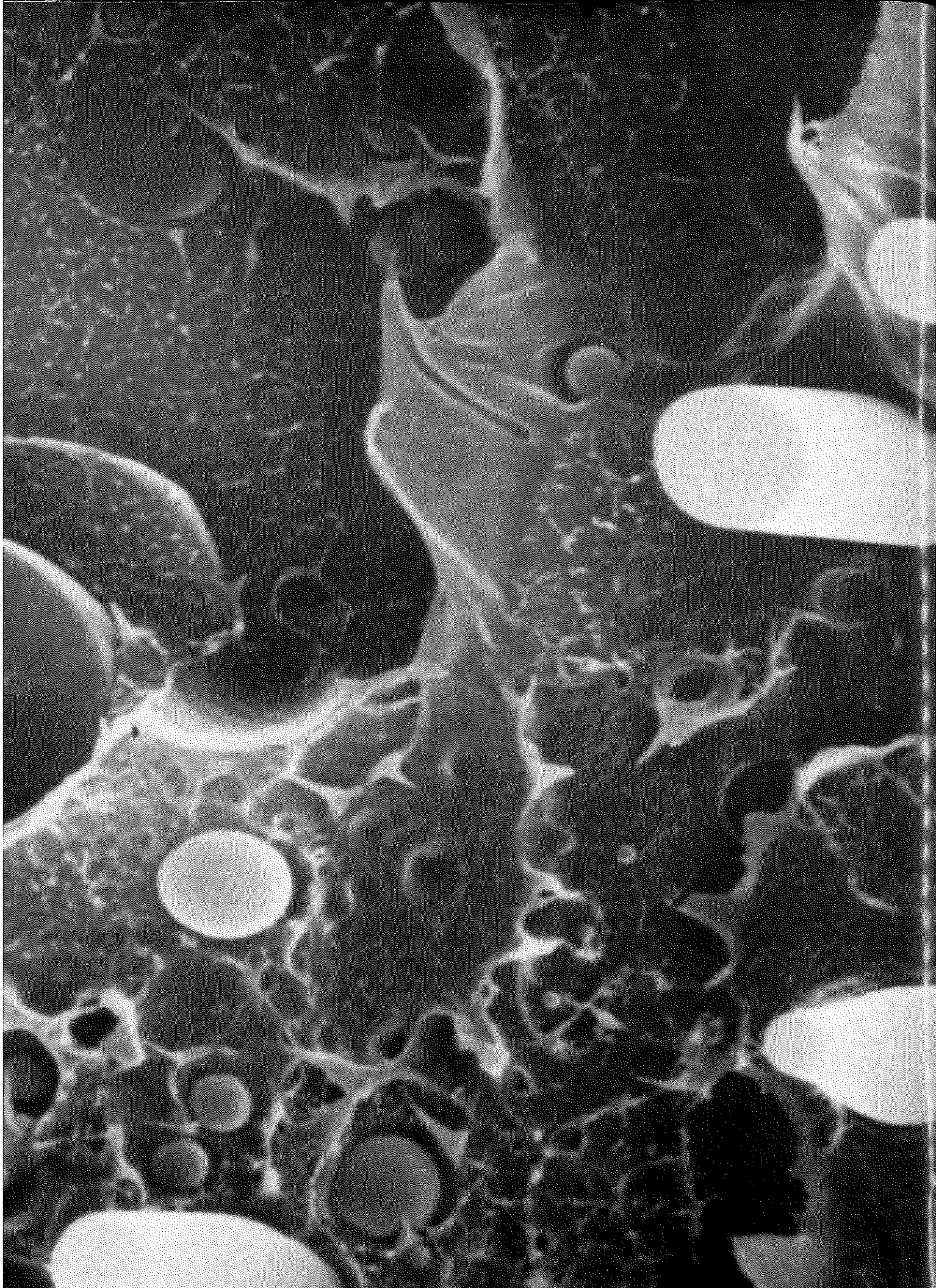
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## Polymer Science (PLMSC)

Polymer science at Penn State is a multi-disciplinary subject concerned with the study of macromolecules. Such molecules are pervasive in today's technological society and find numerous applications in such diverse fields as plastics, elastomers, adhesives, surface coatings, textiles, packaging, and composites. Students work with faculty on a wide range of research projects, some of which are outlined in these pages. In addition, special facilities exist for research in the areas of polymer synthesis, polymer blends, surface science, mechanical properties, modeling and theoretical studies, diffraction and scattering, multicomponent systems, polymer characterization, high-temperature-stable polymers, conducting polymers, microscopy, vibrational spectroscopy, and thermal analysis.

### FACULTY

David L. Allara, Professor of Materials Science and Chemistry, B.S. (California), Ph.D. (UCLA)

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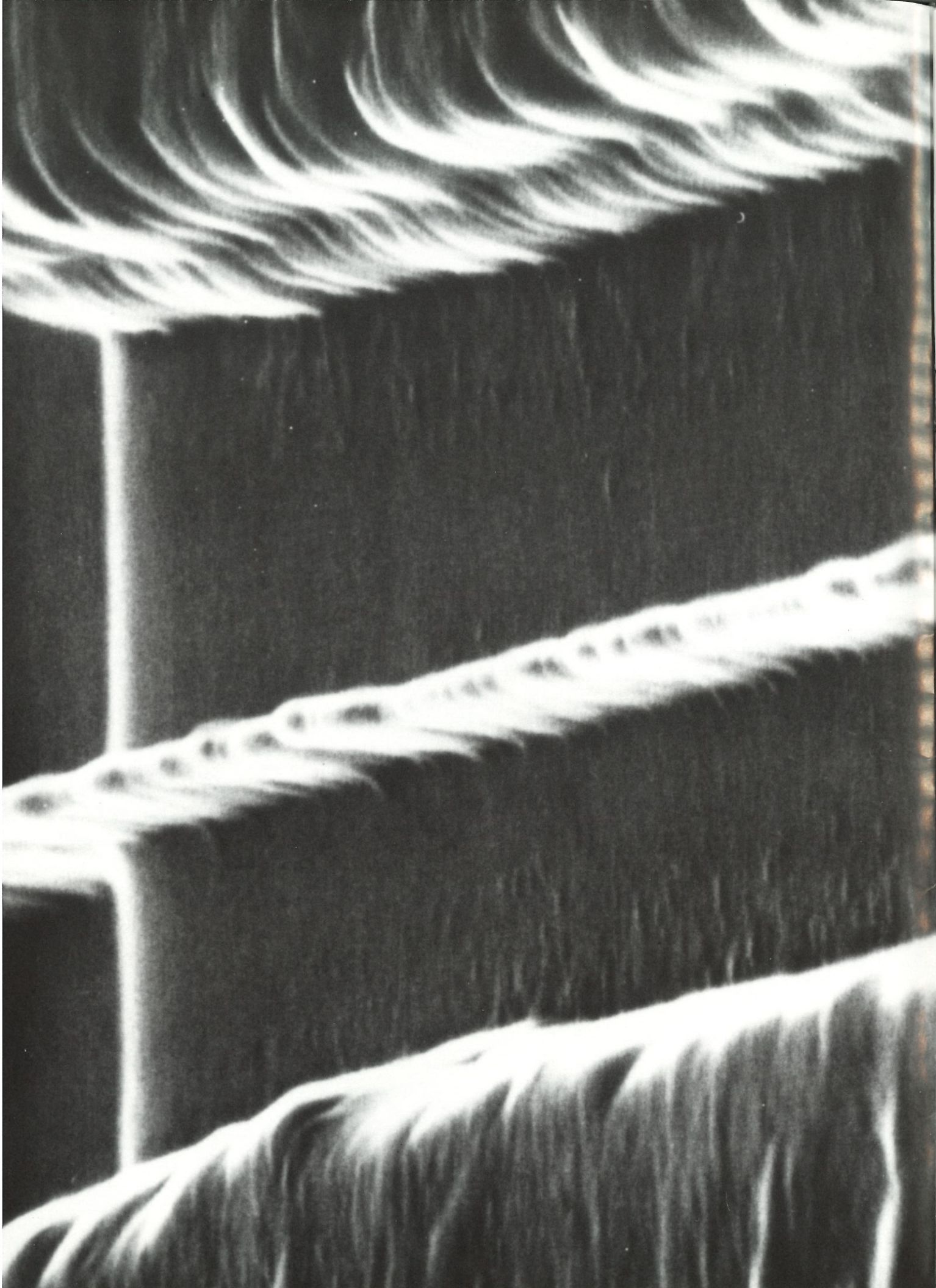
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## Electronic and Photonic Materials (EPM)

While there is no graduate option specifically in electronic and photonic materials, materials science and engineering faculty are very active in the field, and many electronic and photonic materials projects are available to graduate students. Research in this area at Penn State focuses on diamond, ferroelectrics, gallium nitride, glasses, optical waveguides, silicides, silicon, silicon carbide, and superconductors, as well as other electronic and photonic materials. Special facilities exist for integrated circuit processing, molecular beam epitaxy, pulsed laser deposition, sputtering, spectroscopic ellipsometry, and transmission electron microscopy.

Students interested in electronic and photonic materials may apply to any of the programs within the Department of Materials Science and Engineering (Ceramic Science and Engineering, Fuel Science and Engineering, Metals Science and Engineering, and Polymer Science and Engineering), or the Intercollege Graduate Program in Materials.

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unique molecular and atomic features of interfaces between materials often control significantly influence the useful functions of a synthetic and naturally occurring structure. Examples include the rate and specificity of electrochemical processes, the adhesive strength and conductivity of thin metal-film coatings on polymer or ceramic substrates in an electronic circuit component, the biological compatibility of a synthetic biomedical implant, the efficiency of a semiconductor transistor with a chemically modified interface, and corrosion of a structural metal part induced by its working environment.

The major objective of Professor Allara's research program is the development of a fundamental understanding of the chemical structures and processes that occur at these interfaces. Particular emphasis is placed on interfaces where one of the adjoining phases is organic.

An interface is a complex boundary region that can be viewed as a slice of material, often only a few atoms or two molecules thick. Extreme details are placed on the chemical and structural probes needed to study these regions. Recent researches both the development of sensitive molecular-structure probes, and the development of informative model chemical structures.

One type of model consists of a supported monolayer of molecules such that all the molecular groups examined will be part of the interface. For example, to learn about the interface between a polymer and a metal substrate, Allara has studied the properties of an adsorbed polymer monolayer on a smooth, planar metal substrate covered by a thin oxide film. Another model system of great utility is an organized monolayer assembly of multifunctional organosulfur compounds on a gold surface. Attachment to the gold occurs via a bivalent sulfur atom. Other groups such as amino, hydroxyl, carboxylate and derived esters, methyl, fluoroalkyl arrange themselves in the interface at the ambient interface as dictated by hydrodynamics and molecular structure. An atomic top view of alkanethiolate assembly on a Au(111) surface is shown in the accompanying figure. These model structures have provided details about the molecular basis of the wetting of liquids by an organic surface, the transport of electrons and ions through layers of polymer groups, and adhesive chemical-bonding reactions in polymer coatings. Other research groups recently have extended this work to the preparation of biologically active surfaces, and Allara's group is now examining model structures for use in specific chemical sensors. One of the fascinating aspects of these systems is the alteration of chemical-reaction mechanisms of organic groups because of the

"two-dimensional" nature of the assembly. New activity in the research program has been developing additional model structures on technologically important substrates such as glass, carbon, and various semiconductors and recent work has focused on developing new integrated circuit processing methods using monolayers on semiconductors.

Characterization of the above and related structures has been performed primarily by infrared vibrational spectroscopy, optical wavelength ellipsometry, X-ray photoelectron spectroscopy, and electrochemistry. In the case of vibrational spectroscopy, it has been necessary to develop new types of experimental and theoretical approaches in order to provide quantitative characterization of structural features such as surface orientation, group conformations, and intermolecular interactions. Both Fourier-transform and laser techniques have been applied experimentally, while a combination of molecular vibration analysis and classical electromagnetic theory have proved useful for theoretical interpretations. In addition, other promising techniques are continually being evaluated. Of recent interest are scanning tunneling microscopy, quartz crystal microgravimetry, surface plasmon resonance spectroscopy, secondary ion mass spectrometry, forward recoil spectroscopy, and valence band spectroscopy.

#### Publications

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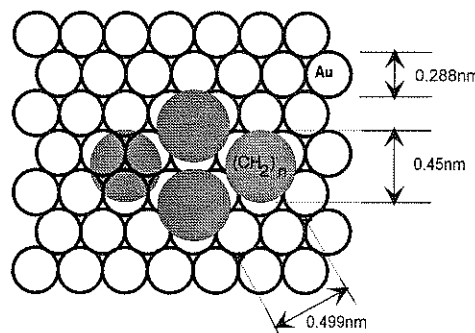
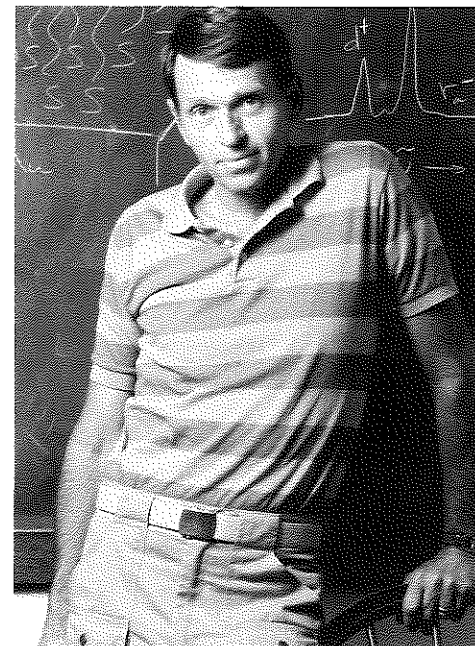


Figure 1. Alkyl chains spaced as next-nearest neighbors on a Au(111) surface.

#### Keywords

surface chemistry, chemical kinetics, vibrational spectroscopy, condensed matter interfaces including polymers, surface analysis, materials chemistry

Professor Boehman's research interests involve the study of combustion and pollution control systems for applications ranging from pollutant formation in diesel engines to catalytic pollutant removal from flue gases. These research efforts have included the development of unique experimental facilities for the study of pollution control catalysts, laboratory facilities for measurement and detailed chemical analyses of automobile emissions, numerical models for the behavior of catalytic combustors and pollution control catalysts, and laboratory reactor facilities for pyrolysis studies of aviation fuels.

Many combustion and pollution control research problems involve the interaction of convective heat and mass transfer and heterogeneous chemistry. Such systems are of tremendous practical importance, and understanding their behavior and finding ways to enhance their performance are essential areas of research.

Within the Fuel Science program, Boehman supervises laboratory facilities for studying pollution control devices and advanced catalysts for use in diverse applications, including diesel oxidation and lean-NO<sub>x</sub> catalysts and NO<sub>x</sub> abatement systems for industrial coal boilers. This facility consists of a fixed-bed flow reactor, test cells for a single-cylinder diesel engine and a V-8 turbodiesel engine, and instrumentation for sampling gaseous and particulate emissions. The engine laboratory is supplemented by the fuel science analytical chemistry laboratory, which permits detailed chemical analysis of the exhaust composition. New facilities also include flow reactors for thermal stressing of aviation fuels to be used in the development of advanced thermally stable fuels that resist formation of pyrolytic carbon deposits.

Other ongoing research includes the development of numerical models for the transient behavior of natural gas-fired catalytic combustors and the competing reactions over pollution control catalysts. The objective in the catalytic combustion studies is to develop a comprehensive numerical model that includes detailed surface chemistry for multicomponent reactions over an advanced palladium oxide catalyst and detailed homogeneous chemistry for methane combustion. Development of this code will include parallel computing strategies to make use of the computational facilities at Penn State. The numerical predictions will be evaluated by direct comparison with experimental measurements of catalytic combustor performance. The model for pollution control catalysts is being applied to the study of selective NO reduction, wherein the NO reduction reaction competes for the reducing species (ammonia or a hydrocarbon) with the oxidation reaction of the reducing species. This modeling effort has led to formulation of global reaction kinetics for selective NO reduction by hydro-

#### Publications

- A. L. Boehman, J. W. Simons, S. J. Niksa, and J. G. McCarty. 1997. Dynamic stress formation during catalytic combustion of methane in ceramic monoliths. *Comb. Sci. Tech.*, in press.
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- A. L. Boehman and S. Niksa. 1996. Conversion of various hydrocarbons over supported Pd during simulated cold-start conditions. *Appl. Catal. B: Environ.* 8:41.
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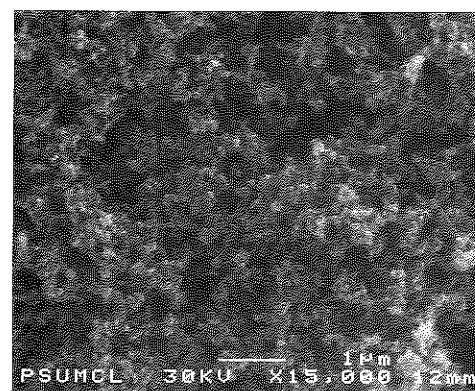
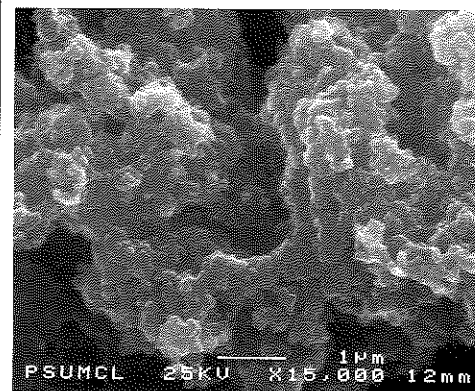
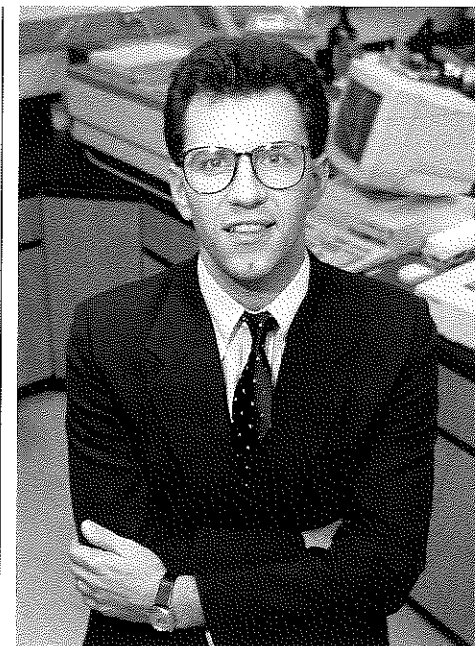


Figure 1. Scanning electron microscope images of diesel particulate matter from (a) a single-cylinder diesel engine and (b) the same engine with ceramic coatings applied to the piston crown, cylinder head, and valves. The thermal barrier coating enhances the oxidation of condensable hydrocarbons that agglomerate with the diesel soot. In figure (b) the particulate matter has a more granular appearance, showing that the soot spherules are not bound together by heavy hydrocarbons.

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#### Keywords

pollution control, heterogeneous catalysis, combustion systems, NO<sub>x</sub> jet fuel, internal combustion engines, heat transfer, mass transfer



contrast to the conventional sintering process for inorganic ceramics at temperatures in excess of 1,000°C, advanced ceramics and novel composites can be formed at low temperature and atmospheric pressure through controlled chemical reaction. This method of property development has broad applicability to the fabrication of both monolithic materials and ceramic-matrix composites. Unlike sol-gel reactions, chemical reactions produce near net shape results so that subsequent high-temperature processing is not required. Fabrication at low temperature and pressure, involves minimal geometric constraints. Thus, composites can be made using combinations of materials that would be precluded if processing were to occur at high temperature and pressure. For example, ceramic-matrix composites combining nontraditional constituents, such as metals or polymers, can now be synthesized. In addition, precursor phases of continuous fibers can be introduced to produce tough composites, even in composites that are not fully dense.

As a generic example of a benefit of chemical formation, sequential chemical reactions can be used to first form a fibrous phase that is subsequently infiltrated by a matrix phase as the result of a second set of reactions. If the nonmatrix phase is organic, it can be functionalized to confer specific desirable properties to these composites. Applications for chemically processed ceramics and composites range in scope from the structural to electronic fields. For practical application, high toughness can be achieved; for electronic applications, useful properties such as chirality can be conferred on an organic constituent to produce piezoelectric, ferroelectric, or chromophoric composites.

Dr. Brown's group has demonstrated the chemical formation of a variety of ceramics and composites including the formation of hydroxyapatite (HAp) and HAp-collagen composites, of the cristobalite polymorph of aluminum phosphate, and of alkali zirconium phosphates. HAp has been synthesized within a few hours at physiological temperatures and under conditions compatible with those in vivo.

Phase pure, porous monoliths of the high-temperature cristobalite polymorph of  $\text{AlPO}_4$  have been synthesized at 130°C, approximately 50°C below the temperature at which cristobalite is in the thermodynamically stable phase. Phase pure monolithic alkali zirconium phosphate has been prepared at 500°C; monoliths of the precursor phases have been prepared as low as 60°C. This later class of ceramics is usually multifunctional and is of interest as a solid state electrolyte, low thermal expansion material, and low thermal conductive material.

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K. TenHuisen, C. S. Reed, H. R. Allcock, and P. W. Brown. 1997. Low-temperature synthesis of a self-assembling biocomposite: Calcium deficient hydroxyapatite-poly[bis(sodium carboxylatophenoxy)phosphazene]. *J. Mater. Sci.: Materials in Medicine*, accepted.

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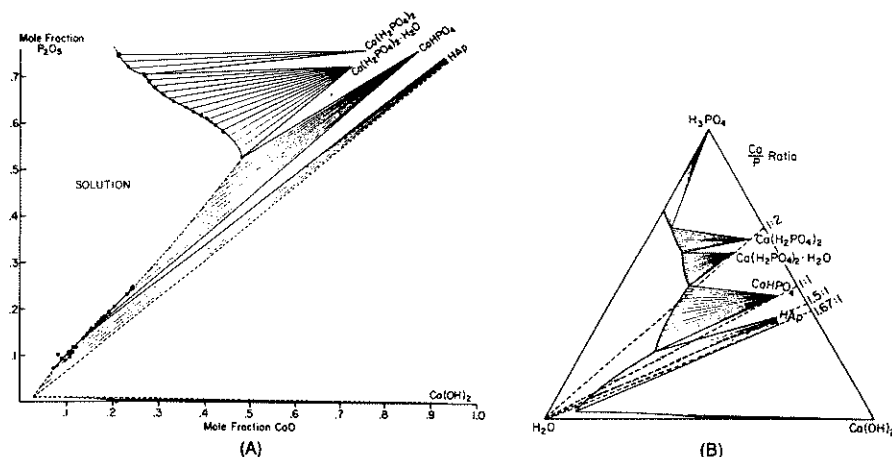
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Figure 1. The ternary diagram:  $\text{CaO-P}_2\text{O}_5\text{-H}_2\text{O}$  at 25°C showing the stability regions of biologically important compositions.



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**Keywords**  
 chemical formation of ceramics and composites, bioceramics, biocomposites, multicomponent phase equilibria, low-temperature synthesis

Professor Carim's research interests are centered around the microstructure and microchemistry of solid-state materials and their interfaces. The primary research tool in this work is the transmission electron microscope (TEM). Current studies include explorations of segregation and precipitation at ceramic grain boundaries, development of electron holography, active metal brazing of ceramics and ceramic-matrix composites, synthesis of novel complex compounds (dilute ceramics) that are often found as reaction products between ceramics and metallic alloys, and investigation of interface structure and defects in oxide thin films. The first two projects are briefly described here.

The controlled development of anisotropic microstructures in ceramics holds considerable promise, since platelet- or needle-shaped grains promote crack deflection and lead to a toughening of the material. The relative orientation of the grains and the presence or absence of intergranular precipitates, amorphous layers, and impurity segregation are critically important. High-resolution transmission electron microscopy (HRTEM) allows us to observe such features on the atomic scale in a sufficiently thin sample. An example is shown in Figure 1. In this case, the long, flat face of an alumina platelet (grain at upper left) exhibits an intergranular amorphous phase at the boundary with an adjacent, randomly oriented alumina grain. The crystal lattice planes are imaged directly in both grains. In situ chemical analysis by energy dispersive spectroscopy (EDS) allows us to determine that the amorphous phase (only 1.3 nm in width) is an aluminosilicate containing the intentional dopant titanium. Other grain boundaries are devoid of this phase, and its preferential formation along the basal planes of alumina appears to be closely linked to the development of the anisotropic microstructure.

In other work, the technique of electron holography is being developed and applied to materials problems such as the shape analysis of fine particles. Interferograms are formed by combining a reference electron wave with the wavefront passing through the sample, as shown in Figure 2. The interference fringes are displaced due to phase changes as the object wave passes through the sample. From the interferogram, the phase and amplitude components of the electron wave can be separated, providing a wealth of information on three-dimensional shape, electric fields, chemical variations, and other features.

**Publications**

A. Kebbade, G. L. Messing, and A. H. Carim. 1997. Grain boundaries in titania-doped alpha-alumina with anisotropic microstructure. *J. Amer. Ceram. Soc.*, submitted.

L. F. Allard, E. Völkl, A. Carim, A. K. Datye, and R. Ruoff. 1996. Morphology and crystallography of nanoparticles revealed by electron holography. *Nanostructured Materials* 7:137.

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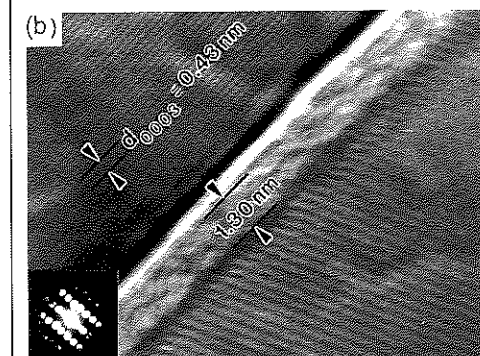
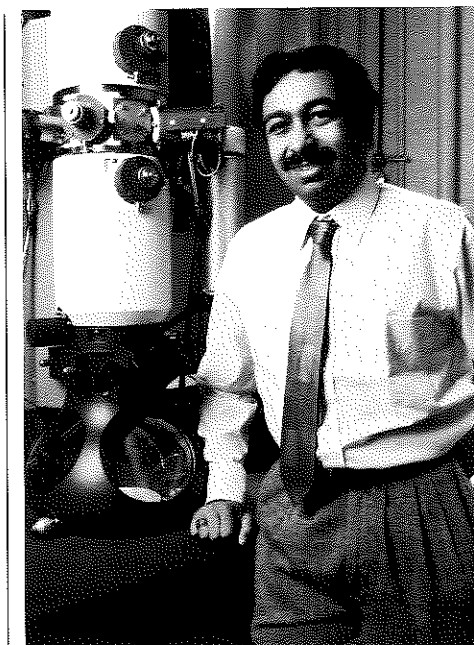


Figure 1. High-resolution TEM micrograph of the (0001) basal plane boundary of a platelet-shaped grain (upper left) in Ti-doped  $\text{Al}_2\text{O}_3$ . The grain at the lower right is oriented randomly. The amorphous phase of ~1.3 nm thickness at the boundary is an aluminosilicate glass that is observed only along basal facets in these samples. Lattice imaging shows the crystal planes within each grain, which clearly demarcate the extent of the amorphous interlayer.

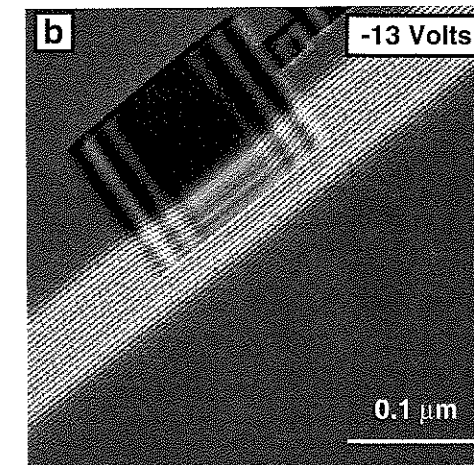


Figure 2. Electron holography of a fine cubic particle of  $\text{MgO}$ , viewed along the [210] direction. The high-contrast white fringes across the central portion arise from interference of the object and reference waves; their local intensity variations and displacements allow the separation of the phase and amplitude of the electron wave at any point in the image.

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**Keywords**  
 transmission electron microscopy, electron holography, interfaces, grain boundaries, crystal defects, ceramic joining, brazing, thin films



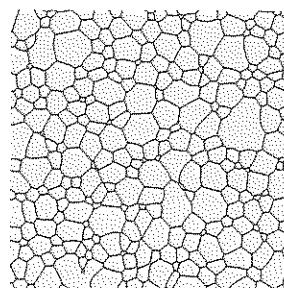
of Dr. Chen's research projects involve the use of computer workstations and supercomputers to model and predict the structures and properties of materials.

Computer simulation and modeling is one of the most rapidly developing and exciting fields in materials science. Graduate students working in this area come from very diverse backgrounds including materials science, ceramics, metallurgy, physics, chemistry, etc. Computational models applied in materials science are generally categorized according to three different spatial length scales: atomic scale, mesoscale, and macroscale. Models in the atomic scale deal with the structures, dynamics, and physical properties of an assemblage of atoms, with the number of atoms from a few to millions. Meso-scale models are concerned with a material's internal microstructure, which is characterized by the shape, size, and spatial arrangement of phases, domains, and/or grains. Macro-scale models completely ignore the internal atomic- and mesoscale structures of a material and describe its behavior using constitutive relations and empirical laws based on classical continuum theories. Chen's main research focus is on the mesoscale—in particular, modeling the temporal and spatial evolution of meso-scale microstructures during solid  $\rightarrow$  solid phase transformations and during sintering, ferroelectric domain growth, grain growth, and Ostwald ripening, which are underlying processes for the development of most of the advanced engineering ceramics and alloys.

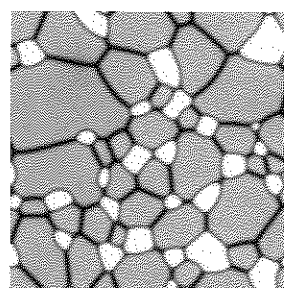
Some specific examples of ongoing projects Chen's group include: (1) evolution of domain structures in ferroelectric oxides, driven by the total reduction in the electrostatic energy, elastic energy, and domain-wall energy, as well as by applied fields; (2) anisotropic grain growth; (3) coarsening of a solid-liquid two-phase mixture at high-volume fractions of solid; (4) microstructural evolution during simultaneous grain growth and Ostwald ripening in two-phase solids, driven by the reduction in the total grain boundary and interphase boundary energy; (5) kinetics of precipitation of ordered intermetallics and precipitate coarsening in binary and ternary alloy systems; and (6) control of precipitate morphology and distributions in two-phase alloys by applying an external stress. A gallery of microstructures predicted in their computer simulations are shown in Figure 1 for various processes.

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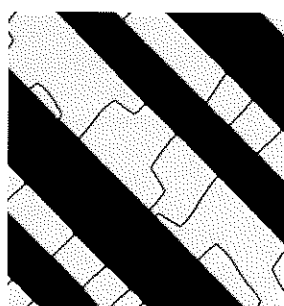
(a)



(b)

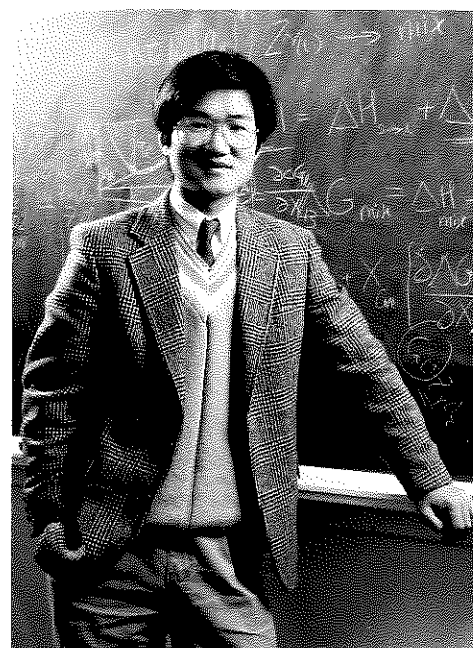


(c)



(d)

(a) A single-phase polycrystalline grain structure; (b) a two-phase (ZrO<sub>2</sub>+Al<sub>2</sub>O<sub>3</sub>) polycrystalline grain structure; (c) a twin structure formed during a cubic-tetragonal transformation in Y<sub>2</sub>O<sub>3</sub>-stabilized ZrO<sub>2</sub>; and (d) a rafted two-phase ( $\gamma+\gamma'$ ) microstructure in a Ni-based superalloy aged under an externally applied stress.



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## Keywords

computational materials science, microstructural evolution, phase transformations

Professor Chung is interested in the development of new polymer chemistry that can lead to new materials. One of his current research projects is the functionalization of polyolefins via borane monomers and transition metal catalysts. It is known that the incorporation of functional groups to polyolefin is a useful method for modifying the chemical and physical properties of polymers, (e.g., adhesiveness, compatibility, and dyeability). However, functional groups containing polymers normally are very difficult to prepare using transition metal catalysts such as Ziegler-Natta and Metathesis because of catalyst poisoning and other side reactions.

Chung's study investigates a new approach to preparing functional groups by using the intermediacy of borane monomers and polymers. Borane moieties have been found to be stable to a wide range of transition metal catalysts during polymerizations. In turn, the borane polymers are easily converted to a variety of other functionalities under mild reaction conditions. A broad range of polyolefins, such as PE and PP, with various functional groups (e.g., OH, NH<sub>2</sub>, and halides), have been prepared.

The borane-containing polymers have also been used for the preparation of block and graft copolymers. The borane groups can be easily converted to free radical initiators for the graft-from (free radical) polymerizations. Under some reaction conditions, the oxidation of borane groups is selective and graft efficiency is close to quantitative. This chemistry covers a broad range of copolymer compositions, including both hydrophobic and hydrophilic polymeric segments. Several interesting graft polymers consisted of polypropylene, poly(1-octene), and polyisobutylene as the backbones, and several free radical polymers, such as PMMA, PVA, and PAN, have been obtained as the side chains.

Several applications of the resulting new polymers have also been investigated in our laboratory, such as the immobilized catalyst using functionalized polyolefin as the supported materials. The catalyst can be recovered and reused for many reaction cycles. The functionalized and grafted polyolefin copolymers are very effective interfacial materials to improve the compatibility in polyolefin coating, blends, and composites. By using our copolymers, several new high-impact plastics and composites have been prepared. We are also extending the borane-containing copolymers to prepare boron-containing carbon fiber which could have oxidative stability at high temperature.

## Publications

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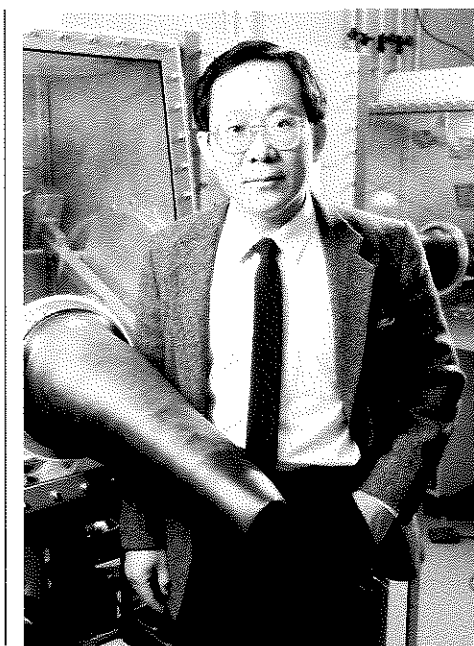
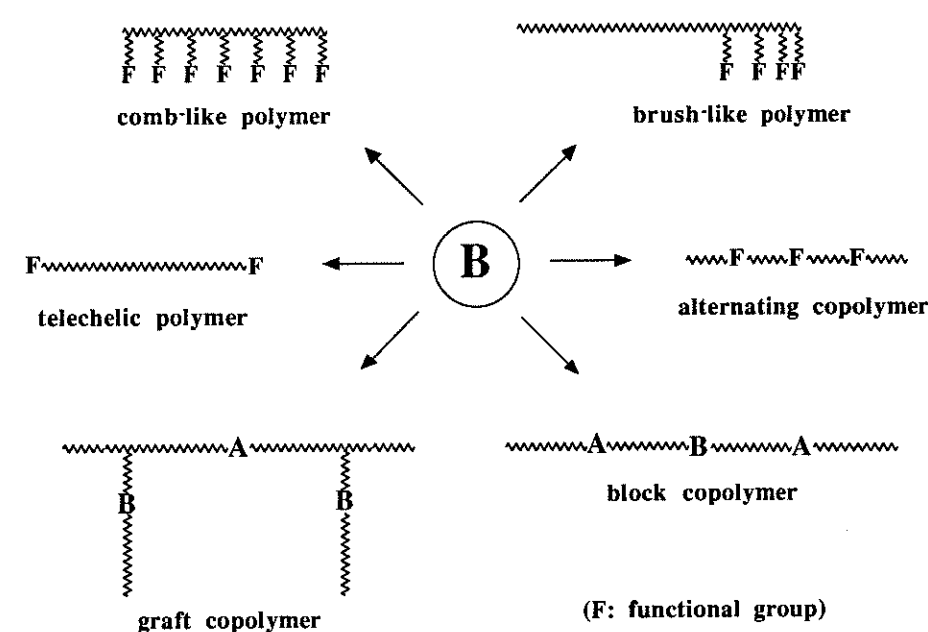


Figure 1. Versatility of borane-containing polymers in functionalization of polymers.



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## Keywords

functional polyolefin, borane polymer, block/graft copolymer, immobilized catalyst, boron-containing carbon, boron-containing graphite



# Ralph H. Colby

*Polymer Dynamics, Rheology of Polymer Melts, Blends, Solutions, Gels, and Other Complex Fluids*

Using rheological techniques to experimentally probe the dynamics of polymer liquids is the thrust of Dr. Colby's research program. Simple models of scaling are used to construct models of polymer dynamics, allowing for interpretation of the rheology data. Current interests include polyelectrolytes, ionomers, liquid crystalline polymers, block copolymers, miscible polymer blends, branched polymers, networks of both charged and uncharged polymers, surfactants, and colloidal suspensions.

In many cases, polymer dynamics are controlled exclusively by the motion of individual chains. In these cases, on time scales longer than the time it takes for molecules to diffuse a distance equal to their size, the material flows like a simple liquid. However, when there is large-scale structure present in the fluid (such as in liquid crystalline polymers or surfactant solutions), viscoelastic response is evident on time scales much longer than the molecular diffusion time.

An example of this is shown in Figure 1, which plots oscillatory shear data for a liquid crystalline polymer. In this experiment, the frequency  $\omega$  of mechanical oscillation is varied, as to probe the response of the polymer on different time scales. The arrow indicates the frequency corresponding to the reciprocal of the time it takes for the polymer to diffuse a distance equal to its coil size. In its nematic phase (solid curves) the viscoelastic response is liquid-like at frequencies smaller than the frequency for molecular diffusion (reflected in the fact that the loss modulus  $G''$  is dominating the response at low frequencies).

In contrast, the smectic phase (open symbols) is still highly viscoelastic on time scales much longer than the molecular frequency for molecular diffusion (because the storage modulus  $G'$  is comparable to  $G''$  at low frequencies). The smectic structure apparently gives rise to viscoelastic response of this polymer on long time scales. We are currently designing experiments to explore the relation between structural properties of similar systems in a systematic fashion.

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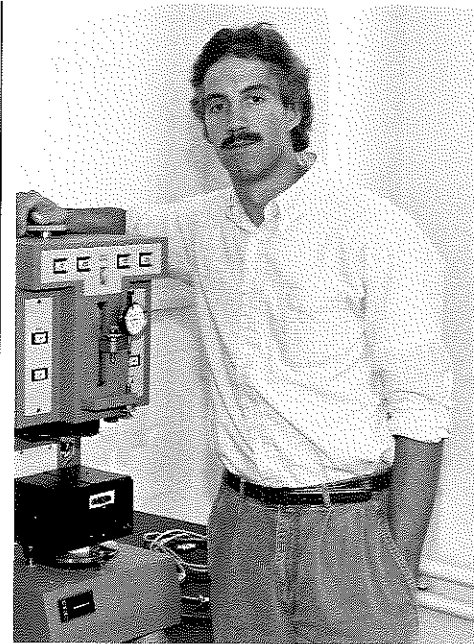
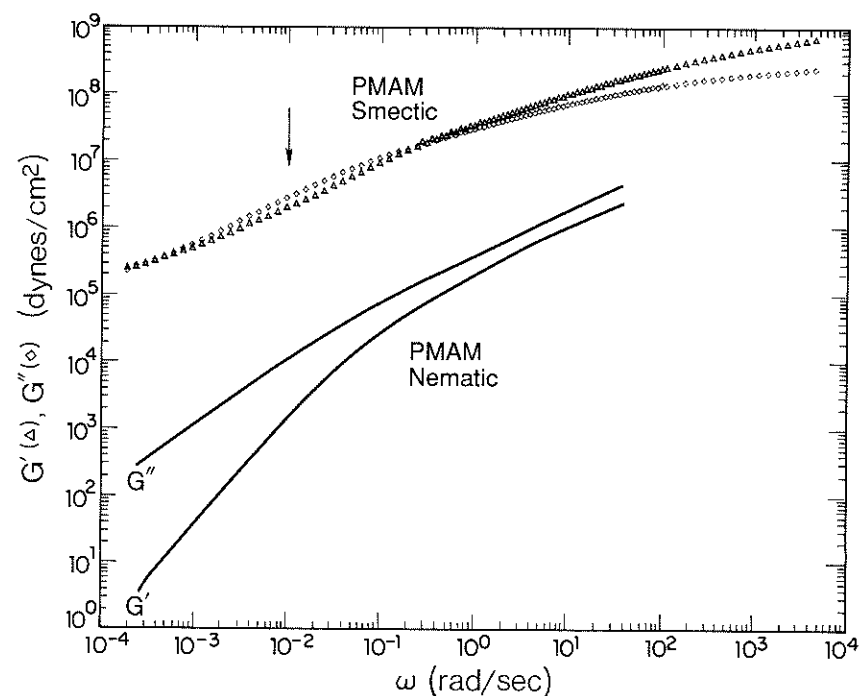


Figure 1. Viscoelastic response of a liquid crystalline polymer in its smectic phase at 84°C (open symbols) and in its nematic phase shifted to 84°C (solid curves). The arrow indicates the reciprocal of the time it takes for the polymer to diffuse a distance equal to its coil size.



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## Keywords

polymer physics, rheology, viscoelasticity, structured fluids, complex fluids, scaling models

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*Multicomponent Polymer Systems*

Professor Coleman is interested in the application of vibrational (infrared and Raman) spectroscopy to the study of multicomponent polymer systems. In favorable cases, the fraction of groups that are directly involved in specific intermolecular interactions (usually hydrogen bonds) can be measured. From these data the equilibrium constants can be obtained that describe the self-association in pure component (co)polymers and the interassociation between two polymers of a binary blend. With this information it is not a long step to a description of the thermodynamics of polymer blends that involve strong specific interactions. An expression for the free energy of mixing of such systems has been developed using an association model, and Coleman's group has been successful in predicting phase diagrams, miscibility windows, and maps for a wide variety of polymer blends. Extension to more complex systems such as ternary blends and copolymers containing multiple specific interaction sites are currently being studied.

Another research area of interest to Coleman involves the search for additives that retard the formation of carbonaceous solids in jet fuels at temperatures exceeding 400°C. Demands upon the thermal stability of jet fuels are anticipated to become much more stringent in the next century, when advanced aircraft are expected to fly at speeds exceeding Mach 4.

In addition to the complex chemistry of cracking and reforming reactions that occur when jet fuels are subjected to thermal stresses at temperatures above 400°C, carbonaceous solids at these temperatures are being studied using vibrational and NMR spectroscopies. Using these results as a guide, a number of additives have been identified, most notably benzyl alcohol and 1,4-benzenedimethanol, that retard the formation for carbonaceous solids in Jet A-1 fuels at 425°C.

## Publications

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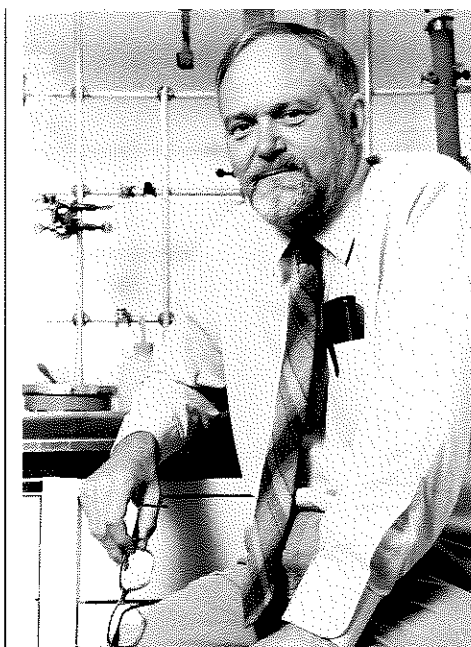
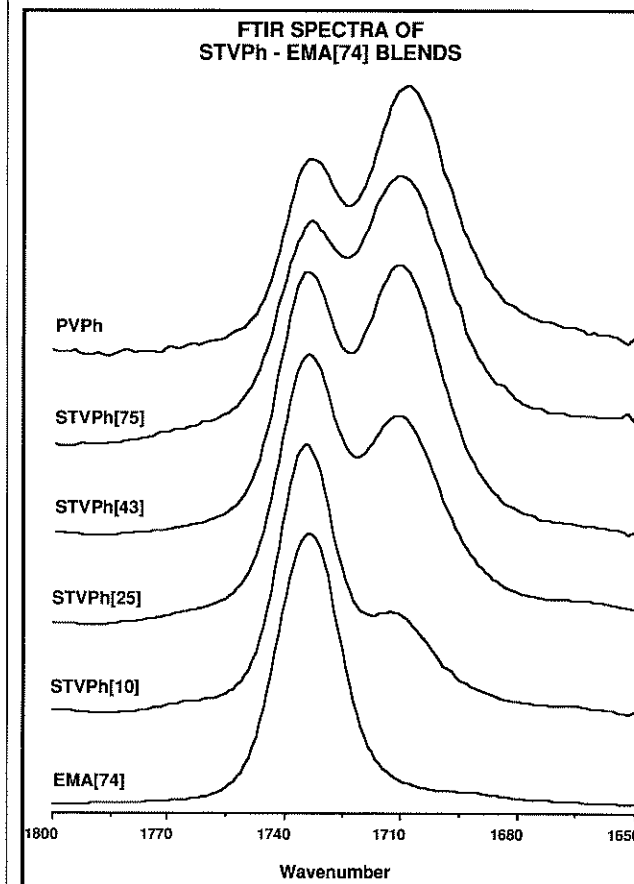


Figure 1. FTIR Spectra of STVPh-EMA[74] Blends



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## Keywords

polymer blends, phase behavior, polymer vibrational spectroscopy, hydrogen bonding in polymeric materials, jet fuels stabilization



The main focus of Dr. DebRoy's research involves fusion welding processes. His research involves use of an array of sophisticated modern experimental tools and physical and mathematical simulation techniques. Starting with modeling of heat transfer, flow of liquid metal, and loss of important alloying elements during various fusion welding processes, their goal is to understand how the composition and structure of the welded alloys can be controlled.

Recent research by DebRoy and co-workers on fluid flow and heat transfer has led to a quantitative basis for the prevention of variable penetration, which is a major problem in the welding of steels. Mathematical modeling work also led to better understanding of the evolution of weld metal geometry and structure. The nature and amount of various phases and inclusions in the weld metal affect its properties. The group has developed a physical modeling technique to study the partitioning of hydrogen, nitrogen, and oxygen between the weld metal and its plasma environment. The presence of oxygen, nitrogen, and hydrogen affects weld metal properties. DebRoy and his students have proposed a theoretical basis for understanding alloying element vaporization from the weld pool. They have also developed a new way of monitoring vapors emitted from the weld pool by light emission studies during welding. The work is important for weld metal composition control and for enhancing environmental safety during fusion welding.

DebRoy and his co-workers are contributing to the knowledge base that will transform welding from an empirical art to an engineering science. The importance of this research can be appreciated by the fact that welding is utilized more than 50 percent of the industrial, commercial, and consumer products that make up the U.S. Gross National Product.

**Publications**

S. S. Babu, S. A. David, and T. DebRoy. 1996. Coarsening of oxide inclusions in low-alloy steel welds. *Sci. and Tech. of Welding and Joining* 1(1):17-27.  
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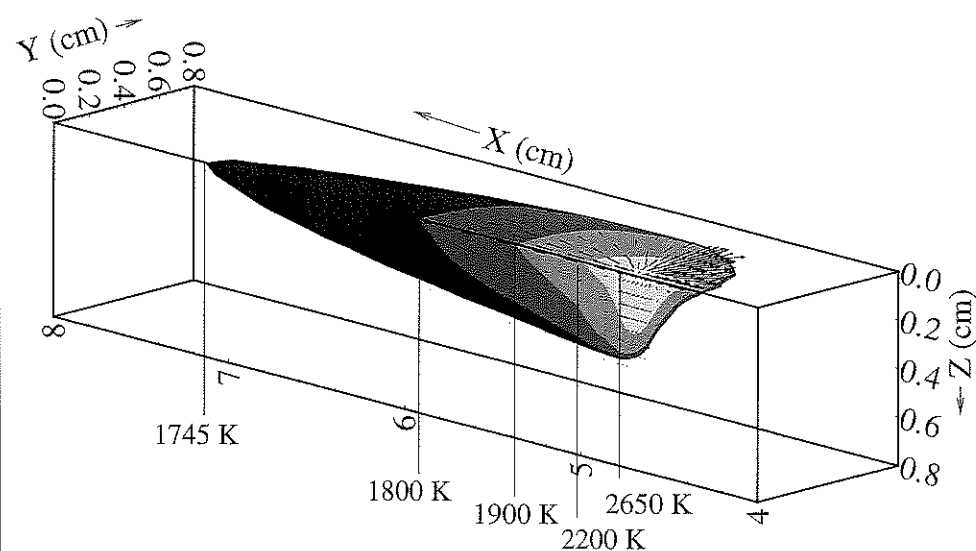


Figure 1. Computed temperature and velocity fields in the weld pool during gas-metal arc welding of a low alloy steel.

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**Keywords**

plasma processing, welding, laser processing, thin films, numerical calculation of heat transfer and fluid flow, rate phenomena

Dr. Semih Eser is carrying out research on carbonization of petroleum feedstocks, microscopic characterization of solid carbons by digital image analysis, solid carbon deposition on metal and carbon surfaces, thermal degradation of hydrocarbons under supercritical conditions, hydroprocessing of petroleum residua in supercritical fluids, and activated carbon preparation from lignocellulosic materials and coals.

Delayed coking of FCC slurry oils produces a premium petroleum coke, called needle coke. Needle cokes are used in the manufacture of graphite electrodes for use in electric-arc furnaces to produce iron and steel from scrap materials. The most significant process that takes place during carbonization in a delayed coker is carbonaceous mesophase development. Figure 1 shows a polarized-light micrograph of a carbonaceous mesophase from a slurry-oil sample, indicating the nucleation of mesophase spheres that coalesce to form anisotropic solid carbon structures. A high degree of anisotropy, as found in needle cokes, is required to manufacture high-performance graphite electrodes.

A major focus of Eser's research program is on seeking a correlation between the molecular composition of the slurry oils and the quality of the resulting cokes. Digital image analysis techniques, developed in the doctoral research program of Ms. G. Qiao, are used to quantify the optical texture of petroleum cokes as a measure of coke quality. A combination of gas chromatography-mass spectrometry (GC/MS), used by Ms. Rose M. Filley in her master's thesis work, and high-performance liquid chromatography with a photodiode array detector (HPLC/PDAD), performed by Ms. Saraswathi R. Kondam, is used for molecular analysis of coker feedstocks. Correlations between molecular composition of feedstocks and the resulting coke texture provide prediction and control of coke quality in industrial coking operations.

Solid carbon deposition on metal and carbon surfaces and thermal degradation of hydrocarbons under supercritical conditions are important concerns for the development of advanced thermally stable jet fuels. Recent experiments conducted by Mr. Jun Li for his doctoral thesis research have shown that some metal surfaces catalyze carbon deposition under conditions similar to those expected in advanced aircraft. Mr. Philip Chang's doctoral research is concerned with the effects of carbon surfaces on carbon deposition from pyrolysis of hydrocarbons. Doctoral research recently completed by Dr. Jian Yu has shown that supercritical conditions affect the thermal degradation mechanisms of hydrocarbons.

In another context with supercritical conditions, Dr. Eser and Dr. Q. F. Zha have shown that polyaromatic hydrocarbons, such as those found in petroleum-heavy residua, can be more readily hydrocracked in supercritical media.

Hydrogenation of petroleum-heavy residua is important for upgrading these materials to produce more gasoline and other valuable distillate fuels.

Dr. K. Gergova's work on one-step pyrolysis/activation to prepare activated carbons from lignocellulosic materials and coals is continued by Ms. Mine G. Ucak for her master's thesis research. The one-step pyrolysis/activation with steam offers important advantages over the conventional two-step technique with separate carbonization and activation steps.

**Publications**

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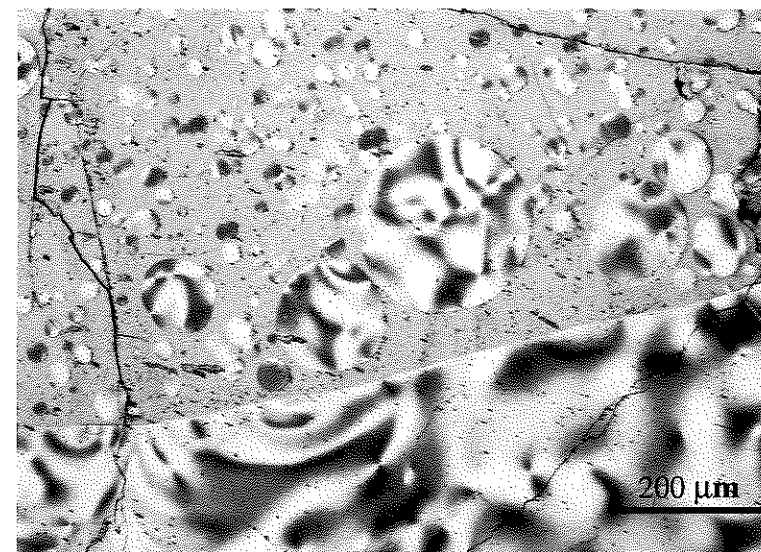
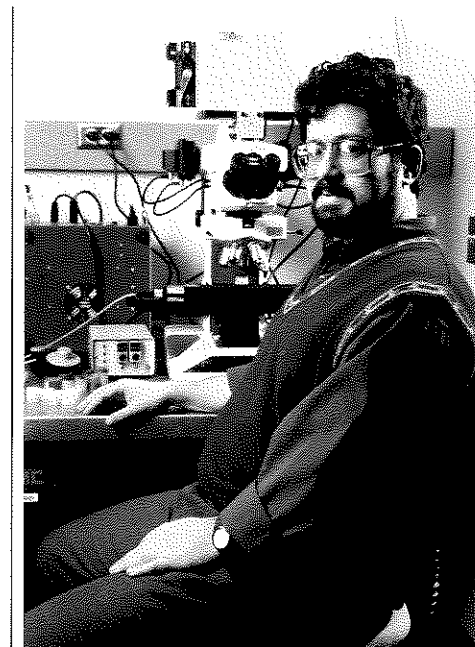


Figure 1. Polarized-light micrograph of a solid produced by carbonization of a decant oil, indicating the mesophase spheres and their coalescence to form anisotropic structures.

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carbonization, mesophase, activated carbon, carbon deposition, hydroprocessing of petroleum residua



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## Mechanical Behavior of Brittle Materials

ceramic materials are usually brittle, breaking in a catastrophic manner. Indeed, this behavior limits the use of ceramics in both structural and nonstructural applications. The low amount of energy involved in breaking these materials is a result of their low toughness. In recent years, however, various mechanisms have been identified for increasing the fracture resistance (toughness) of these brittle materials. For example, improvements in fracture resistance have involved the addition of particles, platelets, whiskers, or fibers to a material. This type of approach has been very successful and, in some material systems, increases in toughness of an order of magnitude have been obtained. In all these developments, the use of a materials-science approach has been a critical philosophy in making the advances. The materials-science approach emphasizes the relationships between processing, structure, and mechanical behavior as a way to understand a material, and identify processes for improving its properties.

The physical structure of importance to a particular mechanical property may occur at various scale levels. For example, in composites it is often the features in the microstructure of a material that are at the key level for controlling fracture resistance. In laminated materials, it may be the macrostructure of the material that is critical. The goal of this approach in materials science is to be able to design structures so that one can obtain a targeted set of properties. For efficient structures, in terms of their weight, it may also be important to control the density of the material. In some cases, this is accomplished by introducing porosity into a material. The overall scientific process is akin to engineering design but occurs at all scale levels within a material.

For the fracture behavior of ceramics, it has only recently been appreciated that the fracture resistance may depend on the size of the crack. At small crack sizes, fracture resistance is high, but as the crack size increases, the fracture resistance decreases, making the progress of the crack more difficult to stop. Indeed, it would be of particular interest to be able to control this fracture resistance at various scale levels. For example, it has been shown that residual stresses could be introduced into a material in such a way that crack growth is stabilized, strength is increased, and the strength variability is reduced. Such effects could be obtained from graded and layered material structures. The research areas being investigated by Green are centered on the fracture behavior of ceramics. These areas include research on toughening mechanisms, design of microstructures (for introducing crack stability and crack arrest into brittle materials), failure analysis, and techniques for improving

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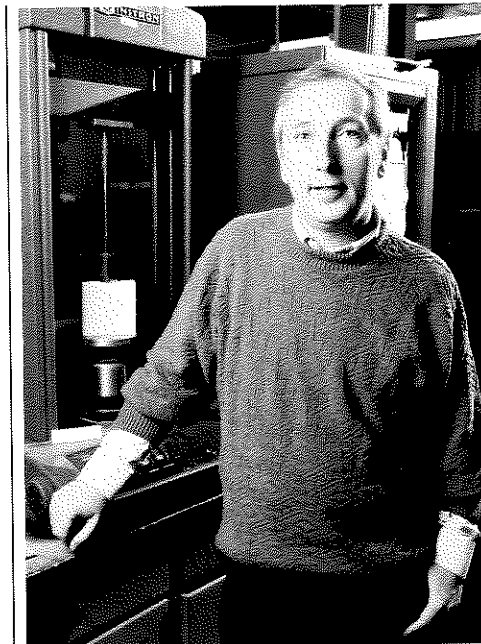
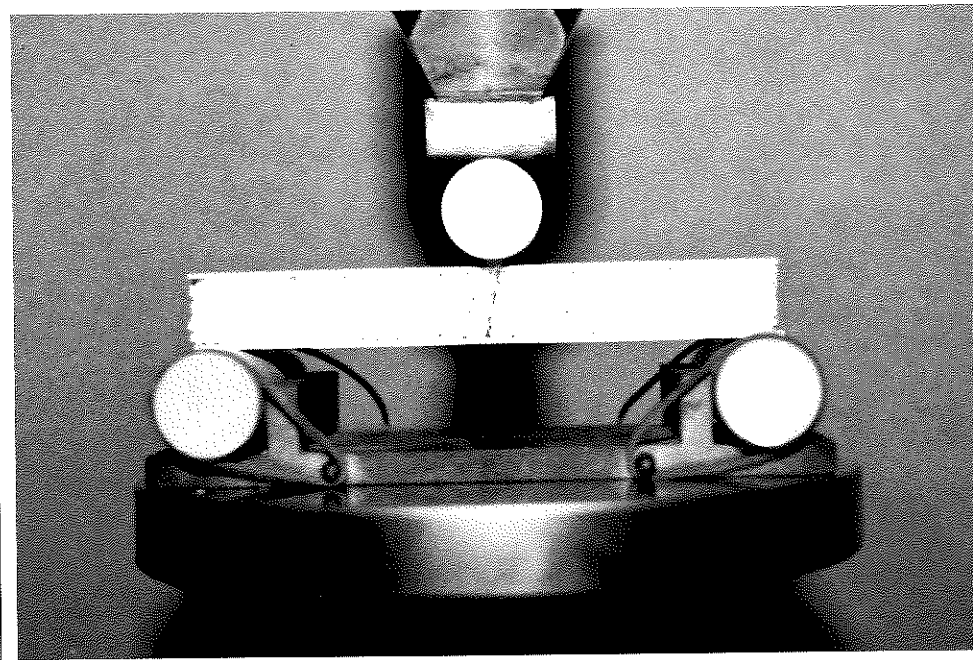


Figure 1. Three-point loading of a ceramic sandwich structure, consisting of a porous cellular core and dense faces. The failure began in the core, but was arrested by the surface layers.



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mechanical behavior, brittle materials, failure analysis, micromechanics, fracture mechanism, structural reliability

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## TREF and PE/LCP Blends

The essence of materials science is structure-property-processing relationships. How do you process materials so they have the necessary structure to produce required properties? Polyolefins are the most widely used class of polymers and include polyethylene (PE) and polypropylene (PP). These two polymers make up more than half of all commercially used polymers. They are among the lowest-cost materials, and yet are some of the most versatile. Modest changes in chemical structure and processing produce material properties as diverse as foam cushions, bulletproof fabrics, garbage bags, insulated cable, food wrap, and medical devices. Dr. Harrison's work has centered on understanding and developing PE-based materials.

For any polymer, there is a range of properties that it could have. The inherent range of properties is determined by the polymer's molecular structure. However, these properties are often not realized unless special processing methods are employed. One method being examined by Dr. Harrison is blending the polymer with other materials. Examples of Harrison's research in structure-property relationships and polymer blending are outlined below.

Many polyolefins are made by copolymerizing ethylene with other monomers to produce a polyethylene copolymer. Molecules that make up the copolymer don't all have the same composition. Although the copolymer has some average composition, a composition distribution exists. Both the average and distribution compositions determine properties. In the case of PE copolymers, changes in composition change the ease with which the polymer molecules can crystallize. This property allows us to determine composition distribution. The technique, termed TREF (temperature rising elution fractionation), has been developed at Penn State to a commercial level, and its optimization continues.

Mixing PE with other polymers often produces materials with poor properties. PE, like many other polymers, doesn't like to mix; it's incompatible. However, even extremely incompatible materials can be processed to take advantage of unique molecular properties. For example, PE and liquid crystalline polymers (LCPs) can be processed to yield an in situ fiber-reinforced material. With only 10 percent LCP, this composite's stiffness can be made to be five times that of PE; and with additional treatment, its strength is nearly six times that of PE. These unusual composites are being evaluated for a number of applications, and further work is designed to understand and control fiber orientation in this new class of materials.

The two examples of research given above are not unrelated. Particular PE copolymers, as determined by TREF, are easier to process into LCP fiber-reinforced materials. This synergism between projects enhances the ultimate utility of

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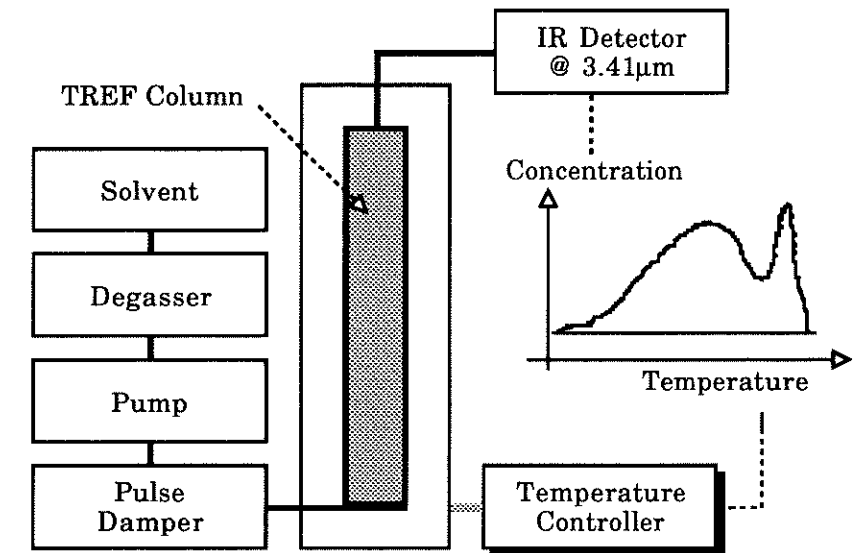
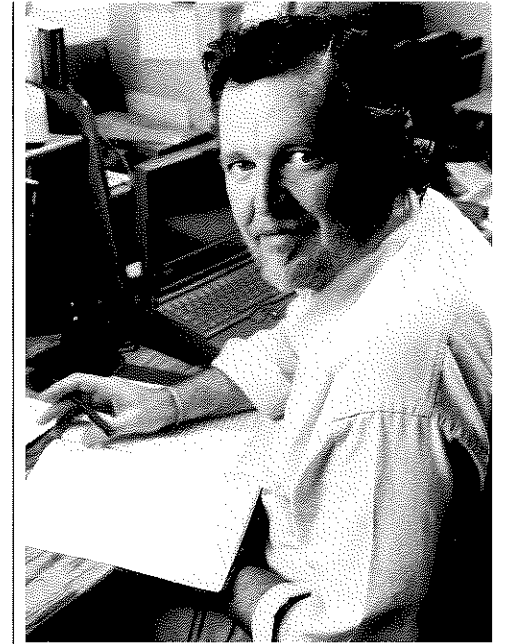


Figure 1. Schematic of TREF apparatus.

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### Keywords

structure-property-processing relationships in polymers, TREF, mechanical properties, elongational rheology



Professor Hatcher and his co-workers are involved in numerous studies in the area of environmental chemistry and geochemistry, specifically emphasizing the origin and chemical transformations of plant-derived biopolymers in soils, peats, coals, marine sediments, and oceanic waters. A particular focus of recent interest is in the development of a chemical understanding of the three-dimensional structure of lignin, a large component of terrestrial plants, and of the transformation of this material to humic substances in soils and sediments, eventually, to coal. Modeling of presumed structures of lignin in three-dimensional space has provided new suggestions that lignin may have a helical structure as depicted below (Figure 1). Studies performed in collaboration with Professor Mueller's group in the chemistry department seek to provide experimental evidence for this structure and involve stereoselective and diastereoselective synthesis of  $^{13}\text{C}$ -labeled polymers and use of solid-state  $^{13}\text{C}$  NMR techniques to establish distance information. Studies with Dr. Minard's group (chemistry) involve isolation and structural characterization of lignin dimers and oligomers by a new, jointly developed technique of thermochemolysis with tetramethylammonium hydroxide.

This technique is also being applied to the characterization of lignin-derived components and other natural plant-derived biopolymers in natural systems such as soils, peats, coals, marine sediments, and waters. One application of particular importance from the perspective of the global warming issue relates to using this method for assessing the transport of terrestrial and aquatic material to the world's oceans and sedimentary systems. The timescale of carbon cycling on Earth depends greatly on the amount of carbon that is transported to and buried in these environments, and assessing the nature and contribution of these biopolymers has not been easy. The above technique will provide direct measurements of the contribution of refractory biopolymers to sequestered carbon in the global carbon cycle.

Another important area of research in the Hatcher group deals with the biogeochemical transformation of pollutants such as chlorinated hydrocarbons (from herbicides) and polycyclic aromatic hydrocarbons (PAHs) from oil contamination. Using a technique developed in the Hatcher laboratories involving a site-specific  $^{13}\text{C}$ -labeling/NMR approach, the first direct evidence for covalent binding of pollutants to humic materials was obtained. Current work on the transformation of  $^{13}\text{C}$ -labeled PAHs is discovering new chemical pathways for environmental degradation of these toxic substances in sediments and soils.

Although the mainstay of the group is application of a variety of complex gas chromatography/mass spectrometry and  $^{13}\text{C}$  NMR

spectroscopy to the study of environmental problems, other research areas involving use of the equipment housed in the Hatcher laboratories include nuclear magnetic resonance imaging of coal to examine dimensionally related changes during solvent swelling,  $^{129}\text{Xe}$  NMR of coal and microporous solids to ascertain average pore dimensions and pore morphology, solid-state  $^{15}\text{N}$  NMR of coal and natural biopolymers, and kinetic studies of the thermal degradation of petroleum and coal-based fuels.

#### Publications

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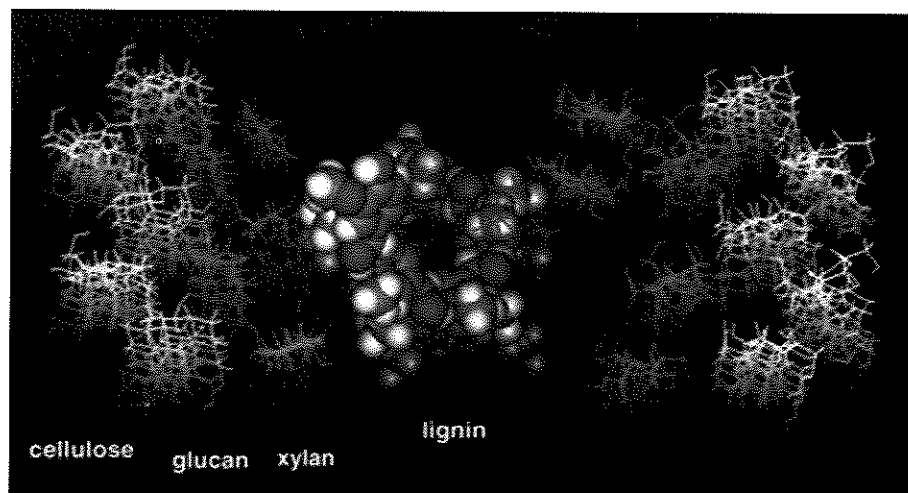
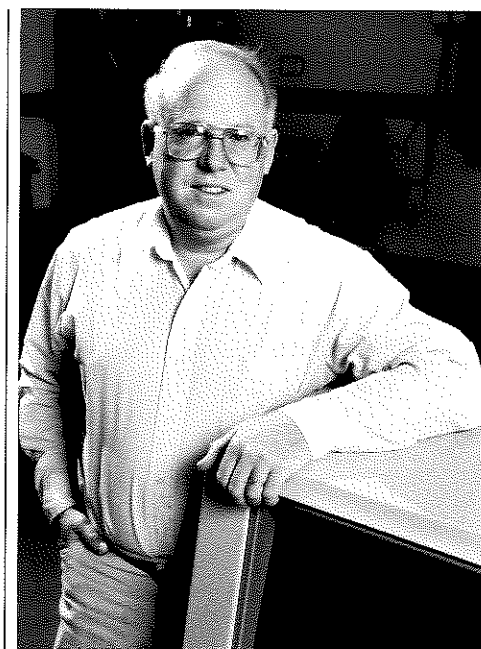


Figure 1. Three-dimensional model depicting ligno-cellulosic complexes in wood.

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#### Keywords

environmental chemistry and geochemistry, plant derived biopolymers, lignin, coal, NMR

Professor Hellmann's research interests focus on the development of new materials and complementary materials design methodologies for their application as structural and thermal members in high-performance applications. These research efforts cover the range from monolithic to composite ceramic and intermetallic materials. Effects of microstructure on thermal, mechanical, elastic, and optical properties of materials are of central interest in his studies.

Recent studies in Professor Hellmann's laboratory address tailoring of interfacial bonds in model ceramic-fiber-reinforced ceramic-, metal-, and intermetallic-matrix composites to elucidate the key mechanisms that contribute to enhanced high-temperature fracture toughness and creep resistance. His research team's development of novel fabrication methods for such materials is unique, and opens a myriad of possibilities for producing advanced ceramic-composite materials such as high-temperature structural components for the automotive, aerospace, and industrial-heating-systems manufacturing industries. Current efforts are concentrating on the selection and application of fiber coatings to achieve the levels of interfacial bonding and thermoelastic stress relief required for high fracture toughness and high-temperature strength.

A parallel activity in Professor Hellmann's laboratory focuses on establishing test and analysis methods for predicting, and experimentally verifying, physical properties such as thermal conductivity, thermal expansion, heat capacity, optical emissivity, elastic moduli, and interfacial shear strength of ceramic composite materials with tailored microstructural characteristics. His research team has combined finite-element analysis with experimental verification of interfacial shear behavior to assess the relative contributions of thermoelastic stress and applied mechanical stress to the interfacial failure in fiber-reinforced composites. Results of these efforts have been instrumental in identifying mechanisms contributing to interfacial failure, as well as in establishing criteria for properly quantifying the contribution of each to the overall interfacial failure process. Much effort has been devoted in his laboratory to developing test methods for interrogating the behavior of these important materials to temperatures as high as  $1500^\circ\text{C}$  in controlled environments.

Data compiled in Hellmann's lab on the temperature-dependent properties of these materials has been employed in prediction of the thermal performance and mechanical reliability of large ceramic components in industrial systems. Field evaluation of full-scale components has corroborated the applicability of the test-and-analysis methodologies developed. Resulting materials modifications and process improvements will instill systems- and component designers with confidence in advanced materials for high-temperature industrial, aerospace, and automotive applications.

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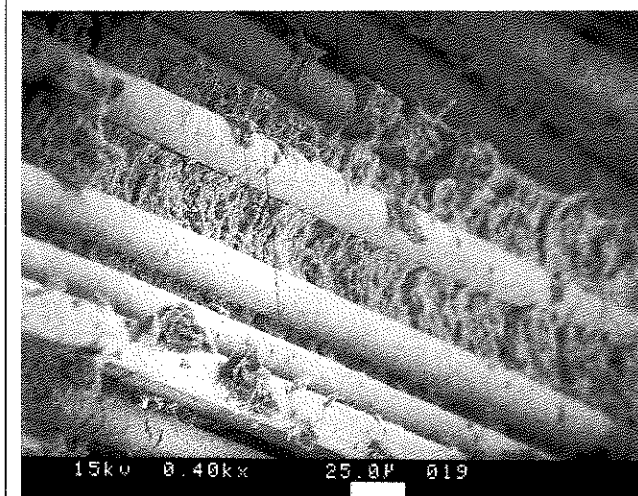
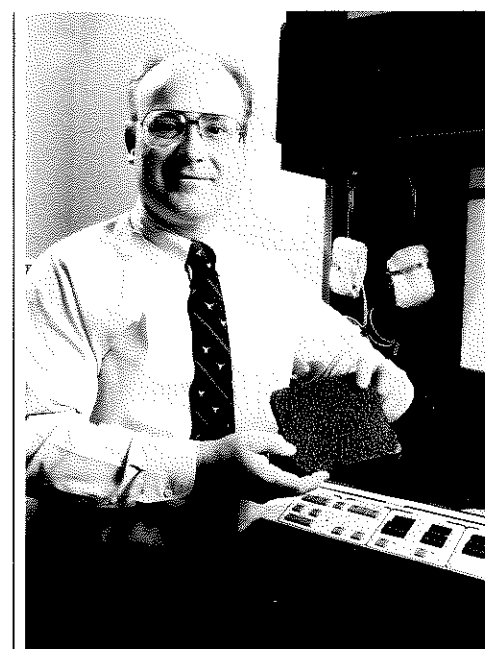


Figure 1. Longitudinal section of an alumina-fiber-reinforced polycrystalline alumina composite made by infiltration techniques developed in Hellmann's laboratory.

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#### Keywords

ceramics, intermetallics, composites, interfaces, coatings, mechanical properties, thermal properties, processing, microstructure, structure-properties relationships



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Professor Howell is concerned with microstructural development in metals and alloys. His current research interests include the eutectoid reaction in steels and bronzes, phase transformations in Al-Li/Silicon Carbide composites, and laser welding of Al-Li alloys, bronzes, and low carbon steels.

The eutectoid reaction in steels has been of interest to scientists for many decades. However, the magnetic nature of low-alloy steels makes them difficult to examine using the transmission electron microscope (TEM). Hence, Howell is now examining the eutectoid reaction in a class of materials called *nickel-aluminum bronzed (NAB) materials*. These materials mimic steels in virtually all respects including a martensitic reaction during continuous cooling. Howell and his graduate students have shown that the proeutectoid copper-rich phase is always responsible for initiating the eutectoid reaction, by replacement of the high-temperature, body-centered cubic phase with an intimate mixture of the copper-rich phase and an aluminum-rich intermetallic phase. A mechanism for the development of the eutectoid mixture, based on a model for the formation of a phenomenologically similar discontinuous reaction, has been proposed.

Howell has also devised a mechanism for the formation of solid-state dendrites of an iron-rich intermetallic phase in these bronzes and is preparing a major review on the eutectoid reaction in steels.

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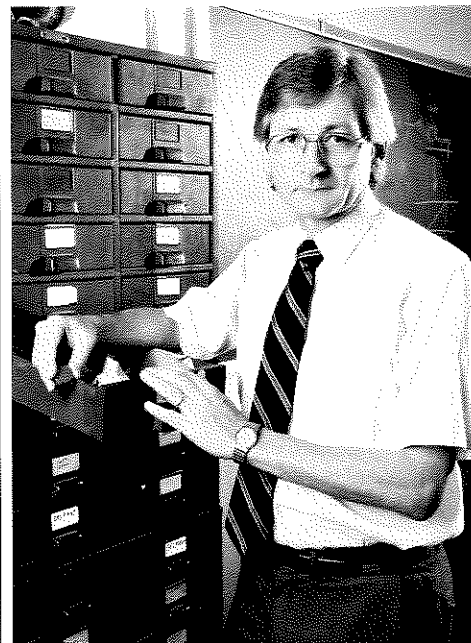
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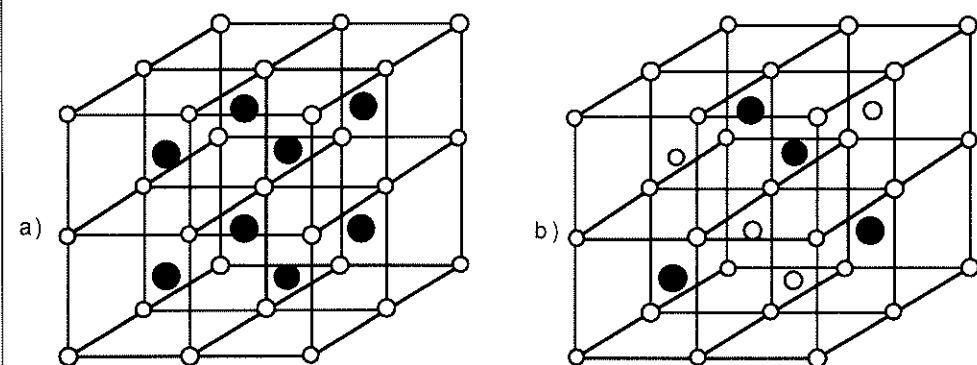
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Figures 1a and b. Representation of the crystal structures of two intermediate phases in the nickel-aluminum bronze system.



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**Keywords**  
phase transformations, transmission electron microscopy, steels, aluminum, bronzes, welding

The performance of load-bearing structures under complex loading conditions ultimately depends on the material's mechanical response. Predicting an anticipated performance level of such structures relies on accurate modeling and simulation, which in turn relies on realistic descriptions of the materials' response under the imposed loading conditions. Such predictions are complicated by the fact that most engineering structures are typically subjected to multi-axial stress states that are spatially nonuniform and often contain weldments, resulting in material properties that, in turn, vary spatially.

Using Navy HY and HSLA steels as model systems, Dr. Koss's research program examines the multiaxial failure criteria and ductile fracture mechanisms characteristic of steel base plate and weldments. Based on a combination of experiments and computational analysis, the research has two primary goals. The first goal is to determine the failure strains of steel weldments as a function of multiaxial stress states and in a form that can be implemented into existing failure codes. The second, more fundamental goal is to establish the micromechanisms of failure and their sensitivity to stress state, and to incorporate this information into existing failure models in order to improve their accuracy. The program contains several components: an experimental study to determine failure conditions and identify the underlying failure mechanisms, a computational modeling study to address the "void-shear" mechanism of ductile fracture, and an experimental modeling effort to identify void interactions during fracture.

**Publications**

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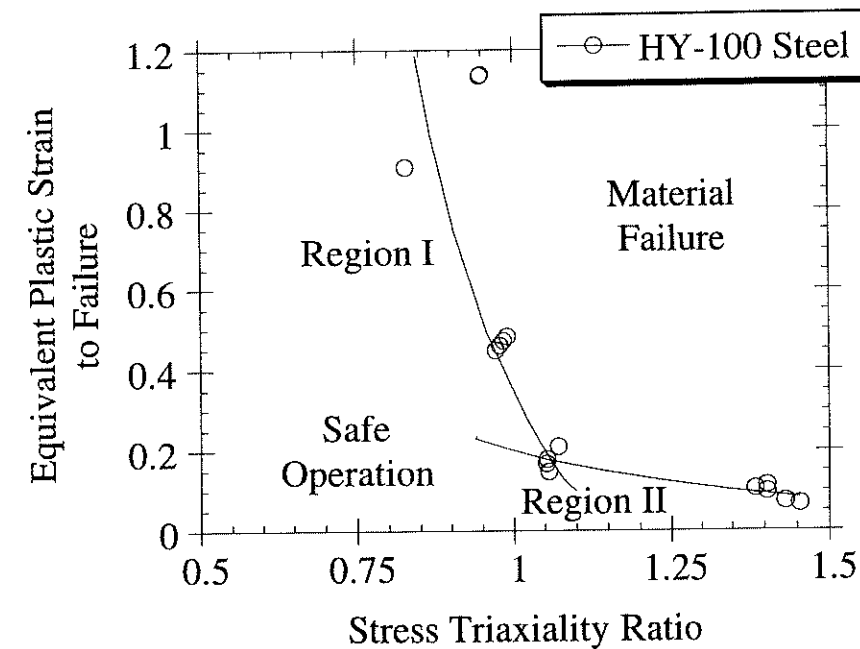
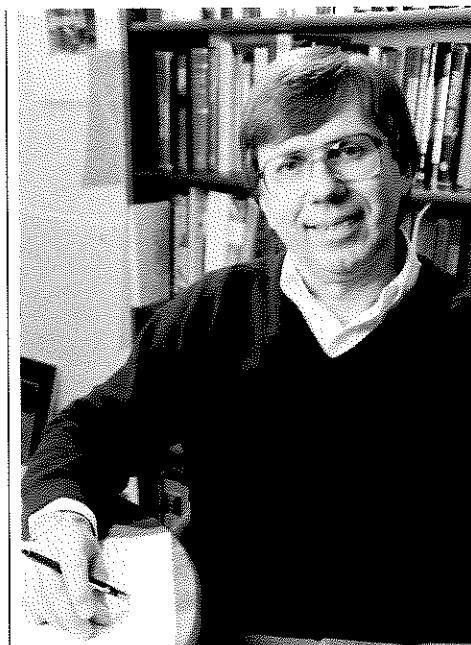


Figure 1. A failure limit diagram indicating failure strains as a function of multiaxial stresses for HY-100 steel.

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**Keywords**  
deformation and fracture, composite materials, intermetallic alloys, powder processing



# Anat K. Kumar

*Thermodynamics of Complex Condensed Phase Systems, Polymers at Surfaces and Interfaces, Glass Transition Phenomena, Dynamics of Macromolecular Liquids*

A fundamental understanding of the morphological properties of complex condensed phase systems, such as polymer mixtures, polymer-clay composite materials, are critical to their use in applications. However, their thermodynamic modeling to date is understood almost exclusively on the basis of the fifty-year-old mean-field Flory lattice model. The goal of my research is to utilize computer simulations on carefully designed model systems to understand the thermodynamics of polymer systems and their mixtures. Comparison of these data to experiments (performed in-house and by others) allows for a direct validation of these ideas. These data will also be directly employed in developing new theories that can provide an increasingly improved depiction of the physical properties of this important class of materials.

In related work, we are interested in the behavior of polymer materials near surfaces and interfaces. We are strongly motivated by problems relevant to applications: in particular, coating technologies and thin film displays. In the case of coating technology, the issue of relevance is the phase behavior of thin multicomponent polymer coatings and the effect of finite size on this issue. This question is important because of the optical quality (such as gloss) and mechanical strengths of these films are sensitively determined by their phase state. We are currently conducting an experimental and a theoretical program to delineate some of these issues. Specifically, we are in the process of conducting pioneering, small-angle neutron scattering experiments to determine phase behavior within polymer mixtures. These experiments are particularly exciting since they are performed on samples with nanoliter volumes and represent the smallest systems on which scattering experiments have been performed. For thin film displays, we are interested in understanding the role of uniaxially rubbed polymer film orientation layers for the electro-optically active component of the display: a small molecule liquid crystal. A primarily experimental program (supported and performed in collaboration with a research group at IBM, Almaden) involves grazing incidence X-ray scattering and near-edge X-ray absorption fine structure spectroscopy is utilized to understand the role of rubbing in this context. Related to all of these questions are the fundamentally critical issues of the dynamics of polymer chains in these condensed spaces. A combined experimental and theoretical effort has just begun to address these issues in our laboratory.

Another issue of extreme importance from a pressing standpoint is the dynamics of polymer mixtures, especially the role of vitrification. We are collaborating with Professor Colby of our department to understand these issues from a fundamental, as well as an applications, standpoint.

We conjecture that concentration heterogeneities (or fluctuations) that are present in any mixture have a very strong impact on dynamic properties such as viscosities, diffusion coefficients, etc. Our goal is to study these concentration fluctuations using scattering probes and the resulting dynamics of the system through rheology, dielectric spectroscopy, and neutron spin echo spectroscopy. A theoretical framework is then necessary to capture these results and extend them to a range of practically relevant systems.

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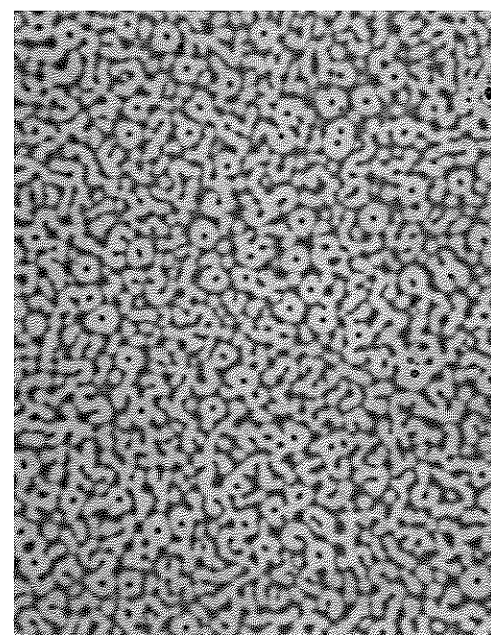
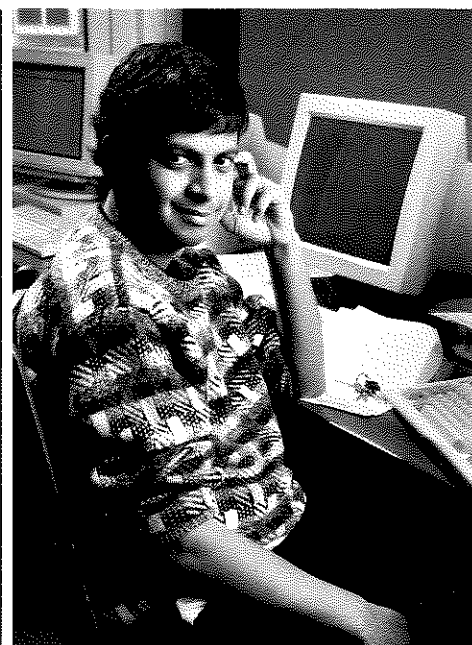


Figure 1. An optical micrograph of a phase separation phenomenon in an ultrathin (50 nm) polymer mixture.

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## Keywords

polymers, surfaces, thermodynamics, statistical mechanics, neutron scattering and reflectivity, dynamics, glass transition

# Digby D. Macdonald

*Improved Control Over the Operation of Thermal Power Plants*

Dr. Digby D. Macdonald is the director of the Center for Advanced Materials and a professor of materials science and engineering at Penn State. He holds B.Sc. and M.Sc. degrees from The University of Auckland, New Zealand, and a Ph.D. from The University of Calgary, Alberta, Canada.

A native of New Zealand, Dr. Macdonald became a U.S. citizen in 1984. Prior to joining Penn State in 1991, he was the director of the chemistry laboratory (1984-87) and the materials laboratory (1987-91) at SRI International (formerly the Stanford Research Institute) in Menlo Park, California. During this latter period, he also served as deputy director of the physical sciences division of SRI. From 1979 to 1984, he served as a professor of metallurgical engineering and director of the Fontana Corrosion Center at The Ohio State University.

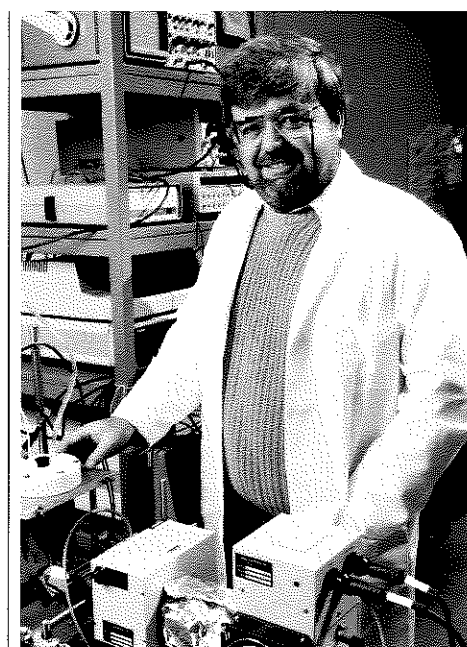
Since 1993, Professor Macdonald has been a member of the United States Air Force Scientific Advisory Board (SAB) and, as chair of the SAB Materials Panel, recently led a study under the New World Vistas program to explore and define the future materials needs of the air force. Dr. Macdonald received the Whitney Award from NACE-International (1992) and the Wagner Award from the Electrochemical Society (1993) and was chosen by the Atomic Energy of Canada, Ltd. to deliver the 1993 W. B. Lewis Lecture in recognition of his "contributions to the development of nuclear power in the service of mankind." He is a Fellow of NACE-International and the Electrochemical Society. Dr. Macdonald is the author of one book and more than 400 papers in thermodynamics, electrochemistry, corrosion science, chemistry, and materials science. He was recently elected to the Royal Society of Canada.

Two of Professor Macdonald's principal research areas are (1) defining the mechanisms of the growth and breakdown of passive films on reactive metal surfaces in contact with aqueous environments, and (2) the prediction of corrosion damage. Within the first field, he has been instrumental in developing the point defect model for the growth and breakdown of passive films, which provides a deterministic account of the formation and disruption of passivity on reactive metals and hence of the nucleation of localized corrosion damage. He has extended this work to develop "damage function analysis" (DFA), which seeks to provide a deterministic basis for predicting corrosion damage in complex industrial systems. It is for the development and application of this science and technology to nuclear power reactors, and the definition of the electrochemistry of reactor heat transport circuits, that he was selected as the W.B. Lewis Awardee in 1993. He is currently developing DFA as a replacement for the empirically based damage tolerance analysis (DTA) of nuclear power reactors.

complex mechanical systems, including aircraft, power plant coolant circuits, and industrial condensers.

## Publications

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## Keywords

electrochemistry, corrosion, high-temperature aqueous systems, stress corrosion cracking



Professor Mayo has two main interests: nanocrystalline materials and high-temperature deformation. Nanocrystalline materials are metals or ceramics composed of crystals smaller than 100 nm—smaller than the wavelength of visible light! These materials often have very unusual properties; at one time they were seriously being considered as a new form of matter. Our experience shows us that nanocrystalline materials are ultrahard and wear resistant, ideal for cutting tools. Nanocrystalline ceramics, on the other hand, can actually be ductile at moderate temperatures. This allows them to be formed directly into parts, or to be used as a kind of glue for joining more conventional ceramics. From a scientific viewpoint, nanocrystalline materials are fascinating, since it is the grain boundaries (that is, the interfaces between crystals) and *not* the grains (crystals) themselves that dictate the behavior of the material. In this way, nanocrystalline materials are fundamentally different from normal, larger-grained materials.

Professor Mayo's laboratory follows the life of a nanocrystalline material from start to finish: synthesizing ultrafine powders, processing these powders into bulk form, and testing the final nanocrystalline product. Development of processing protocols is not easy. For instance, a customary step in densifying a powder into a solid is to heat the powder. Unfortunately, for nanocrystalline powders this heating causes the grains to grow, often to very large sizes. To accomplish densification without grain growth, Mayo has explored a number of new processing techniques, such as superplastic sinter-forging, and has also attempted to understand the role of pores in both the densification and grain growth processes. Once a viable nanocrystalline material is made, it is tested for a number of properties of interest, such as hardness, fracture toughness, ionic conductivity, diffusion bonding ability, and superplasticity. Superplasticity is an extreme form of ductility that manifests itself when fine-grained materials are deformed under moderate stresses and slow strain rates. This kind of ductility is currently used in aerospace applications to make extremely complex parts in simple, one-step forming operations. With nanocrystalline materials, we have the possibility of further accelerating the forming rates associated with superplasticity to the point where mass-market technologies (e.g., the automobile industry) can take advantage of them.

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D. J. Chen and M. J. Mayo. 1996. Rapid rate sintering of nanocrystalline  $ZrO_2-3mol\%Y_2O_3$ . *J. Am. Ceram. Soc.* 79:906-912.

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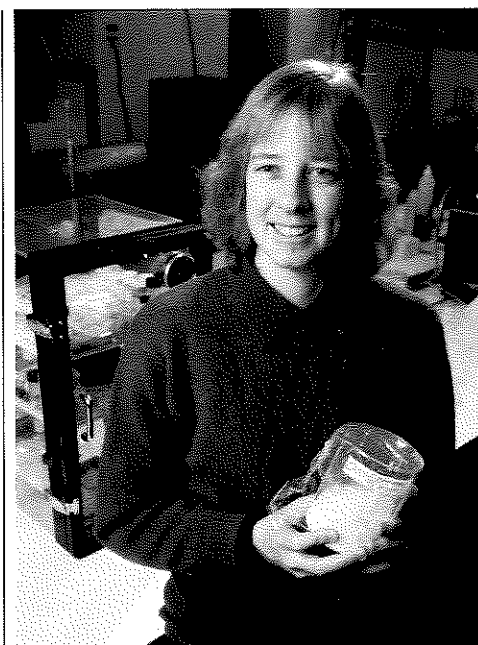
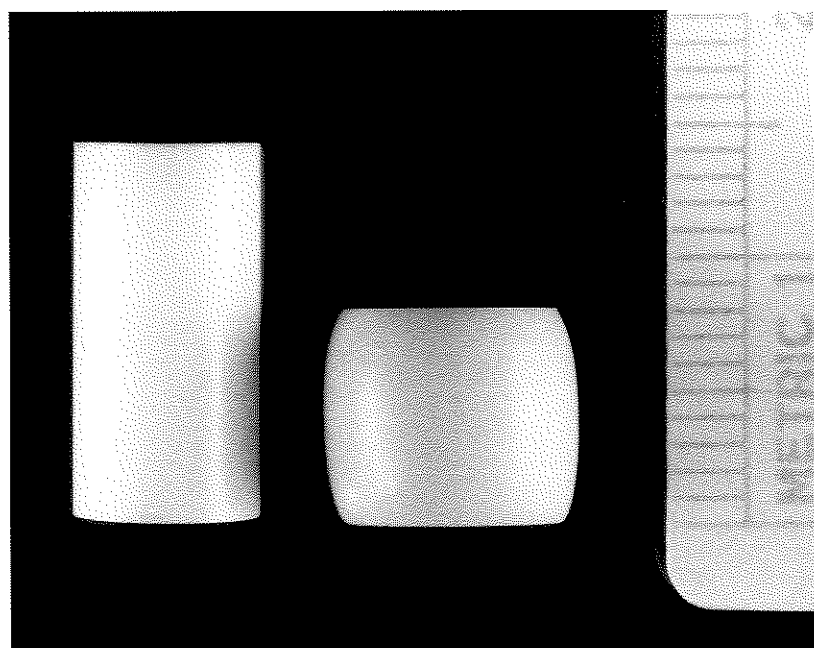


Figure 1. A superplastic ceramic. (a) before deformation, (b) after deformation.



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**Keywords**  
 nanocrystalline materials, high-temperature deformation (creep), superplasticity

The fabrication of advanced ceramics with targeted properties requires control over all process stages from powder synthesis through sintering. The complex relations between the myriad processes embraced in ceramic processing is the general focus of Dr. Messing's research program. For example, he and his group have had a sustained effort to understand how to regulate ceramic phase development through the application of fundamental concepts of epitaxy. By using seed crystals, or by developing self-nucleating sol-gel chemistries, they have demonstrated unique control of phase development, transformation kinetics, sintering, and microstructure development in alumina, alumina-based ceramics, and mullite. Recent progress in controlled grain growth has resulted in single crystals and highly textured ceramics.

Spray pyrolysis is a unique process for the synthesis of advanced powders and films from solution droplets. Messing's group has a comprehensive research program designed to identify how each of the processes during spray pyrolysis can be regulated to yield particles of controlled size, morphology, and chemistry. They are also developing experimental methods and in situ diagnostics for the on-line monitoring and investigation of the individual processes responsible for particle formation. By regulating the thermolysis atmosphere particulate materials and films of mixed metal oxide ceramics, nonoxide-oxide composites, and metals can be prepared.

**Publications**

Y. Narendar and G. L. Messing. 1997. Mechanisms of phase separation in gel-based synthesis of multicomponent oxides. *Catalysis Today* 35(3):247-268.

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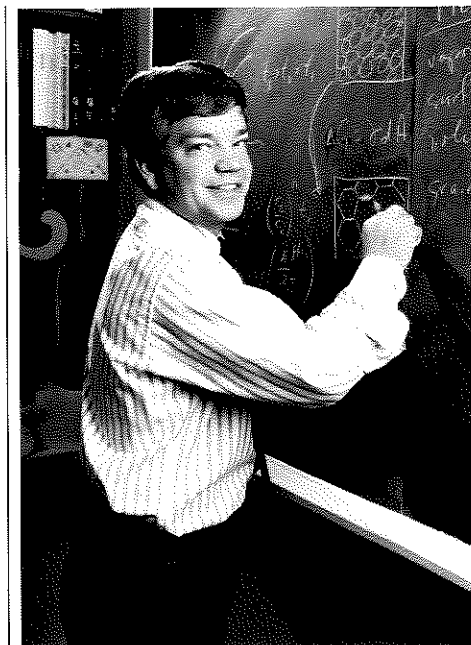
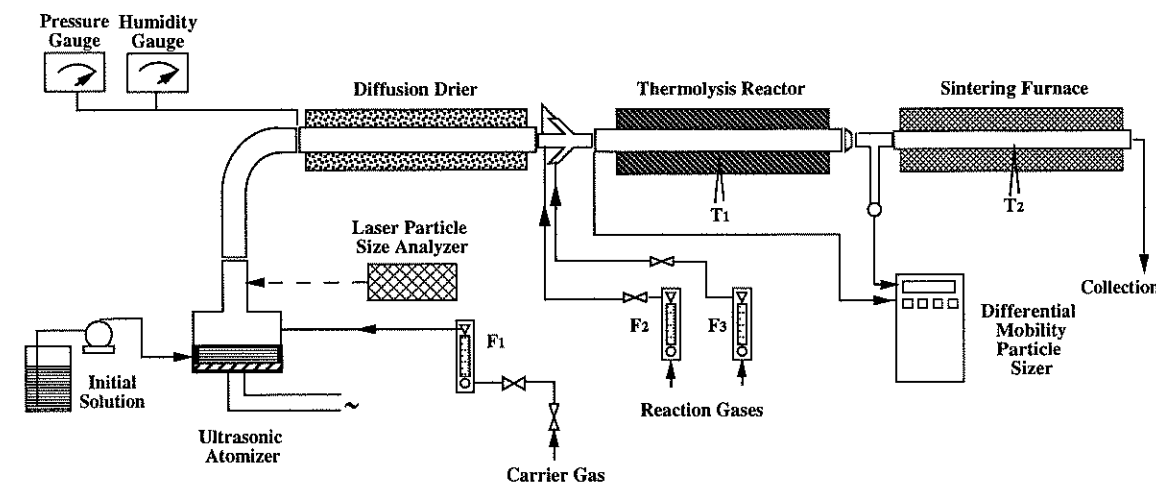


Figure 1. Schematic of spray pyrolysis system.



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**Keywords**  
 ceramic processing, powder synthesis, spray pyrolysis, solid-phase epitaxy and phase transformations, sol gels, sintering



Professor Mohney is interested in metallization and oxidation for electronic devices. Previously, she has studied thin-film electrical contacts to indium phosphide, which is an important semiconductor for optoelectronic and high-speed electronic devices. The thin-film metal contacts are an essential part of these devices, and controlled metallurgical reactions between the contact and semiconductor are often required to engineer the electrical properties of the contacts. On the other hand, uncontrolled reactions can result in nonuniform or irreproducible contacts and poor thermal stability during processing, packaging, or extended operation of the device. Through an examination of the thermodynamics and kinetics governing the reaction at the interface between the contact and semiconductor, contacts with greatly improved thermal stability, uniformity, and electrical performance can be designed. For the study of electrical contacts to InP, phase equilibria for the relevant metal-In-P systems were calculated or experimentally determined to help understand the reactions between the metals and InP and to suggest thermally stable contact materials. This work also involved the metallurgical and electrical characterization of the contacts, with techniques such as transmission electron microscopy, Auger depth profiling, and glancing angle X-ray diffraction providing detailed information about the metallurgical reaction between the film and semiconductor.

More recently, she has applied these approaches for studying electrical contacts to the wide band gap semiconductors gallium nitride and silicon carbide, which are of particular interest for optoelectronics in the blue and UV wavelengths and for high-temperature electronics. Her research group has also begun to investigate the oxidation of these semiconductors. Additional interests include the study of metal/metal contacts for electrical connectors, particularly in extending the wear of the metal coatings on these connectors.

**Publications**

- B. P. Luther, S. E. Mohney, T. N. Jackson, M. Asif Khan, Q. Chen, and J. W. Yang. 1997. Investigation of the mechanism for ohmic contact formation in Al and Ti/Al contacts to n-type GaN. *Appl. Phys. Lett.* 70:57-59.
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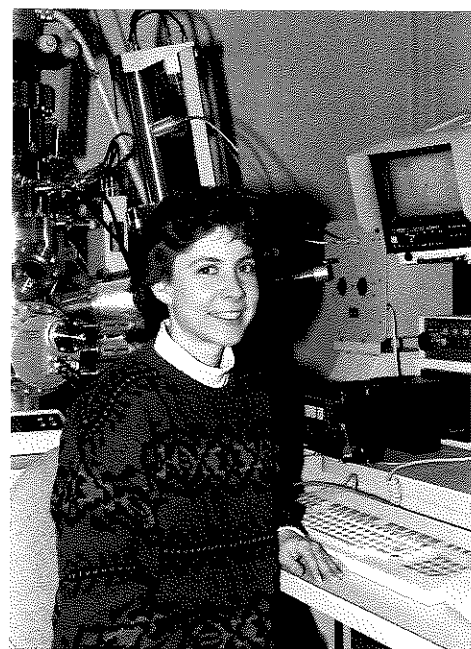
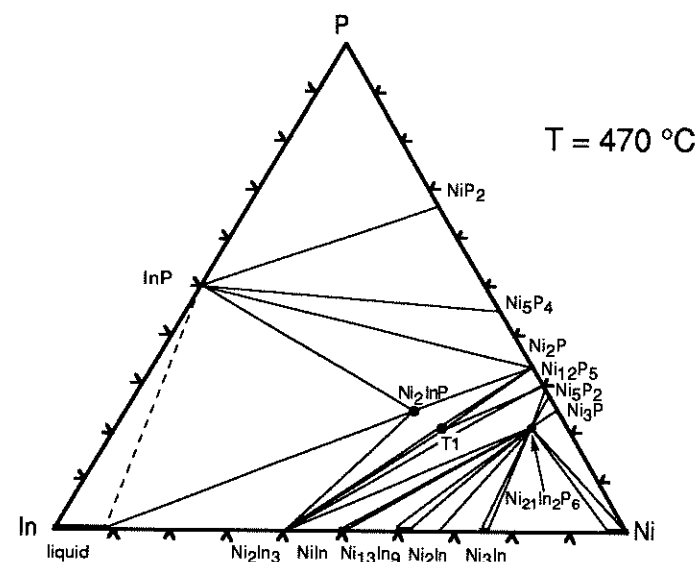


Figure 1. The experimentally determined Ni-In-P isothermal phase diagram aids in understanding the reaction between Ni contacts and the InP substrate. The diagram also shows the phases in equilibrium with the semiconductor InP. These phases may be evaluated for their potential as thermally stable (unreactive) electrical contacts to InP.



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**Keywords**

thermodynamics, kinetics, interfaces, electronic materials, high-temperature materials, metallization, oxidation

Robert E. Newnham is Alcoa Professor of Solid State Science, and associate director of the Materials Research Laboratory. Recently elected to the National Academy of Engineering, Newnham has written nearly 500 research papers on electroceramics and composite materials for electronic applications. During the past years, he has been awarded the Centennial Prize by the Ceramic Society of Japan, the John Jeppson Medal of the American Ceramic Society, the Turnbull Prize of the Materials Research Society, and the First International Ceramics Prize of the Academy of Ceramics for "distinguished and creative contributions to the advancement of ceramic science and culture, especially in the field of composite electroceramics." Newnham is active in several professional societies, having served as editor of the *Journal of the American Ceramic Society*, President of the American Crystallographic Association, and Distinguished Lecturer for the Institute of Electrical and Electronic Engineering.

His current research program is centered on "smart" and "very smart" ceramics. Smart ceramics are defined with reference to the sensing and actuating functions of living systems. A smart ceramic senses a change in the environment, and using a feedback system, makes a useful response. It is both a sensor and an actuator. Applications include vibration damping systems for space structures, and energy-saving windows for homes and factories. The new electronically controlled automobile suspension systems made from multilayer piezoelectric sensors and actuators are also classified as actively smart materials.

By building in a learning function, the definition can be extended to a higher level of intelligence: a very smart ceramic senses a change in its surroundings and responds by changing one or more of its property coefficients. Such a material can tune its sensing and actuating functions in time and space to optimize behavior. The distinction between smart and very smart materials is essentially one between linear and nonlinear properties. The physical properties of nonlinear materials can be adjusted by bias fields or forces to control response.

Newnham is currently developing a family of tunable transducers, which utilize the nonlinear properties of relaxor ferroelectrics interleaved with thin layers of rubber and metal end caps. The basic design is patterned after metal-ceramic composite actuators developed in his laboratory (Figure 1). Shallow cavities positioned between the metal caps and the central ceramic disk convert and amplify the radial displacements of the piezoelectric ceramic into the large axial motions of the metal end caps (Figure 2).

Five important characteristics of an electromechanical transducer are the resonant fre-

Q, the electromechanical coupling coefficient k, the acoustic impedance  $Z_A$ , and the electrical impedance  $Z_E$ . In the tunable transducer, the magnitudes of  $f$ ,  $Z_A$ , and Q are controlled by stressing thin layers of rubber, while k and  $Z_E$  are manipulated by applying bias fields to tape-cast layers of relaxor ferroelectric such as magnesium niobate (PMN). As shown in Figure 2, electrostrictive PMN gives larger strains than the usual PZT transducers.

The search continues for "smarter" ceramics for use as sensors and actuators. The nonlinear properties of very smart materials are often associated with nanoscale structure and diffuse phase transformations. Under these circumstances the structure is poised on the verge of an instability, and responds readily to external influences such as electric or magnetic fields, or mechanical stress.

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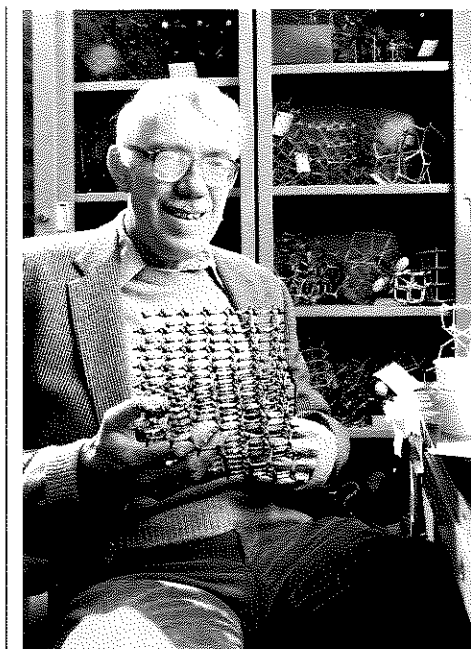
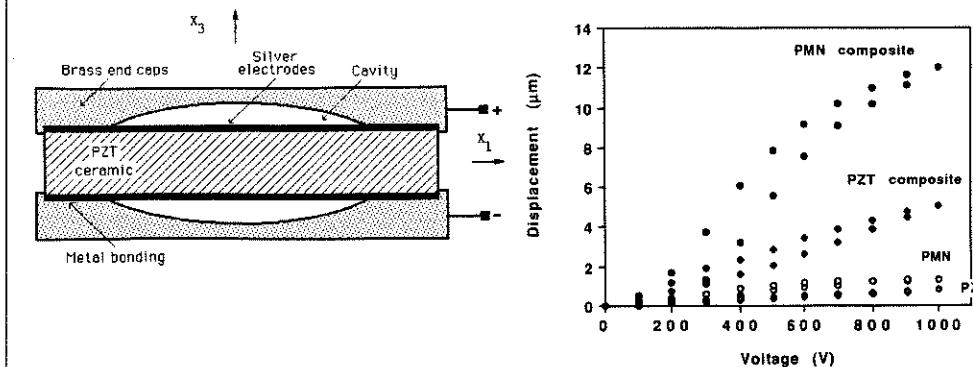


Figure 2. Capped composite actuators made with electrostrictive PMN and piezoelectric PZT amplify the strains of the uncapped ceramics. All four actuators are 1 mm thick.

Figure 1. Flextensional actuators made from poled lead zirconate titanate (PZT) ceramics and shaped metal end caps.



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**Keywords**

smart ceramics, very smart ceramics, transducers, composite actuators, sensors



# Kwadwo Osseo-Asare

**Aqueous Systems, Materials Processing, Hydrometallurgy, Separation Science, Materials Synthesis, Dissolution Processes, Colloids and Interfaces**

Research on particle design seeks to create environments that systematically constrain particle nucleation, growth, and aggregation. In the gel-sol method, highly condensed metal hydroxide gels are used as the matrix for the growth of metal oxide particles. The viscous environment restricts particle movement and thus particle aggregation is minimized. Also under investigation is microemulsion-mediated synthesis where surfactant-stabilized nanosize cavities constrain the growth of nanoparticles. Emphasis is on the relationships between the properties of the microemulsion fluid phase and particle characteristics.

Important engineering applications of dissolution processes are encountered in hydrometallurgical extraction, surface finishing, and semiconductor device technology. Research in progress focuses on the (electro)chemical behavior of metallic, semiconductor, and insulator materials. Of particular interest are the thermodynamic modeling of the relevant solid-solution equilibria and the elucidation of the roles of metal-ligand complexes, surface complexation, and semiconductor electrochemistry.

Interfacial phenomena underlie many of the techniques available for ion and particle separations. Surfactant aggregation phenomena are under investigation in connection with reversed micellar catalysis of hydrometallurgical liquid-liquid extraction. Polymer-salt interactions can induce phase separations in aqueous systems. Particulate separations that use such aqueous biphasic systems as liquid-liquid transfer media are being developed.

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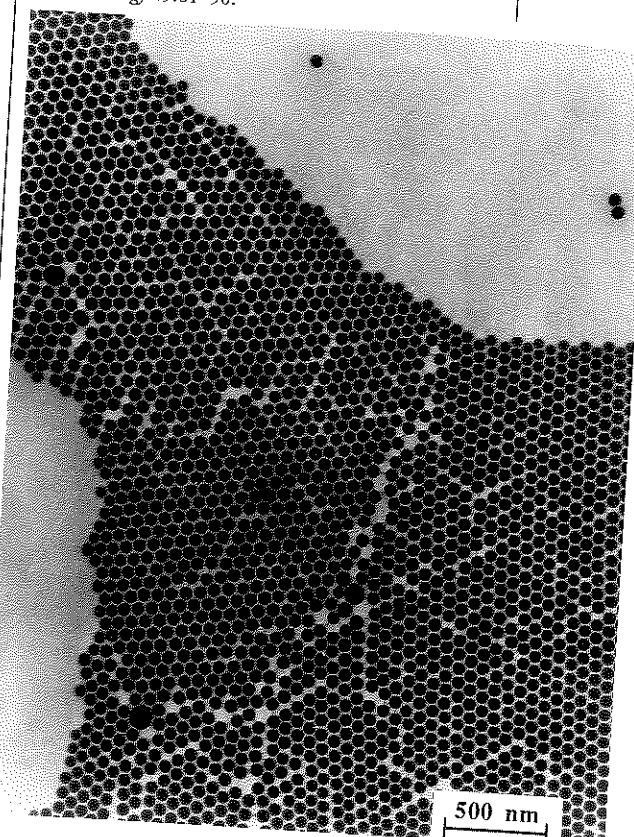
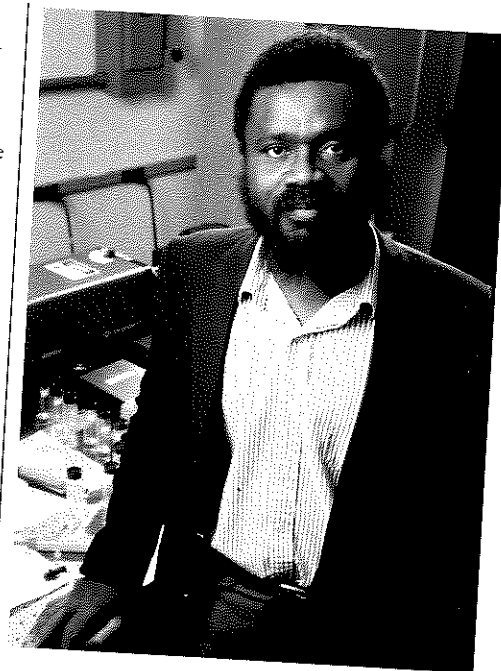


Figure 1. TEM micrograph of SiO<sub>2</sub> particles prepared by hydrolysis of tetraethoxysilane (TEOS) in the NP-5/cyclohexane/NH<sub>4</sub>OH microemulsion.

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## Keywords

aqueous processing, particle design, materials synthesis and processing, hydrometallurgy, separation science, wet processing in semiconductor technology, surfactants, colloids, interfaces

# Paul C. Painter

**Effects of Strong Intermolecular Interactions on the Properties of Polymers**

Paul Painter is interested in the effect of strong specific intermolecular interactions on the properties of polymers, particularly their ability to mix with solvents, other polymers, and liquid crystalline materials.

In describing the mixing of non-electrolytes, it has been common to distinguish between so-called "normal" and "associated" liquids. The most common examples of the latter type correspond to molecules such as water, alcohols, amines, etc., that form hydrogen bonds. At an early stage, the assumptions of simple models, such as regular solution theory, were recognized as invalid when applied to these types of mixtures, and their unusual or anomalous properties could only be accounted for by recognizing that the molecules were associated in a specific manner. Indeed, many years ago, Prigogine proposed that the formation of a complex be treated by using the assumption of a chemical equilibrium between the monomolecules of the associated species, and this approach has formed the basis for the use of so-called association models.

Association models have, until recently, been largely ignored in treating hydrogen bonding in polymer mixtures. They have most frequently been applied to mixtures of alcohols with simple hydrocarbons, where the equilibrium constants used to describe association have usually been determined by a fit to thermodynamic data (e.g., vapor pressures, heating of mixing). In collaboration with Dr. Michael Coleman, Painter has sought to do two things: first, to adapt this approach to a description of the phase behavior of polymer mixtures; and second, to develop spectroscopic methods that provide an independent measurement of the equilibrium constants. A model has been developed that provides a good description of the phase behavior of these types of mixtures.

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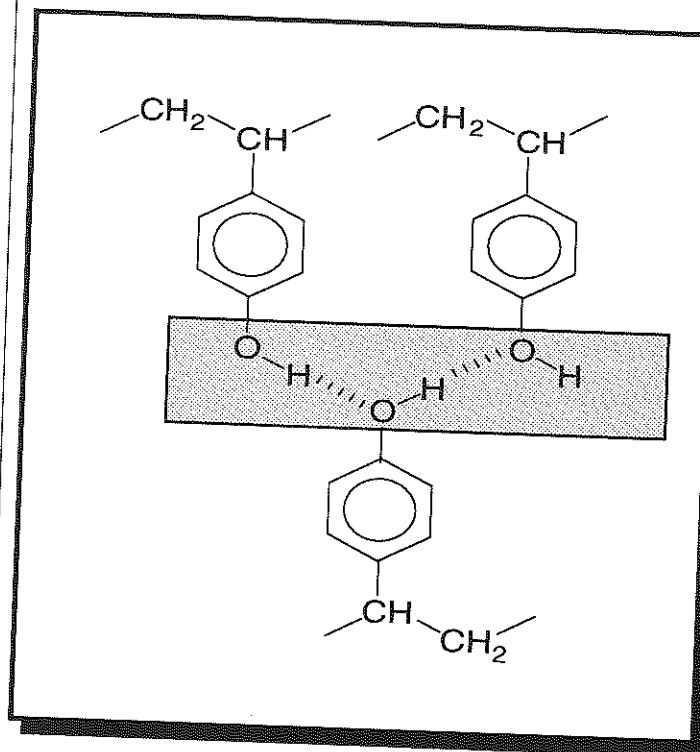
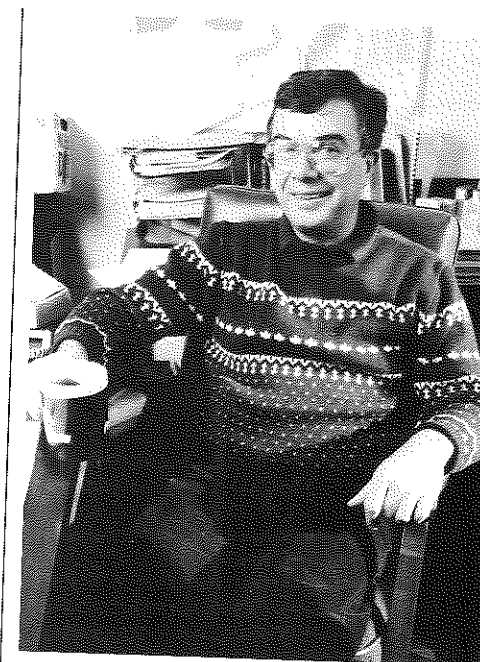


Figure 1. Hydrogen bonding in poly [vinyl phenol].

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## Keywords

hydrogen bonding in macromolecular systems (synthetic polymers and coal), polymer blends, gels, liquid crystal/polymer mixtures, coal structure



The surface composition and structure of glass influence its adsorption reactions, adhesion, optical response, and mechanical strength. Needless to say, these chemical properties of glass surfaces and interfaces—which are the common denominator in all of Professor Pantano's research programs—exert a profound influence upon technological issues including synthesis of thin glass films for microelectronic application, glass/polymer interfaces, glass-matrix composites, and the chemical/mechanical durability of commercial glass products.

Whereas the fundamental understanding of atomic structure and chemical behavior of metal and semiconductor surfaces is quite rigorous, a comparable understanding has not been achieved for glass surfaces. This situation is probably intrinsic to the nonequilibrium, amorphous nature of the glassy state itself. In the case of metals and semiconductors, the scientific understanding has come from a detailed comparison between the surface structure and the bulk structure. By contrast, the bulk structure of glass does not always provide a meaningful or convenient reference state. The very concept of a quantifiable glass structure is still under debate. The approaches to its characterization to date are neither precise nor definitive, and the kinetics of the glass transition yield a multitude of metastable states. Thus, it is hardly surprising that most understanding of glass surfaces is empirical.

The empirical approach to control and tailoring of glass surface properties has been greatly enhanced through the use of surface-sensitive measurement techniques. Due to the fact that glass surfaces are most often created at elevated temperatures, the surface of the glass is often an abrupt termination of the bulk composition and structure. Thus, the ability to characterize, directly, the surface of glasses is essential in research as well as in process development. Pantano and his group are experienced in the application of these tools (including XPS, SIMS, FTIR, ISS, and TPD) to glass—studying commercial glass products. Studies have shown that compositional surface modification due to the temperature and atmosphere during processing may extend thousands of nanometers below the surface. Moreover, exposure of the surface to humid atmospheres leads to progressive in-depth hydration of the glass surface that alters the optical reflectivity, roughness, and adsorptivity of the surface. Concurrent with these studies of real glass surfaces, more fundamental definition of glass surfaces have been derived through the study of model surfaces including clean fracture surfaces and porous sol/gel films. These model surfaces are more suited to the understanding of adsorption and transport in glass surfaces, and the mechanisms through which glass-surface reactions influence the creation of real surfaces.

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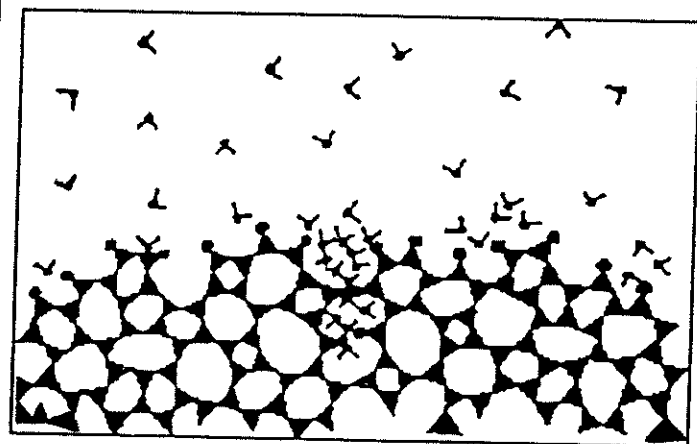
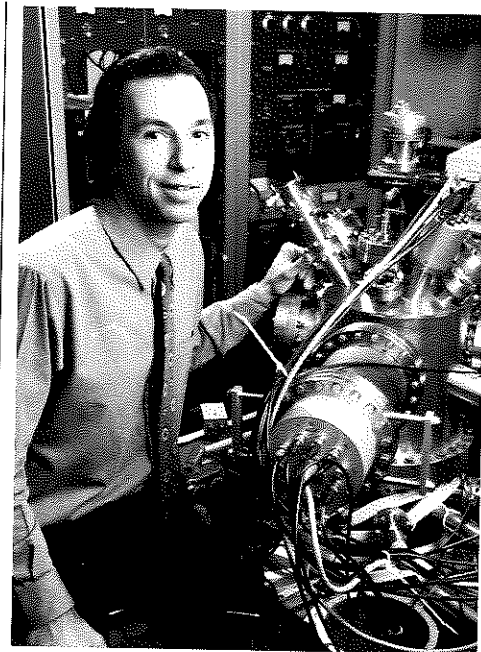


Figure 1. Model of a silica glass surface (in cross section) showing chemisorption, physisorption, and stress-corrosion cracking in a water vapor atmosphere.

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**Keywords**

glass, surface chemistry, surface analysis, glass/polymer interfaces, sol/gel glasses, glass composites, glass thin films

Coal is one of our major energy sources today and will continue to be in the near future. The use of coal as a fuel has negative effects on the environment in terms of pollution. With an increasing concern for the environment, clean coal technologies are needed so that we can keep using coal for power generation. Fluidized-bed combustion is such a technology, with low emissions of nitrogen oxides (NOx) and sulfur oxides (SOx)—the main pollutants from coal combustion. A side effect of fluidized-bed combustion of coal is the formation of nitrous oxide (N<sub>2</sub>O). Unlike NOx and SOx, nitrous oxide is not widely known as a pollutant, but it has been identified as a greenhouse gas and plays a role in the depletion of the ozone layer. The research interests of Professor Pels are centered around developing clean coal technology that incorporates NOx, SOx, and N<sub>2</sub>O abatement strategies. His focus is on radiation initiated NOx and SOx emission control and catalytic gasification of coal.

Radiation-initiated removal of NOx and SOx from flue gases is an emerging technology, with a high potential of becoming a competitive alternative to the more traditional NOx and SOx reduction techniques. One of its strongest points is that both pollutants can be efficiently eliminated in a single unit operation. The principle of this technology is that a flue gas is subjected to fast electrons. These electrons can be generated in different ways. One possibility is to use electron guns (so-called e-beams) that accelerate electrons and shoot them into the flue gas. Another option is to let the flue gas pass through a strong electrostatic field in which a non-thermal plasma is generated. In both cases, fast electrons initiate a series of radical reactions that result in the oxidation of NOx and SOx to nitric and sulfuric acid. By the addition of ammonia, salts are formed that deposit or can be removed from the gas using electrostatic precipitators or bag house filters just as fly ash is removed. The challenge is to manipulate the reaction conditions in such a way that nitrous oxide emissions are also diminished without sacrificing the reductions in NOx and SOx emissions already accomplished.

Coal gasification is one step of the combined cycle technology that is implemented in a new generation of coal-fired power plants. By gasification of coal, a combustible coal gas is produced that is used later in the process for combustion. It is much easier to remove nitrogen and sulfur compounds from coal-derived gases than from flue gases. The addition of catalysts can enhance the performance of the gasification, e.g., lower temperatures at which gasification can be carried out, or higher reaction rates at the same temperature. Catalysts also influence the composition of the coal derived gas, and careful selection of a catalyst and

**Publications**

J. R. Pels, F. Kapteijn, J. A. Moulijn, Q. Zhu, and K. M. Thomas. 1995. Evolution of nitrogen functionalities in carbonaceous materials during pyrolysis. *Carbon* 33(11):1641-1653.  
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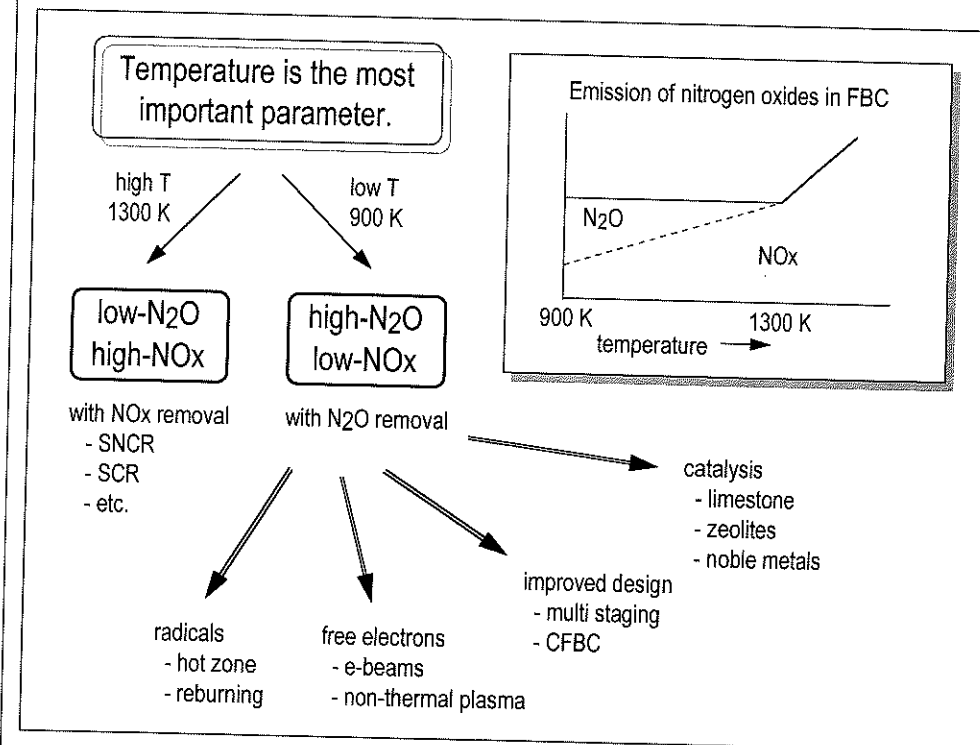
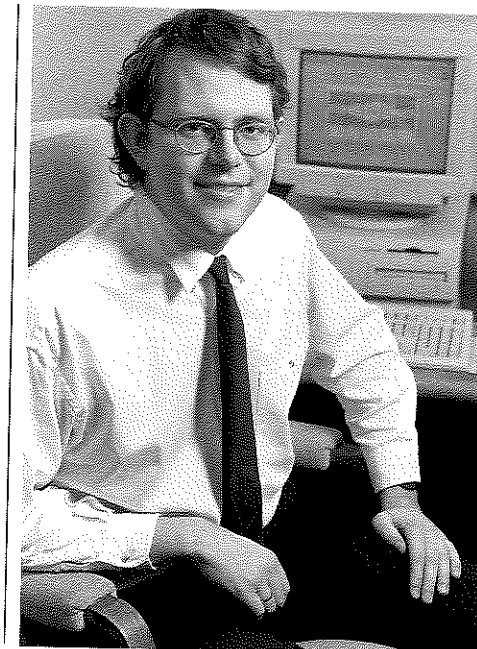


Figure 1. Basic strategies for combined abatement of NOx and N<sub>2</sub>O in fluidized bed combustion of coal. By careful selection of a combustion temperature only one nitrogen oxide, either NOx or N<sub>2</sub>O, may need to be removed from the flue gases instead of both. Sulfur capture must be included in all cases.

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**Keywords**

clean coal technology, NOx/SOx abatement strategies, nitrous oxide emissions, catalysis, e-beam, non-thermal plasma, fluidized-bed combustion, coal gasification



Professor Pickering's teaching and research activities focus on the principles of electrode reactions and their application (1) to the corrosion of metals and methods for its prevention, and (2) to the electrodeposition of metals. The relevant electrochemical (oxidation and reduction) reactions, and the changes that the metal surface undergoes during the reaction, are an important aspect. More specifically, research in his corrosion laboratories includes the study of (1) selective element dissolution from alloys; (2) catalyzed electrochemical-degradation processes such as crevice, pitting, and grain boundary corrosion; (3) reduction reactions including hydrogen evolution and its absorption and diffusion into metals; (4) development of improved inhibitors for preventing the corrosion of metals and alloys, such as is achieved for copper and its alloys by adding iodide ion to benzotriazole and other triazoles; and (5) surface reconstruction in metal electro-dissolution and electrodeposition in aqueous solutions using scanning tunneling microscopy (STM) and atomic force microscopy (AFM).

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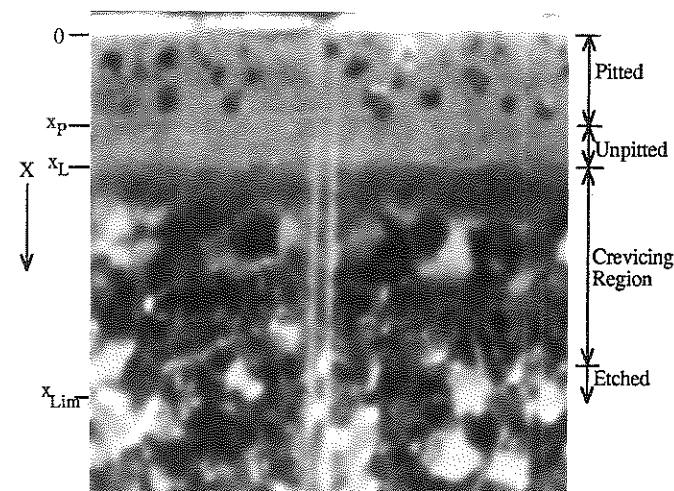
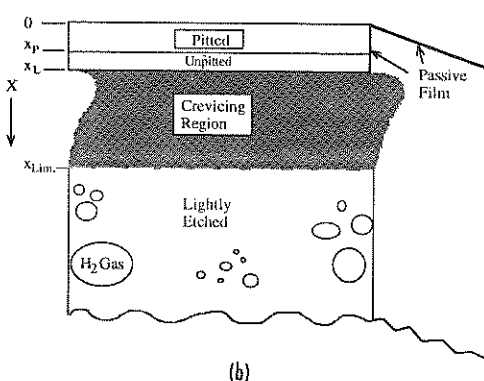


Figure 1. In situ photograph (a), and schematic (b) of the crevice wall showing the effects of the steep potential gradient that causes crevice corrosion.



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## Keywords

surface properties, electrode reactions, corrosion of metals, electro-dissolution, electrodeposition, scanning probe microscopy

Dr. Pisupati's research interests are primarily in studying the effects of fuel properties on combustion behavior in fixed, fluidized, and pulverized modes of combustion, and capturing pollutants from the products of combustion. Specific research topics include: coal-water slurry combustion; combustion behavior of coal blends; sulfur dioxide capture in circulating fluidized-bed combustion boilers using calcium-based sorbents; simultaneous SO<sub>2</sub> and NO<sub>x</sub> reduction using biomass-based products; and volatile organic emissions from stationary combustion sources.

Emissions from circulating fluidized bed combustors (CFBCs) are minimized by using calcium-based sorbents to capture the SO<sub>2</sub> produced during the combustion of a sulfur-containing fuel. Properties that are reported to influence sorbent performance are particle size, grain size and texture, pore size distribution, and surface area. The particle size specifications of sorbents for operating CFBCs are design specific. Of particular interest are the fine particles that are smaller than the cut point of the recycle cyclone. The residence time of such fines is equivalent to that of a single pass through the combustor, which limits the contact time for SO<sub>2</sub> capture and often leads to the premature removal of unreacted sorbent from the system. Pisupati and co-workers have recently completed a study on the importance of calcium carbonate content and particle size dependency to the performance of various limestones and dolostones in capturing SO<sub>2</sub> in fluidized bed combustors. Data were obtained in a bench-scale fluidized bed reactor, a pilot-scale down-fired combustor, and a 30 MW(e) CFBC. For larger particles, the slow rate of SO<sub>2</sub> diffusion through the product layer limited the extent of sulfation. Hot-stage scanning electron microscopy and microprobe analysis of the sulfur distribution in the particles indicated that some sorbents developed thermally induced fractures (TIFs), while others with comparable CaCO<sub>3</sub> contents did not. The TIFs promoted SO<sub>2</sub> diffusion into the particle and, as a consequence, the sulfation behavior of such sorbents was less particle-size dependent than for those sorbents that did not develop TIFs.

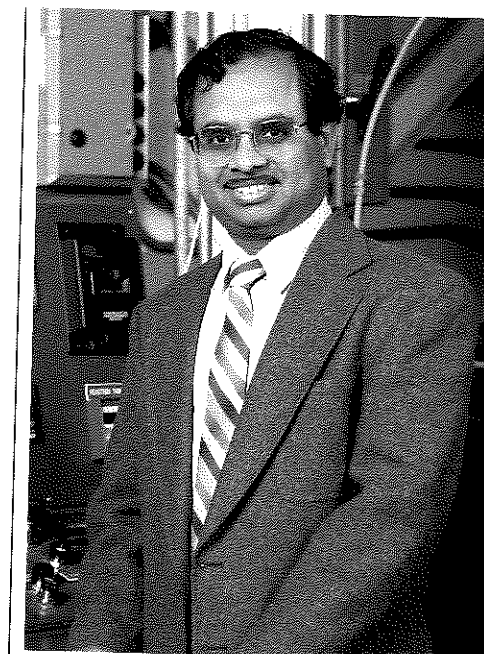
Biomass-based products are being explored for either co-firing with coal or as liquid feedstock for combustion. Biomass-based materials such as BioLime™—an emulsion of calcium and pyrolysis of biomass oil—have shown very promising results as an alternative technology for simultaneous NO<sub>x</sub> and SO<sub>2</sub> reduction. This product is introduced downstream from the burner. Ninety percent SO<sub>2</sub> and 50 percent NO<sub>x</sub> reductions were obtained simultaneously when firing high-sulfur content coal without combustion modifications for NO<sub>x</sub> control. Finely dispersed calcium reacted with SO<sub>2</sub> efficiently and hydrocarbon radicals from the

pyrolysis oil reduced NO<sub>x</sub> to N<sub>2</sub>. It was also observed that overall CO emissions were 20 to 30 percent lower than for coal firing without the injection of this product.

Attempts to reduce NO<sub>x</sub> emissions from fossil fuel combustion sources have demonstrated a reduction in combustion efficiency and an increase in the CO and unburnt hydrocarbon emissions. In a current research program, Dr. Pisupati is studying the influence of low NO<sub>x</sub> burners on the volatile organic emissions (VOCs) from coal combustion systems.

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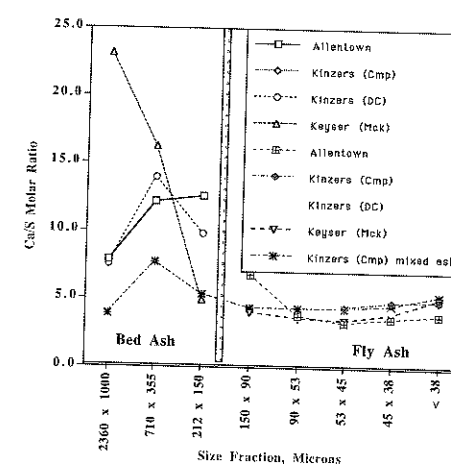


Figure 1. Ca/S molar ratios of bed and fly ashes for various size fractions of selected sorbents in the 30 MW(e) CFBC.

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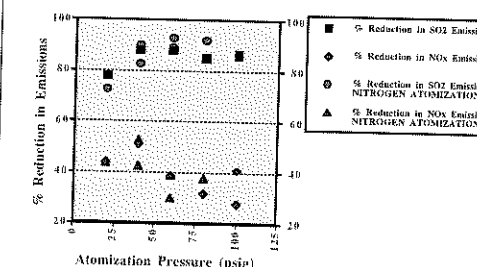


Figure 2. Effect of BioLime atomization medium and its pressure on simultaneous SO<sub>2</sub> and NO<sub>x</sub> reduction.

## Keywords

fluidized bed combustion, SO<sub>2</sub> capture, sorbents, simultaneous SO<sub>2</sub> and NO<sub>x</sub> reduction, biofuels



Performance of carbon materials in many applications depends on their surface properties. Here are some examples:

Control of the carbon surface chemistry is important for maximizing the oxidation protection of carbon/carbon composite materials so these materials can be used as ablative and structural components in rockets and space vehicles. The same chemistry also controls the parameters that lead to the opposite extreme: maximum oxidation reactivity of carbon fuels.

High-surface-area carbon materials are often matched in their flexibility as supports for precious metal catalysts. A suitable pore size distribution of the support is a necessary condition for adequate catalyst performance. The right surface chemistry is a sufficient condition for optimum catalyst performance.

The isoelectric point ( $pH_{IEP}$ ) of carbon is a key parameter here. Below  $pH_{IEP}$ , maximum catalyst dispersion and maximum catalytic activity is achieved by using an anionic catalyst precursor and thus maximizing its interaction with the positively charged adsorbent surface. Conversely, above  $pH_{IEP}$ , this interaction is maximized by using a cationic catalyst precursor.

What does it mean to have the right surface chemistry? Figure 1 contains the answer to this question as applied to the increasingly important use of carbon materials as adsorbents in the purification of water. It shows that the fractional uptake of an aromatic pollutant (aniline) can change dramatically as the chemistry of the carbon surface changes. Uptake reduction at low pH is due to electrostatic repulsion between the positively charged adsorbent surface and the anilinium cations. Conversion of an 'amphoteric' carbon (C2) to an 'acidic' carbon (C1-E), by surface oxidation, is beneficial because it decreases this adsorbent-adsorbate repulsion; but the oxidative treatment of the surface also reduces its  $\pi$ -electron density and thus decreases the dispersive adsorbent-adsorbate interactions. If the decrease is twofold (C1-D/2), the important net result is enhanced adsorption at low pH. But if it is five- or tenfold (C1-E-D/5, C1-E-D/10), the net result at high pH is suppressed adsorption relative to the base cases (C2 and C1-E).

The simultaneous use of many characterization techniques is necessary to unravel the important details of the physical and chemical surface properties of carbon materials. The ones that have been found to provide the lowest cost-benefit ratio are: temperature-programmed desorption, electrophoresis, volumetric adsorption, and potentiometric titration.

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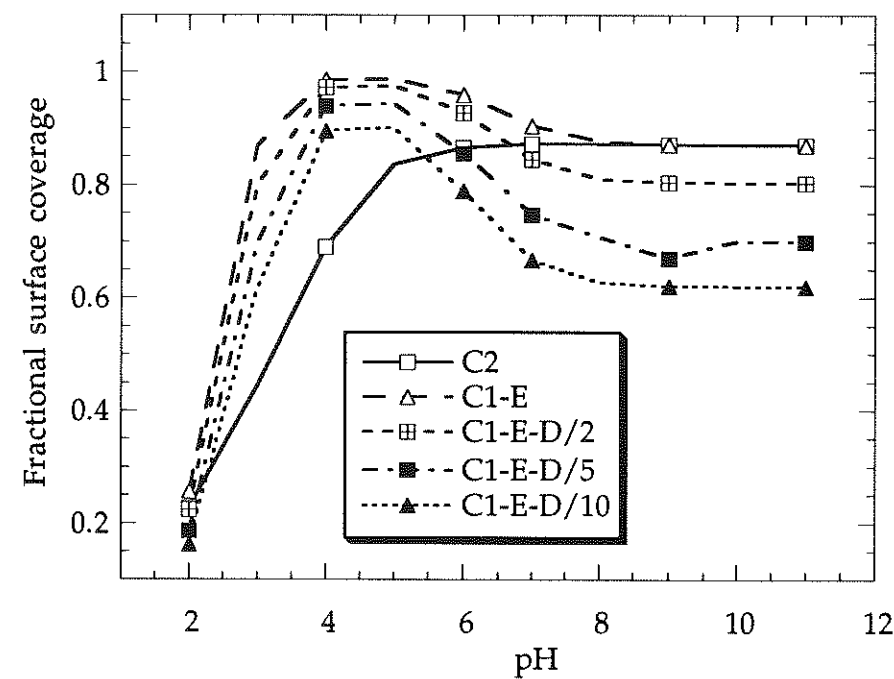
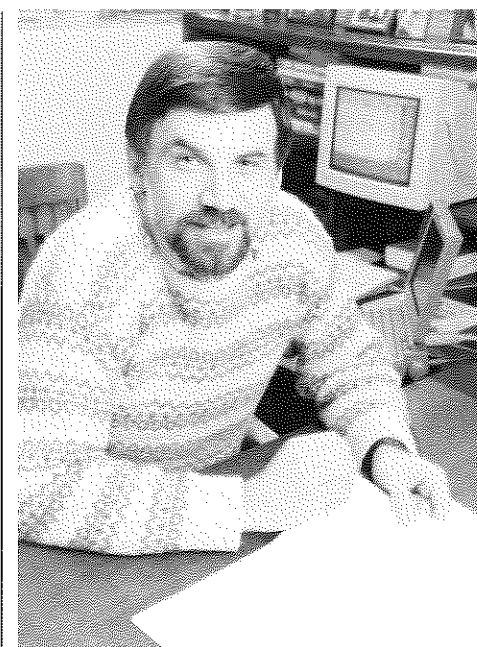


Figure 1. Fractional surface coverage vs. pH for adsorption of aniline from aqueous solution on chemically modified activated carbons.

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**Keywords**

heterogeneous catalysts, adsorbents, use of carbon materials in air and water pollution prevention, kinetics and catalysis of coal conversion and carbon gasification, methodology of teaching energy supply/demand issues to nontechnical students/general public

Professor Randall's research interests are in processing and microstructure-property relations in advanced electroceramic materials. Special attention is given to the problems incurred in the optimization and tailoring of elastodielectric properties so as to address issues such as device miniaturization and component integration for higher volumetric efficiencies.

With recent advances in the fabrication of ceramic particulate materials, there exists a need to improve processing assembly techniques to create novel architectures at finer scales. We are investigating the use of electric fields to assemble particulates in composite and thick film form. In general, when an electric field is applied to a stable particle suspension, the particle motion is predominantly dictated by dielectrophoretic and electrophoretic forces. Dielectrophoretic assembly involves the induction of a dipole-dipole interaction that destabilizes the system in such a way that the particles undergo uniaxial agglomeration along the electric field direction. This process has been studied in thermoset polymers that undergo in-situ polymerization to produce unique composites with dielectric anisotropy.

Electrophoretic deposition exploits an electric surface charge on particles in suspension migrating in the presence of an appropriate electric field enabling the consolidation of particles into films onto any shaped substrate. By understanding the colloidal stability, the deposition kinetics, and the constrained sintering issues, we have fabricated 1-10 micron BaTiO<sub>3</sub> thick-films with high dielectric constant and low losses competitive with other thick-film technologies. The ongoing work is focused on establishing the scientific and engineering aspects of the deposition process. Electrophoretic deposition of electroceramic particulate materials gives potential advances in a number of applications, including piezoelectric motors, biomedical ultrasound probes, and heterojunction chemical sensors.

Ferroelectric and related materials are of interest due to their unique elastodielectric properties that are being used in piezoelectric, electrostrictive, pyroelectric, and capacitive applications. Our group has focused attention on the role of mesoscopic structures on physical properties. Recent work using detailed transmission electron microscopy studies (in-situ and ex-situ) has been on the grain size effects on domain switching mechanisms during poling and defect induced spatial modulations within domain structures, each of which shows a strong influence on the extrinsic property contributions to physical properties. With grain sizes below 1 micron, there is a change in the domain structure and reduction in the number of domain variants per grain; correspondingly there is a reduction in the dielectric and piezoelectric properties. By understanding the

dopant effects on mesoscopic structures, we are developing strategies to optimize compositions to establish high-performance piezoelectric properties in sub-micron ceramics.

Similarly, in capacitor materials the kinetic control of the liquid phase sintering process permits a core shell microstructure with heterogeneous dopant distributions. These are processed as to form materials with specific temperature coefficients of capacitance. With submicron grains, the kinetics are more difficult to control. New processing and dopant selection methods are being studied in our laboratory to form specific submicron materials for next generation multilayer capacitors.

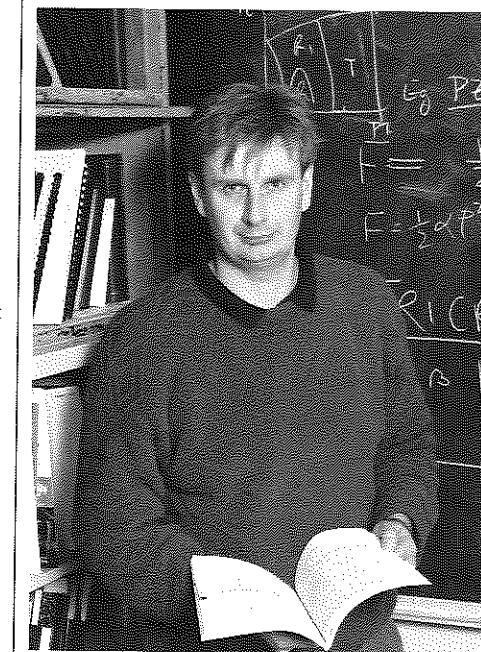
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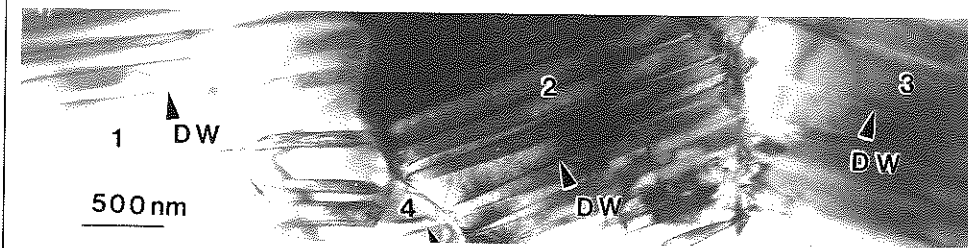


Figure 1. Transmission electron micrograph showing transgranular domain wall coupling in a poled PZT ceramic.

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**Keywords**

electroceramics, impedance measurements, transmission electron microscopy, composites, thick films



Compared to traditional single-component systems, mixtures of chemically dissimilar polymers are of considerable technological importance because of their potential for superior mechanical and physical properties. A particularly important class of these polymer blends are those that contain high-performance, stabilizable polymers. In general, Dr. Runt's research has focused on developing an understanding of crystallization, microstructure, and phase behavior in those and other multicomponent polymer systems, and their relationship to material properties.

Some topics of current research interest include: (a) crystalline microstructure (via small-angle X-ray scattering) and the associated crystallization behavior of blends of weakly and strongly interacting polymers, (b) the use of electric measurements to probe local molecular environment and dynamics in copolymers and polymer blends, and (c) co-crystallization and phase behavior of homopolymer-copolymer mixtures.

In addition, in collaboration with colleagues in the Artificial Organs Section at The Milton S. Eisenhower Medical Center, Runt's research group has been exploring the properties and performance of multiblock poly(ether-thaneureas) for use in ventricular assist devices and in the Penn State artificial heart. Specifically, the focus has been on (a) the design and characterization of new multiblock copolymers with improved barrier properties and (b) the influence of molecular architecture on mechanical (e.g., fatigue crack propagation resistance) and other properties of poly(ether-thaneureas).

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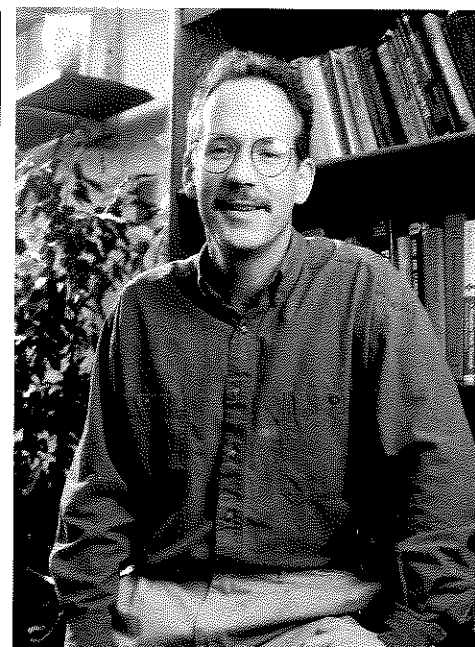
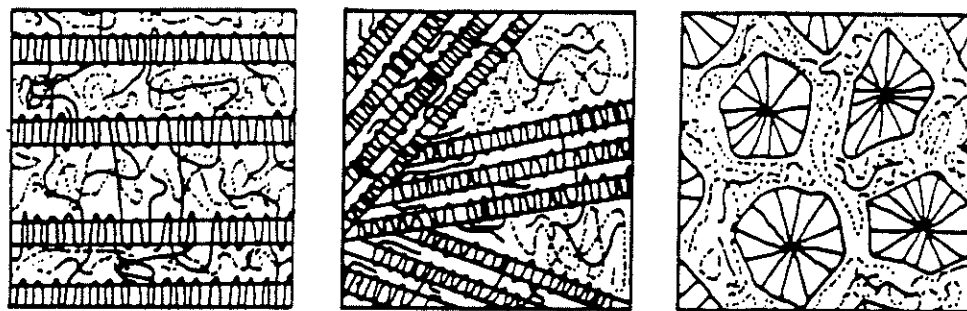


Figure 1. Schematic of three possible microstructures of a miscible binary blend of an amorphous (.....) and semi-crystalline (\_\_\_\_\_) polymer. A. Amorphous polymer is Interlamellar B. Interfibrillar C. Interspherulitic.



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## Keywords

crystallization, microstructure, and phase behavior in polymer blends; crystalline polymers; biomedical polymers related to artificial organs (VAD and PSU artificial heart) and orthopedic devices; polymer composites (including PTC materials); electrical and mechanical properties; thermal analysis of polymers; dielectric spec-

Professor Ruzyllo is concerned with integrated circuit manufacturing science and engineering, with an emphasis on silicon surface cleaning using gas-phase processes. His research currently stresses gas-phase treatments aimed at the removal of chemical contaminants from the silicon surfaces, and also involves developing tools for gas-phase surface processing in microelectronics manufacturing. In addition, he is actively involved in the development of methods for in-line, real-time process monitoring in microelectronics manufacturing. He has a continuing interest in the role of carbon in silicon (particularly during thermal oxidation) and is expanding his research in this area to include work on SiC processing for microelectronics applications.

Wafer cleaning is the most frequently applied processing step in the microchip manufacturing sequence. The commonly used technology of liquid-phase (wet) wafer cleaning will remain a dominant cleaning method in this area. However, wet cleaning technology has a number of inherent shortcomings that may limit its usefulness in fabricating future generations of integrated circuits. Consequently, the need to develop gas-phase (dry) wafer cleaning methods was recognized, and appropriate research initiated, several years ago. Ruzyllo and his graduate students have participated in this effort since its beginning. They developed the reduced pressure anhydrous HF (AHF)/alcoholic solvent etching of native chemical oxide, as well as the overall dry cleaning procedure involving the following operations carried out in sequence without breaking the vacuum: UV/O<sub>2</sub> + AHF/methanol + UV/Cl<sub>2</sub> + UC/O<sub>2</sub>. This sequence was successfully implemented as a pre-gate oxidation clean in the gate stack cluster tool. In addition, Ruzyllo led the development of gas-phase method of post-RIE surface processing that includes a UV/O<sub>2</sub> polymer removal process and a UV/Cl<sub>2</sub> "soft etch" of silicon resulting in damage- and contamination-free surfaces.

## Publications

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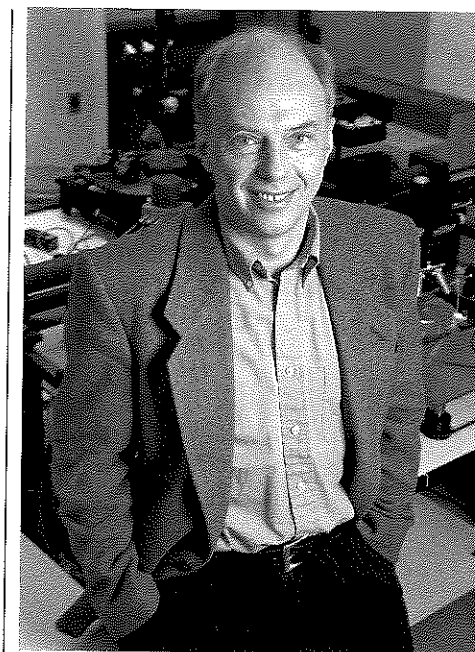
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## Keywords

microelectronic processing, silicon, surface cleaning, surface conditioning, in-line process monitoring



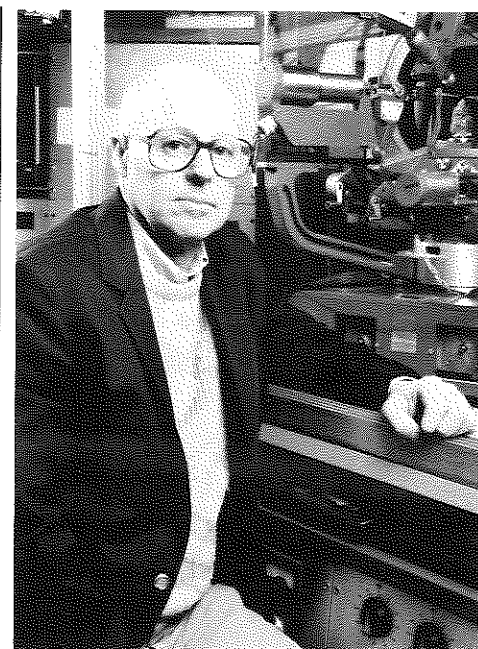
Quasicrystals are materials whose X-ray and electron-diffraction patterns exhibit non-crystallographic symmetries, such as five-, eight-, ten-, and twelve-fold rotation axes. The five- and ten-fold symmetries in the diffraction patterns belie the existence of long-range bond orientational order in these materials in which the atoms bond along icosahedral and pentagonal decagonal directions. While this overall picture of the connections between the atoms is known, the lack of a three-dimensional structural repeat unit, or unit cell, has made the determination of the structures of these materials extremely difficult. At Penn State, we have been actively studying the structure of quasicrystals since the existence of these strange materials was initially announced in 1984.

Recently, our studies have focused on the decagonal phase of  $Al_{65}Cu_{20}Co_{15}$ . Nominally, the structure of this compound exhibits non-crystallographic symmetry in two directions, and a crystallographic repetition along a third direction. However, from a considerable amount of X-ray and electron diffraction evidence, we have found that its structure is even more complex. Embedded in the quasicrystalline structure are extended regions of essentially periodic atom arrangements, in which a very large three-dimensional unit cell repeats dozens to hundreds of times. Furthermore, these regions of periodicity have been found to microtwin at angles that are multiples of  $36^\circ$ . In addition, the size and shape of the unit cell for the periodically arranged regions varies, depending upon composition and cooling conditions.

We have formulated a comprehensive model for the structures of this decagonal phase and the crystalline regions using electron and X-ray diffraction and the results of high-resolution electron microscopy and scanning tunneling microscopy studies. This model is built from only two primary atomic clusters that are pentagonal polyhedra of differing numbers of aluminum, copper, and cobalt atoms. These two clusters form aggregates that are easily seen in the high-resolution electron microscopy and scanning tunneling microscopy images for this material. The possible structural variations, whether periodic or quasiperiodic, based on these two polyhedra, are numerous. The next question is how to quantitatively verify the structure model.

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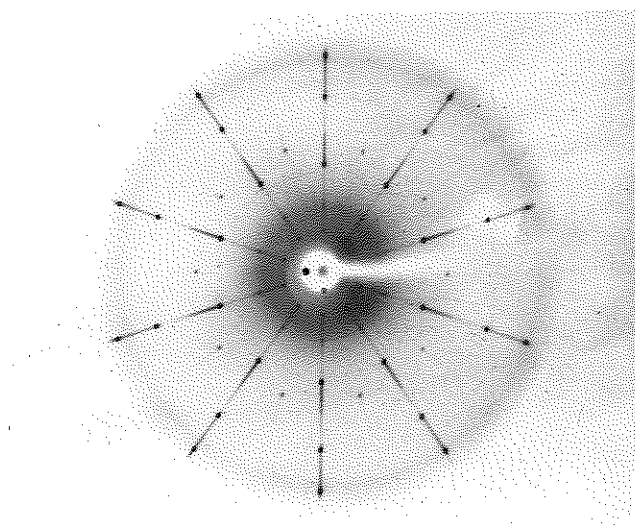


Figure 1. One of the first X-ray diffraction photographs showing non-crystallographic ten-fold symmetry. This X-ray diffraction pattern was obtained from a single fragment of quasicrystalline icosahedral  $Al_6CuLi_3$ . Precession photo. MoK $\alpha$  radiation.

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**Keywords**

X-ray diffraction, structure of thin films and interfaces in composites, grazing incidence diffraction and X-ray reflectivity, structure of intermetallic compounds, mechanism of sintering in powder metallurgy

The impact of fossil fuel combustion on the global environment requires that efficiencies be improved and emissions reduced. This is especially the case for solid fuels such as coal. The environmental performance of existing power systems needs to be improved and new systems designed to meet the environmental performance expectations of the next century. A particular challenge is to design "zero discharge" power plants that incorporate processes that eliminate the emission of acid gases such as sulfur dioxide and nitrogen oxides, trace elements including heavy metals, and volatile organic compounds.

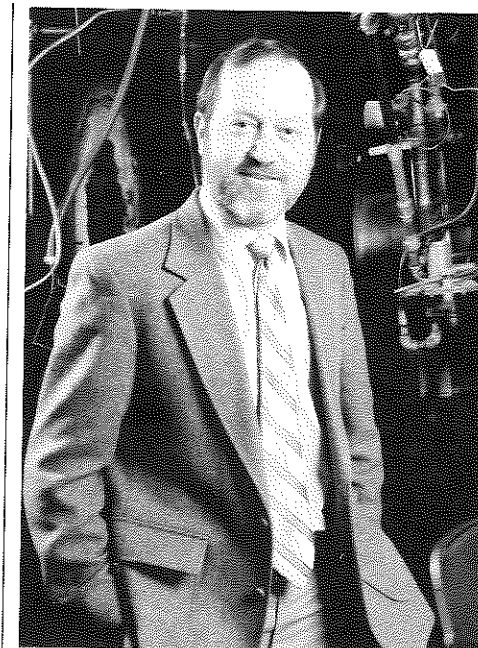
Professor Scaroni's group has been working for more than a decade on understanding the processes that produce unwanted pollutants and developing technologies for reducing their emission into the environment. A substantial effort has been in the area of sulfur dioxide capture by limestones in fluidized bed combustors. Before the group's research revealed the importance of thermally induced fractures to the efficiency of sulfur capture, limestones were inappropriately specified on the basis of calcium carbonate content. Recent work has shown the importance of grain size in controlling particle attrition, another important aspect of sorbent performance.

Forced oxidation limestone scrubbers are used for post-combustion control of sulfur dioxide in conventional coal-fired power plants. Recent results point to the importance of the dissolution rate of the limestone in the slurry solution to the overall performance of the unit. Ongoing work is aimed at understanding the limestone properties that control dissolution rate.

In the area of nitrogen oxide formation and control, the group used  $^{15}N$  NMR spectroscopy to establish the importance of pyrrolic nitrogen in the coal structure as a source of nitrogen oxide. Previous to this pioneering work, pyridinic nitrogen was thought to be the dominant source. With industrial partnerships, low NOx burners have been designed and tested and are now being produced commercially. In addition, the performance of industrial burners has been predicted computationally, and the group has established the importance of flame structure to combustion efficiency and NOx emissions.

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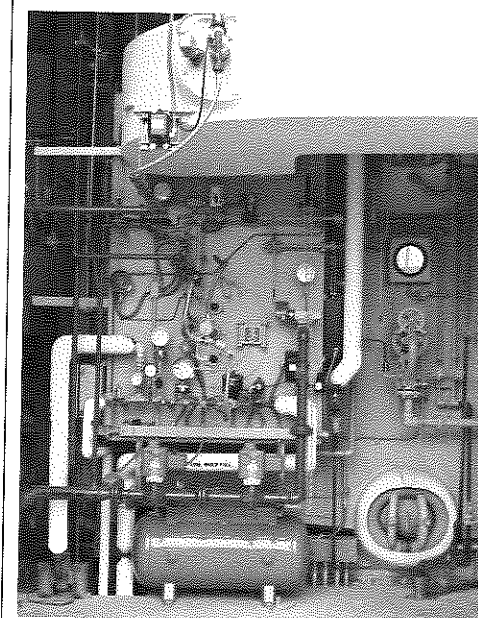


Figure 1. Details of a multifuel demonstration boiler at the East Campus Steam Plant.

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**Keywords**

coal, combustion, fluidized beds, slurry fuels, combustion-generated pollutants

# Darrell G. Schlom

Molecular Beam Epitaxial Growth of Oxide Thin Films

The focus of my group's research is investigating and perfecting the properties of oxide materials for electronic uses. To do this, we grow thin films on single crystal substrates of closely related substances. The single crystal substrate provides a structural template for the thin films that we grow. The films follow this atomic template and are thus said to be epitaxial (inheriting their crystalline arrangement from the underlying substrate). Our focus on oxides is due to the tremendous promise these materials hold for electrical applications. Oxides exhibit an unparalleled variety of electronic properties. Insulating, semiconducting, and even superconducting oxides all exist within the family of structurally compatible oxides known as perovskites. This structurally related family also includes oxides that are magnetic, ferroelectric, and piezoelectric. In short, this family of oxides contains the full spectrum of electronic properties. However, a major challenge is to prepare these materials with sufficient quality and integrate them with adequate control so that these properties can be fully utilized in electronic devices. This is our research goal.

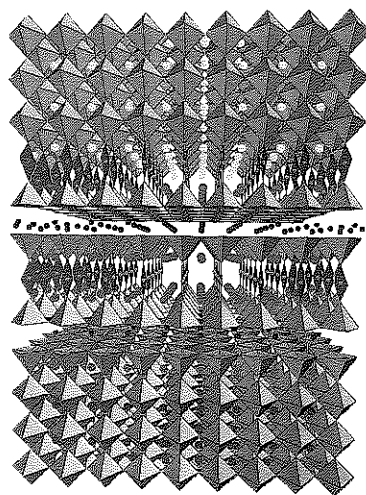
Exploiting the capabilities of these materials in the most demanding electronic applications requires the synthesis of custom-made stacks of single crystal films, each attached epitaxially to the one beneath it and prepared in such a way that composition and structure can be controlled at the level of single atomic layers. To achieve this customized layering capability, we use a thin-film growth method known as molecular beam epitaxy (MBE).

MBE amounts to atomic spray painting, which allows us to prepare customized thin-film structures of oxide materials in a very controlled manner. In this process, several beams, each of a different atomic or molecular type, travel through a vacuum of such emptiness (ultrahigh vacuum) that collisions on the way to the substrate are exceedingly rare, and chemical reactions occur exclusively on the substrate. This allows beams of highly reactive or even metastable species to reach the deposition surface undisturbed. A wide range of growth conditions are accessible using MBE, and such flexibility is often key to achieving controlled growth at the atomic layer level. Several molecular beams may be sprayed onto the surface and coated, either simultaneously or sequentially. The crystalline arrangement of the film surface is studied during MBE growth with electron diffraction and after growth by various characterization methods that allow us to see exactly what the composition of the film is, and what its properties are (e.g., is it insulating, semiconducting, superconducting, or does it offer performance advantages to existing materials and devices?). The nanometer-scale layer-by-layer control allows the growth of customized structures in which the sequence of atomic layers

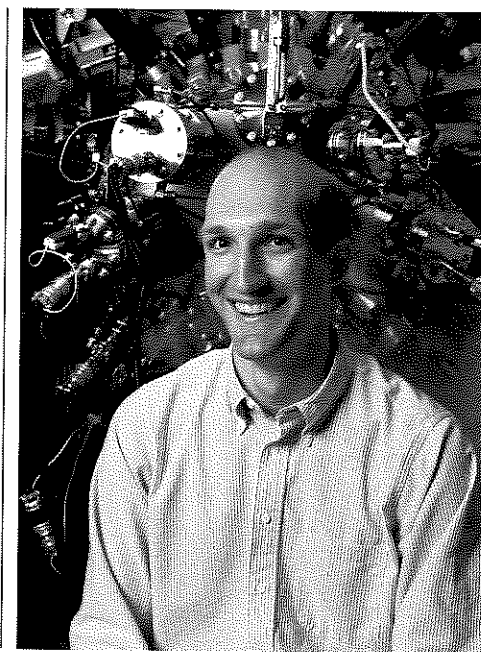
spectrum of the oxides' electronic properties to be combined in novel epitaxial heterostructures. We recently pioneered the growth of thin films of the ferroelectric  $\text{PbTiO}_3$  by MBE. This material is important for a new type of high-speed computer memory that does not lose information when the power is turned off.

A second thin-film growth method we employ is a vacuum deposition method called pulsed laser deposition (PLD), in which we vaporize a target made of selected elements with an ultraviolet laser and condense it on top of a single crystal substrate. Although not as precise as a thin-film growth method as MBE, PLD offers a rapid means of preparing custom-made stacks of single crystal films. Using PLD, we have recently become the first group in the world to grow thin films of  $\text{Sr}_2\text{RuO}_4$ , the only known high-temperature superconductor that does not contain copper. This material may provide insight into how it is that high-temperature superconductors function.

Our research has so far concentrated on the growth of layered combinations of oxide superconductors and related phases, and ferroelectric thin films. Adapting and applying MBE's layering capability, and the capabilities of the PLD technique, to the controlled integration of other oxide materials will allow the fabrication of novel electronic devices (for example, high-speed, energy-efficient memory for computers). Understanding how to manipulate atoms with ever-greater control to provide enhanced electronic devices is a major goal of our research.



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Figure 1. A cross-sectional view of the crystal structure (coordination polyhedra) of a metal-ferroelectric-superconductor field-effect transistor (MFSFET) heterostructure. The MFSFET consists of a ferroelectric gate insulator ( $\text{PbTiO}_3$ , top), an ultra-thin superconducting channel ( $\text{YBa}_2\text{Cu}_3\text{O}_{7.8}$ , middle), and a substrate ( $\text{SrTiO}_3$ , bottom). The carrier concentration of the oxide superconductor channel layer, and thus its resistance, are modulated by the polarization of the ferroelectric gate insulator. This polarization direction may be flipped by briefly applying a voltage between the gate electrode and the channel. The atomic structure of the interfaces between the oxide layers is a subject of continued study. The interfaces shown are among several proposed possibilities.

### Keywords

oxide film growth, molecular beam epitaxy, pulsed laser deposition, high temperature superconductors, ferroelectrics, thin films, MBE, PLD

# Harold H. Schobert

Fuel Formulation

My research interests focus on the chemistry of coal and petroleum (particularly new approaches for refining) and conversion to other fuel forms, chemical feedstocks, or carbon materials. A major research effort is in the development of coal-based jet fuels. High-performance aircraft develop significant heat loads from the heat released in the engines. A solution to this problem is to use the aircraft's fuel as a heat sink to cool hydraulic fluids, sensitive electronics, and engine components. The thermal stressing of the fuel in such situations can cause its pyrolytic decomposition, leading to the formation of solid carbon deposits. If these deposits plug fuel lines or burner nozzles in the engine, a catastrophe could result. The goal of the coal-based jet fuel project is to develop a fuel formulation, combining coal-derived liquids with petroleum refinery streams, that will withstand temperatures of up to 900°F without serious deposition problems.

Coal-based jet fuels are more stable in the high-temperature pyrolytic decomposition regime than current petroleum-only fuels. The key difference is the higher proportion of cycloalkanes and hydroaromatic compounds in the coal-based fuels. Because coals have molecular structures based mainly on polycyclic aromatics, the potential exists to produce highly stable jet fuels by chemically removing the polycyclic aromatic structures from the macromolecular coal structure and hydrogenating them to polycyclic cycloalkanes or hydroaromatics.

Other current research projects focus on the use of coals as feedstocks for the production of graphitic carbon materials; modifying heavy petroleum-derived materials, such as pitches, for production of carbons; and novel approaches to upgrading petroleum residues by direct reaction with methane. Computer modeling of macromolecular structures in coals and neural network computing of viscosities of molten coal ashes are also active projects.

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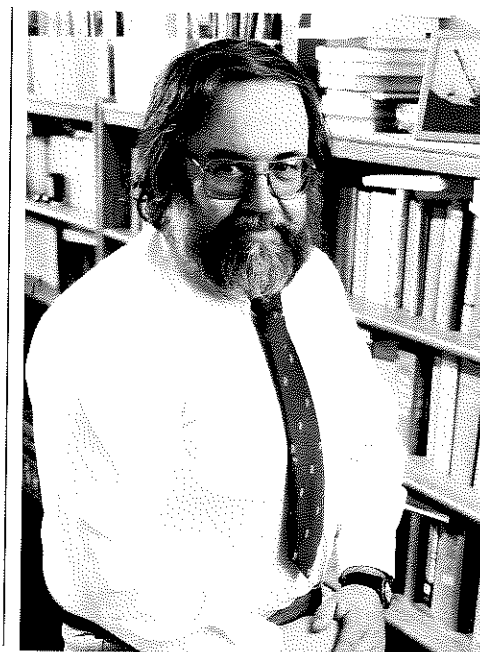
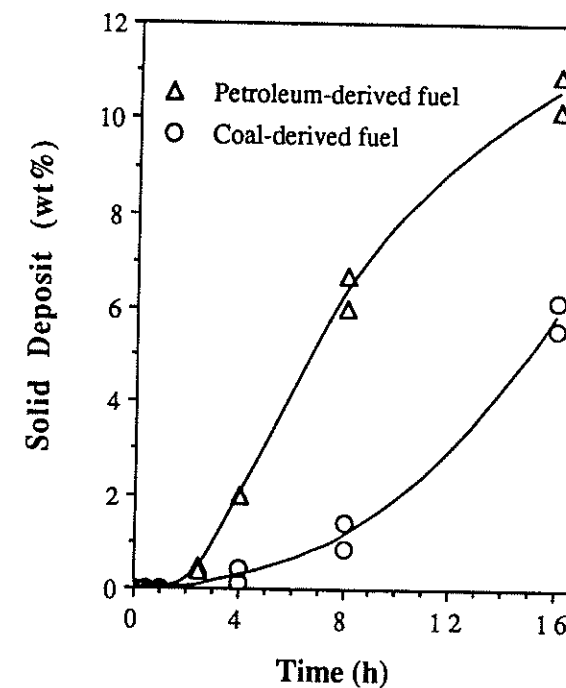


Figure 1. Coal-derived jet fuels are much more stable at extreme temperatures than conventional petroleum-based fuels. This graph compares the breakdown of the two fuels to undesirable solid carbon deposits at 425°C.



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### Keywords

jet fuels, coal, coal liquefaction, novel catalysts, coal ash, gasification systems, combustion systems



# Chunshan Song

Catalysis in Fuel Processing and Shape-Selective Catalysis

Professor Song's research interests include shape-selective catalysis for synthesizing specialty organic chemicals, catalysis in fuel processing and energy conversion, synthesis and application of novel mesoporous zeolites, and chemistry of high-pressure pyrolysis of hydrocarbons.

Song's research on shape-selective catalysis focuses on zeolite-catalyzed conversion of polycyclic aromatic hydrocarbons, which has great potential for novel applications. The work on alkylation aims at selectively adding alkyl groups on naphthalene to make 2,6-dialkyl-naphthalene, and on biphenyl to make 4,4'-dialkylbiphenyl, which are important building blocks for advanced polymer materials such as high-performance aromatic polyesters and liquid crystalline polymers. There are many possible isomeric products from the alkylation, but only one of them is desirable. The challenge is to control the shape selectivity with certain modified zeolites possessing the desired pore structure and acidic characteristics, with the aid of reaction modifier.

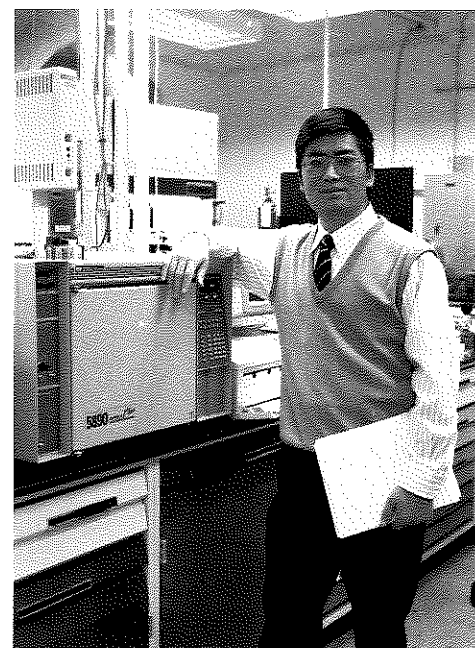
In the effort to convert phenanthrene into useful chemicals, Song's group found that some mordenites and Y zeolites catalyze the ring-shift isomerization of sym-octahydrophenanthrene to sym-octahydroanthracene. The latter can be used for producing anthracene, which is in demand in the chemical industry. Their research on low-temperature hydrogenation of naphthalene revealed that mordenite-supported noble metal catalysts are much more active and sulfur-resistant than the corresponding  $Al_2O_3$ - or  $SiO_2$ -supported catalysts. Moreover, shape-selective naphthalene hydrogenation, to either trans-decalin or cis-decalin, can now be achieved. Furthermore, conformational isomerization of cis-decalin to trans-decalin was found to occur over certain zeolite catalysts, and molecular  $H_2$  dramatically promotes such an isomerization.

More recently, Song's group has initiated research on synthesis, characterization, and catalytic applications of novel mesoporous zeolites. This work revealed that certain aluminum compounds are excellent sources for incorporating Al atoms into the silicate framework during hydrothermal synthesis, leading to mesoporous aluminosilicates with good acidic characteristics. There are important catalytic applications for heavy oil upgrading and fuel processing.

A major challenge in synthetic fuels research to convert coal into liquids at low severity. Recently, Song's group found that using water and dispersed  $MoS_2$  catalyst precursor together could dramatically improve coal conversion under  $H_2$  at temperatures that are much lower (25–375°C) than those used in conventional processes (400–470°C).

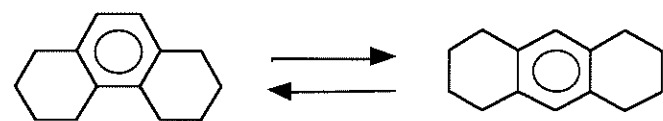
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## Ring-Shift Isomerization of sym-OHP



## Selective Isopropylation of Naphthalene

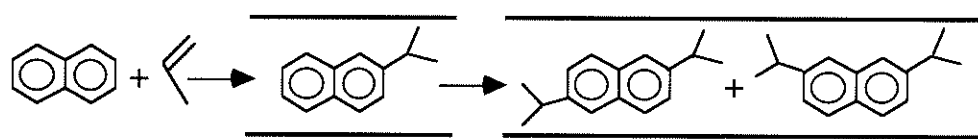


Figure 1. Shape selective isomerization and alkylation reactions over zeolite catalysts.

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## Keywords

catalysis, zeolite, alkylation, hydrogenation, isomerization, shape selectivity, fuel, fuel processing, mesoporous molecular sieves, heavy oil upgrading, coal liquefaction, specialty chemicals, high-pressure pyrolysis, jet fuel, thermal stability, reaction chemistry

# Karl E. Spear

High-Temperature Materials Chemistry

Chemical interactions at high temperatures are critical in the synthesis, fabrication, compatibility, and environmental corrosion of materials. Dr. Spear's research has focused on predicting and understanding the chemical behavior of materials through experimental and theoretical applications of high-temperature chemistry principles, phase equilibria, and thermodynamics. Major areas of research have included nuclear fuel materials, metal boride systems, theory and practice of CVD, oxidation and corrosion, interface reactions in composites, thermochemical analysis of glass systems, and the vapor deposition of diamond.

Spear and his former colleague Michael Frenklach began developing molecular-level models for CVD diamond synthesis in 1985 using atomic models of diamond surfaces, kinetics and mechanisms of hydrocarbon reactions, and steric considerations of gas-surface processes. This research resulted in an experimental process and a patent for homogeneously nucleating and growing submicron diamond powder, the discovery and classification of new polytypes of diamond, and a cyclic CVD process that separates the growth and etching-cycle chemistries. This latter process was modeled to predict the effects of cycle variables on growth rates and deposition quality. Problems with the adhesion of diamond coatings to tungsten carbide cutting tools was approached by first depositing interlayers with compositions based on phase equilibria and thermodynamic modeling.

The utilization of CVD phase diagrams and other thermochemical modeling techniques was developed to predict and explain complex deposition chemistry in boride, carbide, nitride, silicide, germanide, and oxide systems. Such models have proven to be extremely valuable in examining sensitivity and trends in deposition behavior to controllable experimental parameters. Partial equilibrium concepts have allowed the application of equilibrium thermodynamics to these dynamically reacting, nonequilibrium systems. The principles behind the vapor deposition modeling have also been applied to modeling the oxidation of silicon carbide- and silicon nitride-containing systems and composites in research programs directed by Spear with colleagues Dr. Richard Tressler and Dr. Carlo Pantano. Baseline data for understanding the passive oxidation behavior of these materials, and for understanding interface reactions in composites, was developed and modeled. The complex thermochemistry of glass systems is currently under development using the ideas and experiences from previous research on the chemically complex systems described above.

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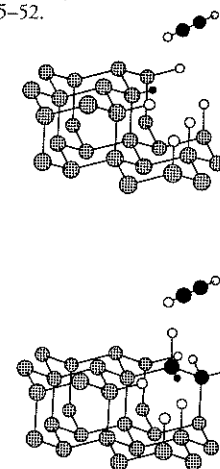


Figure 1. Schematic illustration of the addition of two acetylene molecules to a diamond (110) surface. This growth site is identical to a {111} step site. (Frenklach and Spear, 1988).

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## Keywords

high-temperature and solid-state chemistry, thermodynamics and phase equilibria applied to materials synthesis and behavior, experimental and thermochemical modeling of vapor deposition processes, experimental thermochemical modeling of glass systems, corrosion, chemical reactions at interfaces

# Peter A. Thrower

*Carbon-Carbon Composites, Manufacture, Mechanical Properties, and Oxidation Resistance*

Despite their excellent high-temperature mechanical properties, carbon-carbon composites are limited in applications by their oxidation behavior. Inhibiting the oxidation is a major task that may be tackled by either a protective coating or an inherent modification of the structure of the material. The incorporation of boron during processing has been explored via various routes, and later work has focused on the properties of a new material, BC<sub>3</sub>, with the same crystal structure as graphite. Preliminary results indicated that BC<sub>3</sub> has superior oxidation resistance to graphite (Figure 1), and excellent mechanical properties.

Oxidation of carbon-carbon composites depends on the manufacturing technique (pitch or pitch impregnation) and the type of fiber used. The existence of synergism between components in the composite is quite clear under some circumstances where the reactivity of the composite cannot be determined by adding the behaviors of fibers and matrix. A systematic study of various fiber-matrix combinations is currently in progress that should help point the circumstances under which beneficial synergism exists.

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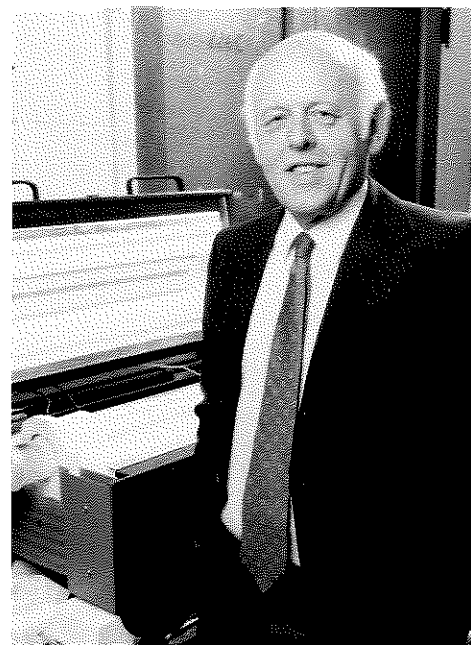
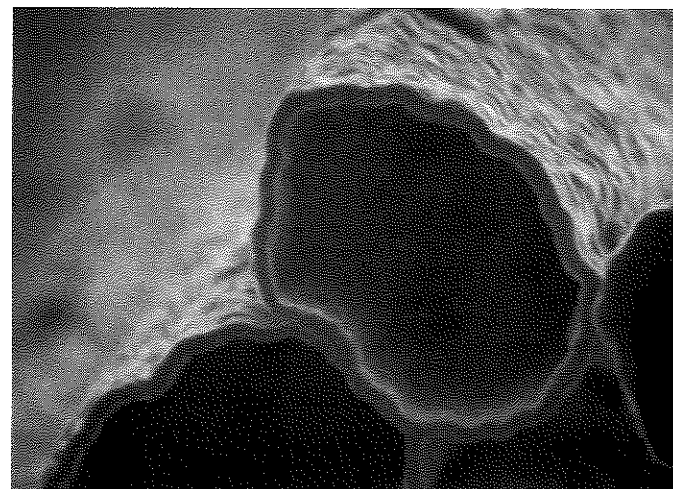


Figure 1. Carbon fiber coated with BC<sub>3</sub> and then oxidized to remove the fiber. The oxidation resistance of the coating is evident.



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## Keywords

graphite, carbon-carbon composites, oxidation, boron-carbon compounds

# Richard E. Tressler

*Degradation of Ceramics, Fibers, and Composites*

In many energy conversion systems and high-temperature processing plants, advanced ceramics with the requisite thermomechanical properties are thermodynamically unstable in the corrosive environments of the operating system. However, passive reaction products and very slow kinetics may permit adequate lifetimes for many applications. Improvements in materials and protection schemes can best be developed when the processes that control the corrosion and substrate degradation are understood in detail in terms of rate-limiting reactions and local thermodynamic equilibria.

The long-term reliability of advanced structural ceramics, ceramic fibers, and ceramic-ceramic composites under static or cyclic stresses at elevated temperatures is of major importance for most energy-usage or energy-recovery applications. The fundamental understanding of the failure processes, and the materials characteristics that control these processes, is in the very early stage of development. The design data base of reliable tensile properties of commercially available materials must be developed to assure timely application of these materials.

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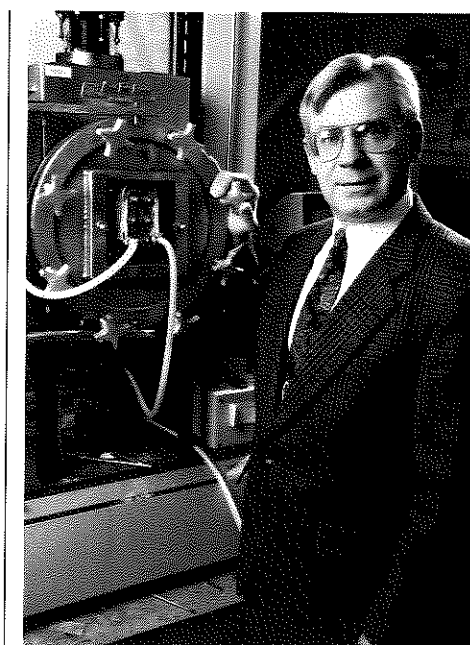
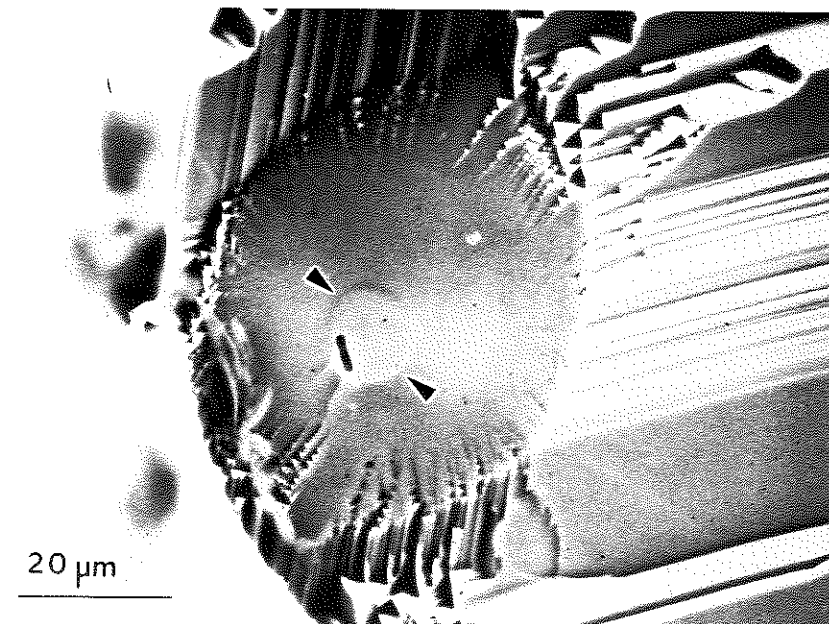


Figure 1. Fracture mirror on the fracture surface of a sapphire fiber tensile tested at 1400°C. There is a region of slow crack growth around the failure origin, a pore.



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## Keywords

point defect and impurity diffusion in ceramics; properties of oxide films on silicon-based ceramics; processing of fibers, composites, and in situ reinforced ceramics; oxidation, corrosion, creep rupture, crack growth



# Susan Trolier-McKinstry

Structure-Microstructure-Property Relations in Electroceramic Films

Dr. Trolier-McKinstry is interested in the development of electronic ceramics as active materials for sensors and actuators. Her current research program is focused on (1) understanding the factors that control the dielectric and piezoelectric properties of ferroelectric thin films, (2) developing a new family of piezoelectrics based on textured ceramics, and (3) exploiting spectroscopic ellipsometry as a characterization tool for electroceramic films.

Thin film actuators based on  $\text{PbZr}_{1-x}\text{Ti}_x\text{O}_3$  or  $\text{PbZrO}_3$  offer a considerable improvement over the currently used material,  $\text{ZnO}$ , in terms of both the effective piezoelectric properties and the available energy density. As a result, they would significantly facilitate the development of miniature pumps, microphones, motors, and other active devices. However, due to the combination of extremely small grain sizes, large inherent stresses, and microstructural or compositional inhomogeneities, most thin films do not display the same properties as bulk materials of the same composition. Dr. Trolier-McKinstry's group is working on developing an understanding of the factors that control the properties of ferroelectric films, as well as creating a materials database for designers of microelectromechanical systems. Toward this end, they are using several different types of thin film deposition systems (including sol-gel, pulsed laser deposition, magnetron sputtering, and ion beam sputtering) and are developing new facilities for measurement of fundamental elasto-dielectric properties of thin films (see Figure 1).

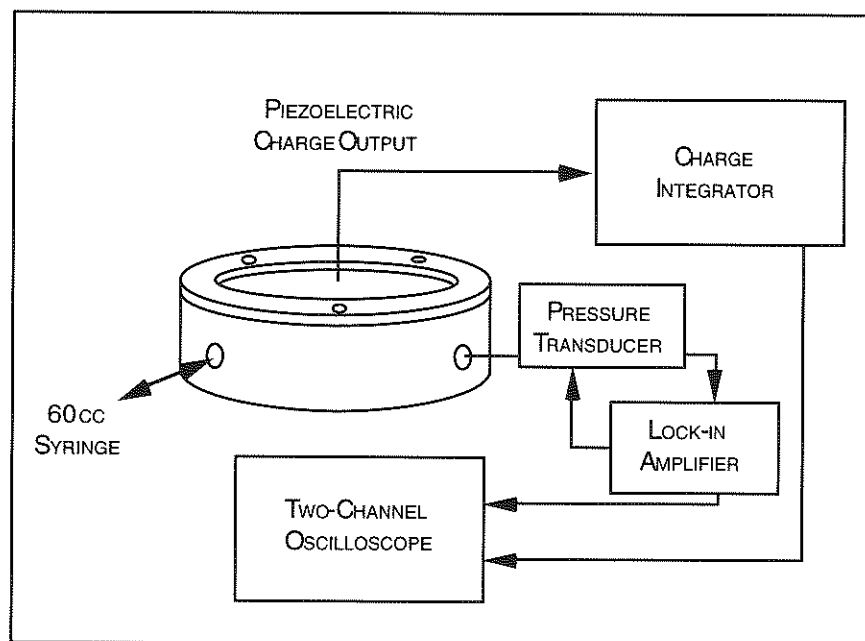
One of the primary characterization tools that her group is using as a probe of the microstructure and inhomogeneities present in thin films is spectroscopic ellipsometry. Spectroscopic ellipsometry (SE) is a nondestructive technique capable of depth profiling the dielectric function of materials with an angstrom scale resolution over a depth comparable to the penetration depth of light in the material. In the case of ferroelectrics, this means that SE bridges the gap between existing tools designed to characterize either the bulk or surface of ceramic materials. Both in situ and ex situ SE are utilized to examine the role of deposition and annealing processes on the homogeneity of thin films. For the superconductor  $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ , the significant oxygen sensitivity of SE is being used to study oxygen diffusion real-time in thin films. This should enable an improved understanding of the critical factors affecting the properties of superconducting junction devices.

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Figure 1. Schematic of a new measurement tool for determining the piezoelectric charge coefficient  $d_{31}$  of thin film transducers.



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## Keywords

ferroelectricity, spectroscopic ellipsometry, thin films, electroceramics

# Walter A. Yarbrough

Synthesis and Preparation of Materials

Professor Yarbrough's major research interests are in the synthesis and preparation of materials. A major focus continues to be how microstructural development and phase-formation processes in the fabrication of materials are influenced by the presence of surfaces and interfaces. These interests include the nucleation and growth of both stable and metastable phases. He and his students have recently concentrated on the synthesis of metastable phases, principally diamond and cubic boron nitride, through the use of chemical vapor deposition (CVD). Although early reports from Russia and elsewhere that well-crystallized diamond could be synthesized using CVD met with considerable skepticism, by 1984 it became apparent that these reports were accurate. Much of the early skepticism in the scientific community derived from the well-known phase diagram for solid carbon, which suggests that the formation of diamond from graphite should be impossible at pressures less than approximately 15 kbar. Thus, Yarbrough and his students are focusing on two major issues.

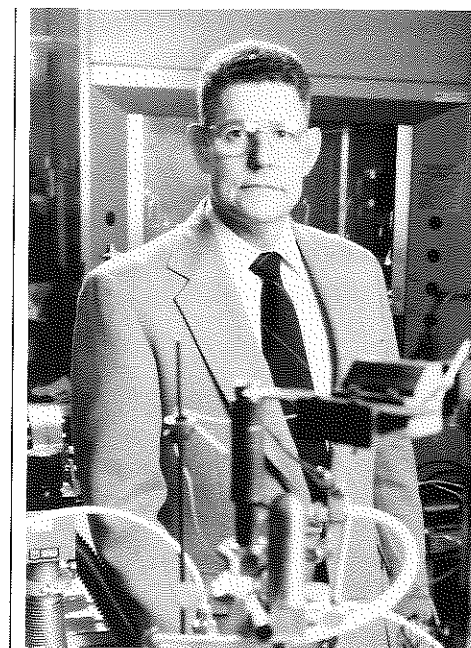
The first of these issues is the question of why well-crystallized diamond should be formed during CVD and not during one of the many other possible carbon phases. What is the critical factor that dictates the structure and crystalline perfection of the solid diamond formed in CVD? Closely related to this is the second issue: Can other similarly valuable but metastable crystalline phases be synthesized using CVD and related methods? This issue has practical importance in that the answer would help in selecting potentially fruitful approaches for novel materials' synthesis and fabrication. Paramount among the other materials of interest is the ultrahard, high-band, gap refractory semiconductor cubic boron nitride. Like diamond, cubic boron nitride is extremely valuable technologically and is also a high-pressure phase, metastable at atmospheric pressure. Unlike diamond it is not available naturally and can only be reproducibly prepared at very high pressures.

In the effort to provide answers to these questions, Yarbrough and his students have pursued theoretical and experimental work on the synthesis of metastable phases by CVD. Yarbrough has shown that a well-crystallized metastable phase (e.g., diamond), may indeed be thermodynamically preferred over the conventionally accepted stable phase. This is because, in most processes, crystal growth occurs by the addition of material to the solid surface. If bulk recrystallization or transformation is sufficiently inhibited, then phase control can be established at the solid surface (i.e., the interface between the crystal and the reactants contributing to growth). Thus, the relative stability of the bulk phase may at best be irrelevant, and at worst misleading to the researchers inter-

Yarbrough has shown that the relevant thermodynamics rationalizing the formation of diamond use thermodynamic potentials appropriate for the solid surface in local equilibrium with vapor or liquid phase in contact with the solid. These thermodynamic potentials differ from those appropriate for the bulk solid. With this approach, Yarbrough has shown that many diamond-growth methods can be understood since at high atomic hydrogen concentrations, the diamond surface structure is more stable than that of graphite. For example, the dominantly observed surface of graphite, the (0001) or basal plane surface, is thermodynamically preferred relative to the commonly observed (111) surface on diamond at low atomic hydrogen concentration. However, when the atomic hydrogen concentration is higher than that expected at true thermostatic equilibrium, the diamond surface is indeed preferred to the graphite surface.

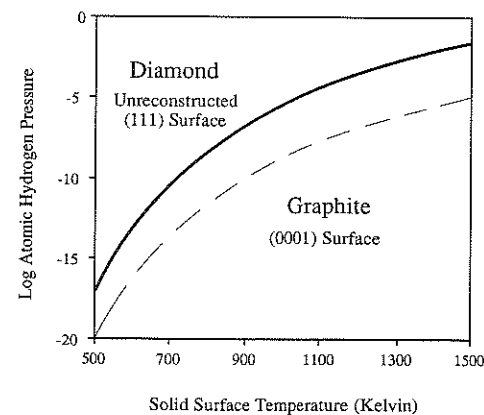
The successful use of this formalism as a guide to understanding the growth of diamond implies a useful paradigm in materials synthesis: If reconstruction or transformation in the bulk solid can be ignored, as is true with many refractory phases, control of the solid structure can be achieved by adjusting synthetic parameters to control the surface structure during the crystal growth process. Thus the challenge with cubic boron nitride synthesis may well lie with the question of what conditions stabilize the solid surface to the desired cubic structure during nucleation and growth. Research exploring this and other similar possibilities is continuing.

Figure 1. Results of calculations comparing commonly observed surfaces of diamond and graphite. It is generally recognized that the unreconstructed octahedral or (111) surface of a diamond crystal is most often terminated by chemisorbed hydrogen. This surface consists of a "puckered" hexagonal array of carbon atoms, and as such is quite similar to the hexagonal array or "sheet" of carbon atoms that form the (0001) basal plane surface of graphite. At low atomic hydrogen partial pressures for a given temperature, including those expected at global equilibrium (the dashed line), the preferred surface structure is that of graphite. At high atomic hydrogen partial pressures, such as might be expected if a nearby continuous source of atomic hydrogen is present, the expected surface structure switches to that of the diamond surface. The dark continuous line represents the boundary between the conditions where the diamond and graphite surfaces are preferred.



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synthesis, diamond, cubic boron nitride, surface chemistry, chemical vapor deposition, solution-sol-gel synthesis, non-equilibrium thermodynamics



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